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# HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

OF

## HENDERSON, KENTUCKY

Rates, Rules and Regulations for Furnishing

Electricity

at

Counties of Henderson, Union, Webster, Crittenden, Caldwell, Lyon, and Hopkins

Filed with the Public Service Commission of Kentucky

ISSUED NOVEMBER 18, 1997 EFFECTIVE JANUARY 1, 1998

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PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JAN 01 1998

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) HENDERSON UNION ELECTRIC ISSUED BY <u>COOPERATIVE CORPORATION</u>

BY President & Chief Executive Officer

SECRETARY OF THE COMMISSION

For All Territory Served		
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#### General

#### 1. <u>SCOPE</u>

This schedule of rules and regulations is a part of all contracts for receiving electric service from Henderson Union Electric Cooperative Corporation (Henderson Union) and applies to all service received from Henderson Union whether the service received is based upon a contract, agreement, signed application or otherwise. No employee or individual director of Henderson Union is permitted to make an exception to rates or rules and regulations. Copies of rates and rules and regulations are on file in Henderson Union's offices and can be obtained there.

#### 2. <u>REVISIONS</u>

These rules and regulations may be revised, amended, supplemented or otherwise changed from time to time without notice, by action of the board of directors. Such changes, when effective, shall have the same force as the present rules and regulations.

#### 3. MEMBERS'S RESPONSIBILITY FOR HENDERSON UNION EC'S PROPERTY

All meters, service connections, and other equipment furnished by Henderson Union shall be, and remain, the property of Henderson Union. The member shall exercise proper care to protect the property of Henderson Union on its premises and in the event of loss or damage to Henderson Union's property arising from neglect of member to care for same, the cost of necessary repairs or replacement shall be paid by the member.

#### 4. TAMPERING

(a) If the meters or other property belonging to Henderson Union are tampered or interfered with, the member being supplied through such equipment shall pay the amount which Henderson Union may estimate is due for service rendered but not registered on Henderson Union's meter, and for such replacements and repairs as are necessary as well as for cost of inspection, investigation, and protective installations.

(b) The member will be allowed to continue to receive service if he agrees that Henderson Union shall estimate his consumption for the past twelve months by the best means available. The member's account will be billed and the amount collected within a reasonable length of time, not to exceed 60 days. The amount will be credited to the member's bill and a test run on one year shall be used to determine if the original estimate was fair and accurate. If the member's account has been overcharged, proper credit will be given the member's account and if the account has been

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undercharged, an additional debit adjustment will be made to the member's account.

(c) If the member fails to agree to the above arrangement, Henderson Union will remove the meter and service and make proper preparations for taking legal action.

## 5. <u>CONTINUITY</u>

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Henderson Union shall diligently try to provide constant and uninterrupted supply of electric energy, but should supply fail or be interrupted through acts of God, the public enemy, by accident, strikes, labor troubles, by action of the elements, or by any other cause beyond the reasonable control of Henderson Union, Henderson Union shall not be liable therefor.

### 6. RELOCATION OF LINES BY REQUEST OF MEMBERS

Henderson Union's established lines will not be relocated unless the expense for moving and relocating is paid by the member, except in instances where it would be to the advantage of Henderson Union to make such relocation.

### 7. SERVICES PERFORMED FOR MEMBERS

Henderson Union's personnel are prohibited from making repairs, performing services to the member's equipment or property except in cases of emergency or to protect the public or member's person or property. When such emergency services are performed, the member shall be charged for such service at the rate of time and material.

#### Service Procedures

## 8. <u>APPLICATION FOR SERVICE</u>

(a) All applicants for electric service shall execute Henderson Union's form of Applications for Membership and Service in acknowledgment of the terms and conditions of electric service cited therein and grant, convey and/or provide to Henderson Union any and all necessary rights, privileges, permits and easements incidental to or connected with such electrical service before electric service is supplied.

(b) All applicants shall provide within thirty (30) working days prior to the date service is required certain load data information in order that adequate facilities may be installed for the new service.

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#### 9. MEMBERSHIP FEE

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Pursuant to Henderson Union's bylaws, a membership fee of twenty-five dollars (\$25.00) shall be paid by all new members. Membership fee shall be refunded when all financial obligations are satisfied or may be applied against any unpaid bill of the member upon termination of electric service. Service will not be made available to a former member until any previously existing indebtedness to Henderson Union has been satisfied.

#### 10. MEMBER DEPOSIT

(a) Henderson Union may require from any member or applicant for service, regardless of customer class, a minimum cash deposit, letter of credit from a financial institution, surety or performance bond, prepaid budget billing amount, adequate financial statements or other suitable guaranty to secure payment of bills in an amount not to exceed 2/12th of the estimated annual bill of such member or applicant; except for members qualifying for service reconnection pursuant to 807 KAR 5:006, Section 15, Winter Hardship Reconnection. Service may be refused or discontinued for failure to pay the requested deposit. Interest, as prescribed by KRS 78.460, will be paid annually either by refund or credit to the member's bill, except that no refund or credit will be made if the member's bill is delinquent on the anniversary date of the deposit.

(b) Henderson Union may waive the required deposit if the member or applicant has an established reliable payment history with Henderson Union. If a deposit has been waived or returned and the member fails to maintain a satisfactory payment record, a deposit may then be required. Henderson Union may require a deposit in addition to the initial deposit if the member's classification of service changes or if there is a substantial change in usage. Upon termination of service, the deposit, any principal amounts, and any interest earned and owing will be credited to the final bill with any remainder refunded to the member.

(c) If a deposit is held longer that 18 months, the deposit will be recalculated at the member's request based on the member's actual usage. If the deposit on account differs from the recalculated amount by more than \$10.00 for a residential member or 10 percent for a non-residential member, Henderson Union may collect any underpayment and shall refund any overpayment by check or credit to the member's bill. No refund will be made if the member's bill is delinquent at the time of the recalculation.

(d) Interest will be paid on all sums held on deposit at the rate of 6 percent annually beginning on the date of deposit. The interest accrued shall be applied as a credit to the customer's bill or paid to the customer on an annual basis. If interest is paid or credited to the customer's bill prior to twelve (12) months from date of deposit, the payment or credit shall be on a prorated basis. If interest is paid to credit to the customer's bill prior to twelve (12) months from date of deposit, the payment or credit shall be on a prorated basis.

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interest is not credited to the member's bill or paid to the member annually, interest will be computed by a method which will result in an amount no less than that obtained by using a middle course method between simple and compound interest in compliance with Commission order dated October 31, 1989 in Case No. 89-057. Interest on deposits computed in this manner will accrue until credited to the member's bill or paid to the member.

(e) Sign--in cases of sign lighting, if it is an established firm, no deposit of any nature will be required except membership fee.

#### 11. DISTRIBUTION LINE EXTENSIONS

(1) Residential extensions. An extension of 1,000 feet or less of single phase line shall (T) be made by Henderson Union from its existing distribution line without charge to a prospective member who shall apply for service to a permanent dwelling that is to be the principle place of residence. The Cooperative will extend up to an additional 500 feet without charge provided the member executes a minimum bill contract for a period of three (3) years for the cost of the additional extension. The "service drop" to customer premises from the distribution line at the last pole shall not be included in the foregoing measurements.

#### (2) Other extensions.

(a) When an extension of Henderson Union's line to serve an applicant or group of (T) applicants amounts to more than 1,500 feet per customer, Henderson Union shall require the total cost of the excessive footage over 1,500 feet per member to be deposited with Henderson Union by the applicant or applicants, based on the average estimated cost per foot of the total extensions.

(b) Each member receiving service under such extension will be reimbursed under the following plan: Each year for a period of not less than ten (10) years, for which the purpose of this rule shall be the refund period, Henderson Union shall refund to the member or members who paid for the excessive footage the cost of 1,000 feet of the extension in place for each additional residence connected during the year whose service line is directly connected to the extension installed and not to extensions or laterals therefrom, but in no case shall the total amount refunded exceed the amount paid Henderson Union. After the end of the ten (10) year refund period, no refund will be made.

(c) For additional members connected to an extension or lateral from the distribution line, the utility shall refund to any member who paid for excessive footage the cost of

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1,000 feet of line less the length of the lateral or extension.

(3) Real estate subdivisions. An applicant desiring an extension to a proposed real estate subdivision may be required to pay the entire cost of the extension. Each year, for a period of not less than ten (10) years, Henderson Union shall refund to the applicant who paid for the extension a sum equivalent to the cost of 1,000 feet of the extension installed for each additional member connected during the year, but in no case shall the total amount refunded exceed the amount paid to Henderson Union.

(4) Indeterminate Services. Extensions of electric service for other than residential shall be provided under conditions that will not seriously jeopardize the objectives of Henderson Union of providing electric service for residential members. These prospective members are usually speculative in nature, such as barns, grains bins, wells, feed lots, farrowing houses, etc. Service to these members may be provided under the following conditions:

(a) Single-phase service shall be provided without contribution or contract if only a service drop is required.

(b) If a primary extension is required, the applicant, if a property owner, shall sign a minimum bill contract for a three-year period equal to the cost of the extension. No work is to be completed until contract is executed by applicant. Energy purchased under these special contracts will apply to the special monthly minimum.

(c) Non-property owners and businesses of a speculative nature shall pay the estimated cost of construction before work begins and will not be refunded.

(d) If a primary extension over 1,000 feet is required, the applicant, if a property owner, may request to sign a minimum bill contract for a ten-year period equal to the cost of the extension. The property owner shall also be required to allow Henderson Union to file a declining lien on the property for the cost of the construction. No work is to be completed until Henderson Union and applicant execute a contract and the lien is recorded. Energy purchased under these special contracts will apply to the special minimum on a month-by-month basis.

(5) Nothing contained herein shall be construed as to prohibit Henderson Union from making extensions under different arrangements provided such arrangements have been approved by the Public Service Commission.

(6) Nothing contai	ned herein shall be construed	as to prohibit Hendersd	n Union from making
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at its expense greater extensions than herein prescribed, should its judgement so dictate, provided like free extensions are made to other members under similar conditions.

#### 12. DISTRIBUTION LINE EXTENSIONS TO MOBILE HOMES

(1) All extensions of up to 150 feet from the nearest facility shall be made without charge.

(2) Extensions greater than 150 feet from the nearest facility and up to 300 feet shall be made provided the member shall pay Henderson Union a "member advance for construction" of fifty dollars (\$50) in addition to any other charges required by the utility for all members. This advance shall be refunded at the end of one (1) year if the service to the mobile home continues for that length of time.

(3) For extensions greater than 300 feet and less than 1,000 feet from the nearest facility, the utility may charge an advance equal to the reasonable costs incurred by it for that portion of the service beyond 300 feet plus fifty dollars (\$50). Beyond 1,000 feet, the extension policies set forth in 807 KAR 5:041, Section 11 shall apply.

(a) This advance shall be refunded to the member over a four (4) year period in equal amounts for each year the service is continued.

(b) If the service is discontinued for a period of sixty (60) days, or should the mobile home be removed and another does not take its place within sixty (60) days, or be replaced by a permanent structure, the remainder of the advance shall be forfeited.

(c) No refunds shall be made to any member who did not make the advance originally.

#### 13. RIGHT OF ACCESS

Henderson Union's identified employee shall at all reasonable hours have access to meters, service connections and other property owned by it and located on member's premises for purposes of installation, maintenance, meter reading, operation, replacement or removal of its property at the time service is to be terminated. Any employee of the utility whose duties require him to enter the member's premises shall wear a distinguishing uniform or other insignia, identifying him as an employee of Henderson Union, or show a badge or other identification which will identify him as an employee of the utility.

14. <u>NOTICE OF TROUBLE</u>	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	CANCELLED		
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Member shall give immediate notice at the office of Henderson Union of any interruptions, or irregularities, or unsatisfactory service, and of any defects known to member. Henderson Union may at any time it deems necessary, suspend supply of electrical energy to any member or members for the purpose of making repairs, changes, or improvements upon any part of its system. Henderson Union shall make reasonable notice of such discontinuance to member.

#### 15. MEMBERS'S REQUEST FOR TERMINATION OF SERVICE

Any member desiring service terminated or changed from one address to another shall give the utility three (3) working days' notice in person, in writing, or by telephone provided such notice does not violate contractual obligations or tariff provision. The member shall not be responsible for charges for service beyond the three (3) day notice period if the member provides reasonable access to the meter during the notice period. If the member notifies the utility of his request for termination by telephone, the burden of proof is on the member to prove that service termination was requested if a dispute arises.

#### 16. RECONNECTION CHARGES

Henderson Union will make no charge for connecting service to the members's premises for the initial installation of service, or to the member's premises if the service has been destroyed by fire. When service has been terminated, or service is transferred to a new member, Henderson Union's representative shall read the meter at such premises. A service charge of \$10.00 (ten dollars) will be made to new occupant for the reconnecting or transferring of such service. Service charge will be due and payable at time of connection or transfer, or upon notice of said charge. No meters shall be installed or reinstalled after working hours unless in the judgement of Henderson Union's manager there exist circumstances that will justify the additional expense. In these cases, a service charge of \$35.00 (thirty-five dollars) will apply.

#### 17. RESALE OF POWER BY MEMBERS

All purchased electric service used on the premises of the member shall be supplied exclusively by Henderson Union and the member shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service of any part thereof.

#### 18. SERVICE CHARGE

All service calls made by Henderson Union pertaining to the member's premises shall be charged at the rate of \$10.00 (ten dollars) per call during normal working hours. PUBLIC SERVICE COMMISSION

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#### 19. SERVICE CHARGE FOR TEMPORARY SERVICE

Member's requiring temporary service may be required to pay all costs of connecting and disconnecting incidental to the supplying and removing of service. Members will be billed for electric service as any other member during the connected period.

#### 20. TEMPORARY SERVICE BOARD

Member's requesting a temporary service board for construction purposes will be required to pay a \$20.00 service charge before the temporary service board is installed. If the temporary service board is installed for a period longer than six (6) months, the member will be charged \$5.00 per month charge for each additional month up to a maximum of twelve months.

#### METERS

### 21. METER TESTS

All new meters shall be checked for accuracy before installation. Henderson Union will, at its own expense, make periodic tests and inspections of its meters in order to maintain a high standard of accuracy and to conform with the regulations of the Public Service Commission. Henderson Union will make additional tests of meters at the request of the member upon payment of a \$10.00 fee, if the meter has been tested within the past eight years. If the meter has not been tested within the past eight years, there will be no charge for the meter test. When the test is made at the member's request shows the meter is accurate, within 2% slow or fast, no adjustment will be made to the member's bill and the fee paid will be forfeited to help cover cost of the requested test. When the test shows the meter to be in excess of 2% slow or fast appropriate adjustments will be made to the member's bill. Refunds will be made in accordance with Public Service Commission General Rules 807 KAR 5:006 Section 10(2). If the test shows the meter to be more than 2% fast the \$10.00 fee paid by the member shall be refunded.

### 22. FAILURE OF METER TO REGISTER OR METER TEST RESULTS ARE FAST OR SLOW

If test results on a member's meter show an average error greater than two percent (2%) fast or slow, or if a customer has been incorrectly billed for any other reason, except in an instance where Henderson Union has filed a verified complaint with the appropriate law enforcement agency alleging fraud or theft by a member, Henderson Union shall immediately determine the period LLED during which the error has existed, and shall recompute and adjust the member's bill to either provide a refund to the member or collect an additional amount of revenue from the under billed member. Henderson Union shall readjust the account based upon the period during which the 2001

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error is know to have existed. If the period during which the error existed cannot be determined with reasonable precision, the time period shall be estimated using such data as elapsed time since the last meter test, if applicable, and historical usage data for the member. If that data is not available, the average usage of similar member loads shall be used for comparison purposes in calculating the time period. If the member and Henderson Union are unable to agree on an estimate of the time period during which the error existed, the Public Service commission shall determine the issue. In all instances of member over billing, the member's account shall be credited or the over billed amount refunded at the discretion of the member repayment of any under billing to be made over a period shorter than a period coextensive with the under billing.

#### 23. DISCONTINUANCE OF SERVICE BY HENDERSON UNION EC

Henderson Union will discontinue or refuse service without notice to a member or an applicant when a dangerous condition is found to exist on the member's or applicant's premises. Henderson Union may refuse or discontinue service to an applicant or member, after proper notice for failure to comply with its rules and regulations, when a member or applicant refuses or neglects to provide reasonable access to the premises, for fraudulent or illegal use of service, or for nonpayment of bills. If discontinuance is for nonpayment of bills, the member shall be given at least ten (10) days written notice separate from the original bill, and cut-off shall be effected not less than twentyseven (27) days after the mailing date of the original bill unless prior to discontinuance, a residential member presents to Henderson Union a written certificate, signed by a physician, registered nurse, or public health officer, that such discontinuance will aggravate an existing Illness or infirmity on the affected premises, in which case discontinuance may be effected not less than thirty (30) days from the date the utility notifies the member in writing of state and federal programs which may be available to aid in payment of bills and the office to contact for such possible assistance. The discontinuance of service by Henderson Union for any cause stated in this rule does not release the member of his obligation of all bills due. The termination date will not be affected by receipt of any subsequent bill.

The termination notice requirements of this subsection shall not apply if termination notice requirements to a particular member or members are otherwise dictated by the terms of a special contract between the utility and member.

#### 24. THREE PHASE SERVICE

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Members are required to negotiate a contract for all three-phase service except as otherwise provided herein. Term of contract is determined by amount of investment required

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#### 25. ELECTRIC MOTORS

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Any single-phase motor larger than 7 ½ horse power will not be permitted except by written permission of Henderson Union. Larger motors must be three phase.

### 26. PRIMARY METERING

At any time Henderson Union finds it more desirable, it may at its own option and expense (T) install primary metering equipment. The member will own and operate all facilities past the metering point. A discount of \$.50 per kW of Billing Demand will be applied to the monthly bill if consumer owns and maintains all facilities beyond meter.

### 27. EXTENSIONS TO UNDERGROUND SERVICE

Henderson Union will extend underground facilities to areas which physically and economically lend themselves to this type of service under the following terms and conditions which insure adequate service and safety to all persons engaged in the construction, maintenance, operations, or use of underground facilities and to the public in general. The terms and conditions also reflect and protect the rate payers who are served with overhead facilities from subsidizing those served with higher cost underground facilities and in general requires the reimbursement of the cost difference between overhead and underground facilities necessary to serve a given load requirement.

#### 1. Definitions

The following words and terms when used in these rules and regulations have the meaning indicated:

#### (a) Applicant

The developer, builder or other person, partnership, association, corporation or governmental agency applying for the installation of an underground electric distribution system.

(b) <u>Building</u> A structure enclosed within exterior walls or fire walls, built, erected, and framed of component structural parts and designed for less than five (5) family occupancy.

## (c) <u>Multiple-Occupancy Building</u>

A structure enclosed within exterior walls or fire walls, built, erected and framed of

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component structural parts and designed to contain five (5) or more individual dwelling units.

(d) <u>Plant, Store, Warehouse, Commercial, School, Church, Community Building, Industrial, etc.</u>

A structure (or structures) other than residential occupancy where power is used for any type of service classification other than residential.

- (e) <u>Distribution System</u> Electric service facilities consisting of primary and secondary conductors, transformers, and necessary accessories and appurtenances for the furnishings of electric power at utilization voltage.
- (f) <u>Subdivisions</u> The tract of land which is divided into ten (10) or more lots for the construction of new residential buildings, or the land on which is constructed two (2) or more new multiple occupancy buildings.
- (g) <u>Individual Service</u> Any service resulting in only one metering point on a permanent type building used as a residence.
- (h) Indeterminate Service Includes service to mines, quarries, oil wells, industrial and commercial enterprises of speculative purposes, seasonal use of any type, real estate subdivision, development of property for sale, enterprises where the applicant will not be the user of service, where there is little or no demand for service, tenant house, seasonal cabins, rental property and to barns, wells, and other service where the amount of permanency of service cannot be reasonably assured.
- (I) <u>Trenching & Backfilling</u>

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Opening and preparing the ditch for the installation of conductors including placing of raceways under roadways, driveways, or paved areas; providing a sand bedding below and above conductors when required; and backfill of trench to ground level. Minimum depth 48" primary, 4" secondary.

- 2. Rights-of-Way & Easements
  - (a) Henderson Union shall construct, own, operate, and maintain distribution lines

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only along easements, public streets, roads, and highways which are legal right accessible to the utility's equipment and which the utility has the legal right to occupy, and on the public lands and private property across which rights-of-way and easements satisfactory to Henderson Union are provided without cost or condemnation by Henderson Union.

- (b) Obtaining easements and rights-of-way necessary to extend service is the responsibility of Henderson Union. Henderson Union shall not require a prospective customer to obtain easements or rights-of-way on property not owned by the prospective customer as a condition of providing service. The cost of obtaining easements or rights-of-way shall be included in the total per foot cost of an extension, and shall be apportioned among the utility and customer in accordance with the applicable extension regulation.
- (c) Rights-of-way and easements suitable to Henderson Union at the underground distribution facilities must be furnished by the Applicant in reasonable time to meet service requirements. The Applicant shall make the area in which the underground distribution facilities are to be located accessible to Henderson Union's equipment, remove all obstructions from such area, stake to show the property lines and final grade, and maintain clearing and grading during construction by Henderson Union. Suitable land rights shall be granted to Henderson Union obligating the Applicant and subsequent property owners to provide continuing access to the utility for operation, maintenance or replacement of its facilities, and to prevent any encroachment in the utility's easement or substantial changes in grade or elevation thereof.
- (d) Where not feasible to trench under roads, highway, railroads, lakes, streams, etc., Henderson Union shall have the right to place this portion overhead with the granting of easements (at no cost to Henderson Union) for such overhead construction.
- 3. Installation of Underground Distribution System Subdivisions

	(a)	shall install sufficient ca	within the pacity and owner(s)	ntractual arrangements subdivision an undergro suitable materials which will receive safe and add PUBLIC S	und elect h, in its ju equate el	ric distributic dgement, wi ectric servic COMMISSION CKY	on system ill assure to e for the	of hat ED	
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- (b) All single phase conductors installed by the utility shall be underground. Appurtenances such as transformers, pedestal-mounted terminals, switching equipment and meter cabinets shall be placed above ground.
- (c) Multi-phase primary mains or feeders required within a subdivision to supply local distribution or to service individual multi-phase loads may be overhead unless underground is required by governmental authority or chosen by the Applicant, in either of which case the differential cost of underground shall be borne by the Applicant.
- (d) If the Applicant has complied with the requirements herein and has given Henderson Union not less than 10 days written notice prior to the anticipated date of the completion (i.e., ready for occupancy of the first building) in the subdivision, Henderson Union shall complete the installation 30 days prior to the estimated completion dates. (Subject to weather and ground conditions and availability of materials and barring extraordinary or emergency circumstances beyond the reasonable control of Henderson Union.) However, nothing in this policy shall be interpreted to require Henderson Union to extend service to portions of the subdivision not under active development.
- (e) A non-refundable payment shall be made by the Applicant equal to the difference between the cost of providing underground facilities and that of providing overhead facilities. The payment to be made by the Applicant shall be determined from the total footage of single-phase primary, secondary, and service conductor to be installed at an average per foot cost differential in accordance with the Average Cost Differential filed herewith as Exhibit "A", which Average Cost Differential shall be updated annually as required by order dated February 2, 1973 of the Public Service Commission of Kentucky in Administrative Case No. 146. (Three (3) wire secondary and service conductor runs shall be considered as one conductor, i.e., triplex). The average cost differential per foot, as stated, is representative of construction in soil free of rock, shale, or other impairments which are anticipated or encountered in construction, the actual increased cost of trenching and backfilling shall be borne by the Applicant.
  - The Applicant may be required to deposit the entire estimated cost of the extension. If this is done, the amount deposited in excess of the normal charge for the underground extensions, as provided in paragraph "e" above, shall be refunded to the applicant over a ten (10) year period as provided in 807 KAR 5:041 Section 3.

BY: <u>Stephand</u> BU SUCRETARY OF THE COMMIS	SION	JUN 2001
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PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JAN 01 1998

PURSUANT TO 807 KAR 5:011,

SECTION 9 (1)

(f)

COOPERATIVE CORPORATION

NDERSON UNION ELECTRIC

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- (g) The Applicant may be required to perform all necessary trenching and backfilling in accordance with Henderson Union's specifications. Henderson Union shall then credit the applicant's cost in an amount equal to Henderson Union's normal cost for trenching and backfilling.
- (h) Henderson Union shall furnish, install and maintain the service lateral to the Applicant's meter base except that the Applicant shall furnish and install proper size metal conduit from the meter base to two (2) feet below ground level. When conditions require it and at its discretion, Henderson Union will install twenty (20) foot section of proper size conduit (metal or PVC) from Applicant's below grade conduit termination, back toward source.

#### EXHIBIT "A"

#### INSTALLATION OF UNDERGROUND DISTRIBUTION SYSTEM-SUBDIVISIONS

Single Phase, Loop Feed

Estimated Cost per foot Underground	\$6.60
Estimated Cost per foot Overhead	\$3.20
Cost Differential per foot	\$3.40

- (I) Plans for the location of all facilities to be installed shall be approved by Henderson Union and the Applicant prior to construction. Alterations in plans by the Applicant will require additional cost of installation or construction shall be at the sole expense of the Applicant.
- (j) Henderson Union shall not be obligated to install any facility within a subdivision until satisfactory arrangements for the payment of charges have been completed by the Applicant.

The charges specified in these rules are based on the promise that each Applicant will cooperate with the utility in an effort to keep the cost of construction and installation of the underground electric distribution system as low as possible and make satisfactory arrangements for the payment of the above charges prior to the installation of the facilities.

All electrical facilities shall be installed and constructed to comply with the rules

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# NDERSON UNION ELECTRIC

PUBLIC SERVICE COMMISSION

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# **RULES AND REGULATIONS**

and regulations of the Public Service Commission, National Electric Safety Code, Henderson Union specifications, or other rules and regulations which may be applicable.

- (m) Service pedestals and method of installation shall be approved by Henderson Union prior to installation.
- (n) Henderson Union shall backfill only once and in the event of further settling or washing, the Applicant shall be responsible for all necessary additional backfilling.
- (o) An additional \$20.00 per linear trench foot shall be charged where extremely rocky conditions are encountered, such conditions being defined as limestone or other hard stratified material in a continuous volume of at least one cubic yard or more which cannot be removed using ordinary excavation equipment.
- (p) In the event of a grade change which results in Henderson Union reburying or setting deeper any underground facility to maintain safety limits, the entire cost of such reburying or relocation shall be borne by Applicant.
- (q) In unusual circumstances, when the application of these rules appears impracticable or unjust to either party, or discriminatory to other members, Henderson Union or Applicant shall refer the matter to the Commission for a special ruling or for the approval of special conditions which may be mutually agreed upon, prior to commencing construction.
- 4. Installation of Underground Facilities to Individual Service Delivery Points

underground conductors as follows:

(a) Where primary and secondary conductors are involved, Henderson Union shall estimate the cost to provide adequate service both overhead and underground, and the Applicant shall pay such difference in cost as a non-refundable contribution prior to the commencement of such construction.
 (b) Where only secondary conductors are involved, Henderson Union shall install

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ENDERSON UNION ELECTRIC

JAN 01 1998

1.	Where possible, Henderson Union will trench and backfill and install the secondary conductor, the Applicant shall pay three dollars and forty
	cents (\$3.40) per underground cable foot (pole to meter)
	prior to the commencement of such construction.

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## RULES AND REGULATIONS

#### 5. Change from Overhead to Underground Facilities

Where an existing member requests underground facilities and is presently being served with adequate overhead facilities, then the entire cost of such change shall be borne by the Applicant as a non-refundable contribution prior to the commencement of such construction. The cost includes:

- Labor, material, and overhead charges for the new installation, \$3.40 (a)per underground cable foot. (Service only, pole to meter.)
- 6. Three Phase Requirements - Underground

Any member requiring three-phase loads which are to be served by URD cables shall be encouraged to install all three phase equipment rated for 120/208 or 277/480 volts. The transformer(s) are to be connected grd. wye - grd. wye to minimize the possibility of ferroresonance.

If the member insists on a voltage requiring a delta connected transformer, the member will be required to pay for the equipment required to avoid ferroresonance, such as (1) three phase OCB, (2) gang operated air break switch at riser pole, or (3) dummy loads.

#### 28. BILLING

NDERSON UNION ELECTRIC COOPERATIVE CORPORATION

> Notices of amounts due and payable are sent to members of Henderson Union using four cycle billing periods based on map location on member's account. Date of current billing, penalty, late notice, and disconnect are as follows:

	Billing <u>Cycle</u>	Billing Date	Penalty <u>Date</u>	Late <u>Notice</u>	Disconnect For Nonpayr	nent
	1	1st	15th	20th	11 days after	late notice date
	2	8th	23rd	28th	11 days after	late notice date
	3	15th	30th	5th following month	11 days after	late notice date
	4	20th	5th following month	10th following month	11 days after	late notice date
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# RULES AND REGULATIONS

# BILL FORM

COOPERATIVE CORPORATION

HENDERSON UNION ELECTRIC COOPERATIVE 6402 Old Corydon Rd., Post Office Box 18 Henderson, KY 42420-0018	ACCOUNT NUMBER METER NUMBER READ YOUR METER ON THE 9 0 1 2 1 0 9 8 9 0 1 2 1 0 9 8 8 2 2 3 8 8 2 2 3 8 8 2 3 3 4 5 6 5 5 4 3 3 4 5 6 5 5 4 3 3 4 5 6 5 5 4 5 4 5 4 5 4 5 4 5 4 5 4 5 4
	DATE METER READ  I Am Voluntarily Adding \$ To My Payment For WinterCare
	E DATE PAY THIS AMOUNT PAY THIS AMOUNT ON AFTER DUE DATE OR BEFORE DUE DATE
SERVICE ADDRESS	ACCOUNT NUMBER
SERVICE READINGS FROM TO	METER NUMBER MULT. KWH USE CHARGES PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
	JAN 01 1998
	PURSUANT TO 807 KAR 5:011, CANCELLED SECTION 9 (1) BY: Stephand Buy
OFFICE / EMERGENCY NUMBERS: (502) 826-3991 (HEND OFFICE HOURS: MOI	DERSON), (502) 965-3186 (MARION), TOLL FREE IN KY 1-800-844-HUEC NDAY - FRIDAY 7:30 AM - 4:30 PM
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HENDERSON UNION ELECTRIC COOPERATI 6402 Old Corydon Rd., Post Office Box 18 Henderson, KY 42420-0018	JENDERSCH UNION EC	READ YOUR MET	TER ON THE XXXX
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	50290	**************************************	APPEARS ON METER
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PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Stephan Buy 9/25		DATE METER READ	To My Payment For WinterCare 210 - 72 PAY THIS AMOUNT ON
PLEASE RETURN ENTIRE BILL WHEN SERVICE ADDRESS			PAY THIS AMOUNT ON OR BEFORE DUE DATE EN PAYING BY MAIL 08 016 008 02 4
	DUE DATE	PAY THIS AMOUNT AFTER DUE DATE 220.37	PAY THIS AMOUNT ON OR BEFORE DUE DATE 210.72
SERVICE READINGS FROM 8/19 9/18 478 COMMERCIAL	то метея NUMB 491 С 35954	· I	CHARGES
FACILITY CHARGE DEMAND METER .043 X KW DEMAND 8.500 DEMAND CHARGES	MULT 200= KM X 3.50 =	DEMAND 8.600 \$ 37.10	24.55 30.10
KWH 1,720(KWH) X 880(KWH) X FUEL CREDIT 260 METER SURCHARGE CR 260		98.82 46.d9 50480-(FUEL RATE) 31540-(5/S RATE)	145.71 4.81CR 2.55CR
3% SCHOOL TAX 6% KENTUCKY SALES TAX PLEASE PAY THIS AMOUNT B PLEASE PAY THIS AMOUNT A	BY 10/10/97 FTER 10/10/97	-	ANCELLED 5.79 11.93 210.72 210.72 37
OFFICE / EMERGENCY NUMBERS: (502) 82 OFFICE	26-3991 (HENDERSON). (502 E HOURS: MONDAY - FRID	2) 965-3186 (MARION), TOLL FR	
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COOPERATIVE CORPORATION	PURSUANT TO 807 KAR 5:011,	Canceling P.S.C. KY No. 9
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BILL FORM - LATE NOTICE/DISCONI	NECT NOTICE	
HENDERSON UNION ELECTRIC COOP 6402 Old Corydon Road	ERATIVE	PAST DUE
P.O. Box 18 Henderson, Kentucky 42420-0018	DATE SUBJECT TO DISCONNECT	NOTICE
PHONE (502) 826-3991 • TOLL FREE 1-800-844-HUEC OFFICE HOURS - 7:30 AM TO 4:30 PM MONDAY - FRID4	DATE OF THIS NOTICE DATE BILL WAS DUE	ACCOUNT NUMBER
ACCOUNT NUMBER	SERVICE ADDRESS . AMOUNT DUE	TOTAL AMOUNT DELINQUENT
		AMOUNT ENCLOSED
Dear Member: Our records indicate that we had not received payment for y receipt of this notice, we thank you and kindly ask that you dis	rment and electric service rour electric bill by its due date. If payment has been made prior to your regard this notice. If you have not remitted your payment, please give	
	ERMINATE ELECTRIC SERVICE Irrangements are made (see reverse side) within ten (10) days of this irre and collect an additional service charge. In the event service a isounts including service charges and deposits are paid in full. This is in sectors. THIS IS YOUR FINAL NOTICE. Termination date shall	PLEASE MAKE CHECK OR MONEY ORDER PAYABLE TO:
	See reverse side for additional information	HENDERSON UNION ELECTRIC COOP
IF YOU HAVE PAID YOUR BILL	regarding disconnect procedure. SINCE DUE DATE, PLEASE DISREGARD	PLEASE RETURN THIS STUB
	TICE OF CUSTOMER RIGHTS AND REMEDIES	
This notice gives pursuant to the regula 1 Electric service shall not be terminated Henderson, Marion and Dixon, Kentucky, or to an 2. Service to a residence will not be termin the payment of any delinquent amounts and the o 3. A residential customer and Henderson Ur	titions of the Kentucky Public Service Commission prov if payment is delivered within the specified time to ei- y employee dispatched to disconnect service. Ated when the customer and Henderson Union have r isotomer is meeting the requirements of the plan. tion may negotiate a budget payment providing for the ailable which provide financial assistance in the paymen n request. make available a list of known assistance (56-3452, or the Department of Human Resources Om n request. make available a list of known assistance (56-3452, or the Department of Human Resources Om n request. make available a list of known assistance (56-3452, or the Department of Human Resources Om n request. make available a list of known assistance (software discuted residence (supported by written cer termination of electric service, termination shall not be ve elapsed from the date Henderson Union gives wr for the service termination. Kentucky Public Service Commission concerning THIS NOTICE OR YOUR RIGHTS. PLEASE VISIT OR CA	ding generally that: ther Henderson Union's offices located in negotiated a partial payment plan covering payment of a fixed amount each month on ent of utility bills for those who may qualify programs or you may call the Kentucky oudsman at 1-800-372-2973. tilicate of a physician, registered nurse, or effected until said resident can make other itten notice of possible programs offering
Franking assistance of the payment of dury bills. 6. You have the right to dispute the reason 7. You have the right to contact the IF YOU HAVE ANY QUESTIONS CONCERNING ASSISTANCE CONCERNING ANY OF THESE A	or the service termination. Kentucky Public Service Commission concerning THIS NOTICE OR YOUR PAST-DUE BILL, OR IF YC ATTERS OR YOUR BIGHTS OF CASE VISIT OR CA	this notice: Telephone 1-800-772-4636 U DESIRE FURTHER INFORMATION OR
UNION'S THREE OFFICES NOTED BELOW. MAIN OFFICE 6402 Old Corydon Road P.O. Box 18 Henderson. Kentucky 42420 502-826-3991	MARION BRANCH 703 Main Street P.O. Box 268 Marion, Kentucky 42064 502-965-3186 TOLL FREE NUMBER: 1-800-844-4832	DIXON BRANCH Gardner-Polley-Ins Court House Square CAN DIXENI KEDIUCKY 42409 502-639-9123
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#### 29. COLLECTION CHARGE

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Should it become necessary for a representative of Henderson Union to call at the member's premises or other location for the purpose of collecting a delinquent account, a charge of \$10.00 will be made to the member's account for the extra service rendered, due and payable at such time delinquent account is collected. Henderson Union will charge a collection charge only once in any bill period. If service is discontinued for nonpayment, an additional charge of \$10.00 will be made for reconnecting service, due and payable at time of such reconnection.

#### 30. RETURNED CHECK CHARGE

When a check is received in payment of a member's account and returned unpaid by a bank for any reason, such account, together with all others owed by the member shall be due and payable upon demand, and such member subject to discontinuance of service without further notice.

Henderson Union will assess a \$10.00 handling fee for any check that is returned to Henderson Union from the member's bank for insufficient funds or any reason for nonpayment.

#### 31. SPECIAL METER READING CHARGE

All meters with demand devices are read by a representative of Henderson Union.

Henderson Union utilizes a one-card system which includes the bill and the meter card. The bill/meter card is mailed monthly to all other members. Upon failure of a member to return the meter reading card for three consecutive months, Henderson Union shall have its representative read the member's meter and a service charge of \$10.00 will be made for the extra service rendered. The service charge will be made to the member's account and will be due and payable upon notice of said charge. In the event that an error in meter reading should be made, then the member shall pay for that month an equal to approximately his average bill. The following month his bill shall be computed on the regular schedule prorated for two months, and the amount paid shall be credited.

#### 32. MONITORING USAGE

The following procedure has been established for monitoring member usage so as to detect any unusual deviations in individual member usage and the reasons for such deviations:

(a) The computerized billing system is programmed to automatically alert Henderson Union to any member provided monthly meter readings which would cause KWH usage to be

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significantly higher or lower than usual. The criteria employed in the computer program to determine "high" usage is the current month's KWH usage is 200% higher than the prior month's usage. The "low" usage computer program criteria is when the current month's KWH usage is 50% less than the prior month's usage. Based on these criteria, a computer exception report is produced daily that identifies member's accounts which have significantly higher or lower KWH usage than in the previous month.

(b) The daily high/low exception report is reviewed by Henderson Union billing department personnel, wherein they consider the type of service, past KWH usage history, weather conditions, or other unique circumstances in trying to determine cause. If the cause for deviation cannot be determined from analysis of member's billing records, Henderson Union will contact customer by phone or in writing for additional verification of meter reading.

(c) Where the deviation is not other wise explained, Henderson Union will test the member's meter to determine proper registration as prescribed by regulations of Kentucky Public Service Commission. Henderson Union will notify the customer of the investigation, its findings, and any refunds or back billing in accordance with 807 KAR 5:006, Section 10(4) and (5). Henderson Union will use the same process to investigate usage deviations brought to its attention as a result of its ongoing meter reading programs or by member inquiry.

#### 33. <u>RATES</u>

Member billing is prepared on basis of rates approved by the Board of Directors and the Public Service Commission.

34. DELINQUENTS

In case a delinquent bill is uncollected, and service disconnected by reason thereof, then payment may be required of all amounts due by such member before reconnection.

#### **Classification of Members**

35. <u>SCHEDULE "A" - RESIDENTIAL (Single Phase- 50 KVA Installed Capacity or Less)</u> This rate shall apply to electric service to single family dwellings, residences, schools, churches, civic organizations and like member of a noncommercial nature.

36.	SCHEDULE "B" - FARM, GOVERNMENT, OR COMMERCIAL (50 KVA INSTALLED CAPACITY	
	OR LESS)	
	This rate shall apply to electric service accounts for commercial, farm and government members.	

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# LENDERSON UNION ELECTRIC

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## **RULES AND REGULATIONS**

- 37. SCHEDULE "B-1" - FARM OR COMMERCIAL (51 TO 501 KVA INSTALLED CAPACITY) This rate shall apply to any farm, school, church, or commercial service located on or near three-phase service.
- 38. SCHEDULE "B-2" - GRAIN BIN (51 TO 500 KVA) This rate shall apply to any grain drying service.
- 39. SCHEDULE "LP-3" - (501 TO 2000 KW) Non-dedicated Delivery Point This rate shall apply for large power members contracting for a demand of 501 to 2000 KW.
- SCHEDULE "LP-3 OFF-PEAK RATE RIDER LARGE POWER (501 TO 2000 KW) Non-dedicated 40. **Delivery Point** This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.
- 41. SCHEDULE "LP-4" - LARGE POWER (Over 2000 KW) This rate shall apply for large power members contracting for a demand of not less than 2001.
- SCHEDULE LI-1 LARGE INDUSTRIAL 42. This rate shall apply for large consumers contracting for service under terms and conditions set forth in the Large Industrial Customer Tariff of Big Rivers Electric Corporation.
- 43. SCHEDULE "SL" - STREET LIGHTS This rate shall apply to any member or organization within service area requiring "SL."
- SCHEDULE "D" SECURITY LAMP 44. This rate shall apply to any member within the service area.
- COMMERCIAL/INDUSTRIAL EXTERIOR LIGHTING 44a. This rate shall apply to any member within the service area.

TERMS OF PAYMENT

(N)

45.	TERMS OF PAYMENT Delinquent accounts are subject above rates are net, the gross ra monthly bill is not paid within fifte	te being five percent (5%) h		I
	apply.	con (10) days nom the date	PUBLIC SERVICE COMMISSION OF KENTUCKY	
46.	NO PREJUDICE OF RIGHTS Failure by Henderson Union to e waiver of the right to do so.	nforce any of the terms of th	EFFECTIVE sis tariff shall not be deemed as a SEP 10 1999 PURSUANT TO 807 KAR 5:011, SECTION 9 (1)	
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# **MENDERSON UNION ELECTRIC COOPERATIVE CORPORATION**

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#### 47. FUEL ADJUSTMENT CHARGE

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In case for any month the rate under which Seller (Henderson Union Electric Henderson Union) purchases power wholesale from its supplier (Big Rivers Electric Corporation) is adjusted in accordance with any present or future fuel cost or surcharge provided for in Seller's wholesale power contract or by order of the Public Service Commission, then the foregoing monthly energy charges shall be adjusted for each kilowatt hour sold by dividing the total dollar amount of such fuel and surcharge cost adjustment to Seller attributable to each class of service by the total number of kilowatt hours sold by Seller for such class of service for the billing month.

(The above Fuel Adjustment Charge is subject to the provisions of and may be amended from time to time to conform to 807 KAR 5:056 and to any adjustment if the fuel clause or other increments which may be made in Big Rivers Electric Corporation's fuel charges under 807 KAR 5:056 by the Public Service Commission.)

#### 48. BUDGET BILLING

Henderson Union has a budget payment plan available for its residential members whereby a member may elect to pay a monthly amount for the budget year in lieu of monthly billings for actual usage. The monthly budget payment will be determined by Henderson Union based, under normal circumstances, on a minimum of one-twelfth of the estimated annual usage, subject to review and adjustment during the budget year. The normal budget year will be October through September with the settlement month in September. The following are the conditions of the Budget Billing contract:

The member's account may be adjusted through a series of levelized adjustments on a monthly basis if usage indicates that the account will not be current upon payment of the last budget amount.

Any member being billed by budget payment contract agrees to all rules, regulations and policies as all other members receiving service from Henderson Union.

In addition to making the budget payment monthly, the member agrees to render a meter reading by the due date or if the account is estimated for three consecutive months, Henderson Union personnel will read the meter which will result in a \$10.00 meter reading fee being added to the bill.

If member fails to pay bills as rendered under the budget payment plan, Henderson Union reserves the right to revoke the plan, restore the member to regular billing and require immediate payment of any deficiency.

The member may cancel the budget payment plan by giving a 30-day notice or by disconnection of service. Upon termination of the contract, the final billing will be adjusted to actual usage.CANCELLED

	PUBLIC SERVICE COMMISSION
DATE OF ISSUE November 18, 1997	EFFECTIVE DATE EFFECTIVE January 1, 1998
Month Day Year	JAN 0 1 1998 Month Day Year
ISSUED BY John West	President & CEO P. O. Box 18, Henderson, KY 42420
John West	PURSUANT, 10 807 KAH 5.011,         Address           SEUTION 9 (1)         Address
	BY: SPEARY OF THE COMMISSION

For All Territory Served

P.S.C. No.\_\_\_\_\_10\_\_\_

Original Sheet No. 24

Canceling P.S.C. KY No. \_\_\_\_9

\_Sheet No.\_\_\_

# RULES AND REGULATIONS

Failure to receive a bill in no way exempts member from the provision of these TERMS AND CONDITIONS.

Fuel clause adjustments (+/-) are in addition to the minimum. Members's bill will be due within 10 days from date of bill.

#### 49. ENERGY EMERGENCY CONTROL PROGRAM

Purpose -To provide a plan for reducing the consumption of electric energy on Henderson Union Electric Cooperative Corporation (Company) system in the event of a severe coal shortage, such as might result from a general strike in the coal mines, or from a request for load curtailment from our wholesale supplier or other sources of information.

For the purpose of this program, the following priority levels have been established:

- I. Essential Health and Safety Uses-as defined in Appendix A
- II. Residential Uses-as defined in Appendix B
- III. Commercial Uses-as defined in Appendix B
- IV. Industrial Uses-as defined in Appendix B
- V. Nonessential Uses-as defined in Appendix C

Procedures--In the event of a potential severe coal shortage, such as one resulting from a general coal strike the following steps will be implemented. These steps will be carried out to the extent not prohibited by contractual commitments or by order of the regulatory authorities having jurisdiction. The "days' operation" referred to below will be furnished by the generation and transmission utility which supplied the wholesale power to the company. After each curtailment of electric service, the generation levels will be adjusted to the new, reduced level in the calculation by the generation and transmission utility of the "days' operation" of remaining coal inventory.

- I. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 50 days' operation of coal-fired generation and a continued downward trend in coal stocks is anticipated:
- 1) Curtail the use of energy in all company offices, plants, etc. PUBLIC SERVICE COMMISSION OF KENTUCKY To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 40 days' H. EFFECTIVE operation of coal-fired generation and a continued downward trend in coal stocks is anticipated: 1) Curtail electric energy consumption by members on interruptible contracts to a JAN 01 1998 maximum number of hours of use per week as negotiated within the context to the contract provisions. PURSUANT TO 807 KAR 5011. SECTION 9 (1) BY Stephand Buy STORETARY OF THE COMMISSION DATE OF ISSUE DATE EFFECTIVE November 18, 1997 January 1. 1998 Month Dav Year Month Day Year ISSUED BY President & CEO P. O. Box 18, Henderson, KY 42420

Title

Address

NDERSON UNION ELECTRIC

John West

For All Territory Served	
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- 2) Through use of the news media and direct member contact, appeal to all members, both retail and wholesale, to voluntarily reduce their use of electric energy as much as possible, and in any case endeavor to reduce the nonessential use of electricity (Priority Level V) by at least 5%.
- 3) The Company shall advise members of the nature of the mandatory program to be introduced in Section III below through direct contact and mass media, and establish an effective means of answering specific member inquires concerning the impact of the mandatory program on his electricity availability.
- III. To be initiated-in the order indicated below-when Big Rivers Electric Corporation's fuel supplies are decreased to 30 days' operation of coal-fired plants and continued downward trend in coal stocks is anticipated:
  - Implement mandatory curtailment of electric service to all members as indicated below:
    - (a) Priority Level V 100%
    - (b) Priority Level IV-5% (based on the "monthly base period use" as defined in Appendix D)
    - (c) Priority Level II and Priority Level III-15%
  - 2) Utilize voltage reduction as a means of reducing KWH consumption if this is deemed a feasible and viable measure in the Company's service area.
  - The Company shall advise all members of the mandatory program specified in Section IV below.

Implement mandatory curtailment of electric service to all members as indicated

IV. To be initiated when Big Rivers Electric Corporation's fuel supplies are decreased to 0 days' operation of coal-fired generation (at the daily burn rate resulting from the curtailments implemented in Section III, above) and continued downward trend in coal stock is anticipated.

1) PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

- (a) Priority Level V-100%
- EFFECTIVE
   (b)
   Priority Level IV-50% (an additional 5% of the original "monthly base period use" as defined in Appendix D).

   JAN 0 1 1998
   (c)
   Priority Level II and Priority Level III 5%

   (d)
   Priority Level I-10%
   JUN 2001

PURSUANT TO 807 KAR 5:011. SECTION 9 (1) BY: Stephand Buy SECRETARY OF THE COMMISSION

DATE OF ISSUE November 18, 1997	DATE EFFECTIVE January 1, 1998
Month Day Year	Month Day Year
ISSUED BY Bane West	President & CEO P. O. Box 18, Henderson, KY 42420
John West	Title Address

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				P.S.C	C. No		10
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						Sheet N	D
			RULES AND REGULATIONS		· · · · ·		
		2)	The company shall advise all members of the V below.	e manda	atory prog	gram specified ir	Section
	V.	supplie	initiated when the Big Rivers Electric Corporations are decreased to a level which will result in 6 I, II, and III, after the following curtailment has	60 days'	operation	n remaining for F	
		1)	Implement mandatory curtailment of electric Priority Level I) at a minimum service level wh protection of human life and safety, protection employees' security.	nich is n	ot greate	r than that requir	
		2)	The Company shall advise all members of th VI below.	e mand	atory pro	gram specified i	1 Section
	VI.	are de the cu	initiated as a measure of last resort when Big R creased to 15 days' operation of coal fired gene rtailments implemented in Section V, above) ar is anticipated:	eration (	at daily b	urn rate resulting	g from
-		1)	Implement procedures for interruption of sele basis, while minimizingto the extent practica				
		Compa the Co curtail	egard to mandatory curtailment identified in Sec any proposes to monitor compliance after the fa mmission. A member exceeding his electric er his usage or face, upon continuing noncomplia nection of electric service for the duration of the	act, to th nergy al ince and	ne extent lotment v d upon or	feasible, as app vould be warned ne day's written n	to
	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE		hation of Energy EmergencyThe Energy Emer ated upon notice of the Commission, when (a) the remaining days of operation of co (b) coal deliveries have been resumed (c) there is reasonable assurance that the adequate levels.	bal-fired	generati	on is at least 0 d	
	JAN 01 1998		APPENDIX "A" ESSENTIAL HEALTH AND SAFETY US	SES [	CAN	NCELLED	
	PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Stephand Buy SECRETARY OF THE COMMISSION	-			JUN	2001	
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LECTRIC COOPERATIVE CORPORATION

Essential health and safety uses given special consideration in these procedures shall, insofar as the situation permits, include the following types of use and such other uses which the Commission may subsequently identify:

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of person. These uses shall include essential street, highway and signal-lighting services.
- (d) "Fire Station", which shall be limited to facilities housing mobile fire=fighting apparatus.
- (e) "Communication Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (g) "Transportation and Defense-related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services.
- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution--for fuel--of natural or manufactured gas, coal, oil or gasoline.
- (I) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the member's load.

Although these types of uses will be given special consideration when implementing the manual load-shedding provision of this procedure, these members are encouraged to install emergency generation equipment if continuity of service is essential. In case of members supplied from two utility sources, only one source will be given special consideration. Also, any other member who, in their opinion, have critical equipment should install emergency generation equipment.

#### APPENDIX "B" RESIDENTIAL USES, COMMERCIAL USES, AND INDUSTRIAL USES

"Residential Uses", "Commercial Uses", and "Industrial Uses", shall be defined for purposes of this Energy Emergency Control Program to be the same as the "Residential", "<u>Commercial" and "Industrial" classifications</u>, respectively, in the rate schedules in the Electric Distribution Utility Company's tariff, with the exclusion of those uses defined as "Essential Health and Safety Uses: in Appendix A and those defined as "Nonessential Appendix C.

	JUN 2001
	PUBLIC SERVICE COMMISSION
DATE OF ISSUE November 18, 1997	EFFECTIVE January 1, 1998
Month Day Year	JAN 0 1 1998 Month Day Year
ISSUED BY John West	President & CFO, P.O. Box 18, Henderson, KY 42420
John West	TisterTION 9 (1) Address
	BY: SECRETARY OF THE COMMISSION

For All Territory Served

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# RULES AND REGULATIONS

## APPENDIX "C" NONESSENTIAL USES

The following and similar types of uses of electric energy and others which the Commission may subsequently identify shall be considered nonessential for <u>all</u> members:

- (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
- (b) General interior lighting levels greater than minimum functional levels.
- (c) Show-window and display lighting.
- (d) Parking-lot lighting above minimum functional levels
- (e) Energy use greater than that necessary to maintain a temperature of not less than 78 degrees during operations of cooling equipment and not more than 65 degrees during operation of heating equipment.
- (f) Elevator and escalator use in excess of the minimum necessary for non-peak hours of use.
- (g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business-related activities during non-business hours.

### APPENDIX "D" MONTHLY BASE PERIOD

"Monthly Base Period Use" is defined as the member's usage during the corresponding monthly billing cycle of the twelve monthly billing periods immediately prior to December 31 of the year immediately preceding the current year, adjusted to reflect any increases or decreases of load in the most recent three-month period due to the installation or removal of equipment or a change in operating rate as computed in the formula.

Upon application by the member and agreement by the Company, a one-time adjustment of the monthly energy use of the twelve-month billing period ending December 31 of the year immediately preceding the current year, or an adjustment of the prior three-month usage (PQKWH), will be made to correct any abnormalities of energy use resulting from such events as strikes and breakdowns of major equipment that may have occurred during the period in question. For members connected after December 31 of the year preceding the current year by one year, base period energy use will be negotiated between the member and the Company

	AMPB = CM x <u>PQ</u> BPQ	PUBLIC SERVICE COMMISSION OF KENTUCKY
WHERE: AMBP = Adjusted Mont	CANCELLED	EFFECTIVE
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- CM = Corresponding month during the year immediately preceding the current year
- PQ = Average use (KW or KWH) for the second, third and fourth monthly billing periods immediately prior to the date of the curtailment order. Should a curtailment be extended so that one of the three monthly billing periods reflects usage under a curtailment period, the actual billing for that month is replaced with the AMBP previously calculated or that month.

BPQ = Average of corresponding three monthly billings prior to CM.

Example: (Curtailment ordered during month of May 1981)

1st Curtailment Month

NDERSON UNION ELECTRIC

Since the April 1981 billing may not always be available, then for uniformity to all members--from the time curtailment is ordered until the May meter reading date

May '81 ≑ <u>(Jan., Feb., March)</u> '81 x May '80 (Jan., Feb., March) '80

2nd Curtailment Month

June '81 = <u>(Feb., March, April)</u> '81 x June '80 (Feb., March, April) '80

3rd Curtailment Month

Since May '81 will reflect electric use under a curtailment, May '81 will be replaced with May '81 as calculated in the 1st curtailment Month:

July '81 = <u>(March, April, May)</u> '81 x July '80 (March, April, May)

NOTE: The nomenclature for any one billing period is determined by the last reading date in the period, i.e., a bill from April to May is considered the May billing period.

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PURSUANT TO 807 KAR 5:011 SECTION 9 (1) BY: Stechand Buy CLOBETARY OF THE COMMISSION	
PATE OF ISSUE November 18, 1997 DATE EFFECTIVE January 1, 1998	
Month Day Year Month Day Year	
ISSUED BY John West President & CEO P. O. Box 18, Henderson, KY	42420
John West Title Address	

# KENERGY CORP.

# (FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)

FOR\_\_\_ALL TERRITORY SERVED

Community, Town or City PSC KY. NO. \_7\_\_\_\_

Eighth Revised SHEET NO. 1

CANCELLING PSC NO. \_\_\_\_7

<u></u>	Seventh Revised SHEET	NO. <u>1</u>
CLASSIFICATION OF SH	ERVICE	
SCHEDULE "A" – RESIDENTIA	L (Single Phase)	RATE PER UNIT
AVAILABILITY This rate shall apply to electric service to single family dy Organizations and like customers of noncommercial natu <u>MONTHLY RATE</u> Customer Charge per delivery point (R) Energy Charge per kWh School Taxes added if applicable.	ire.	\$7.91 .056073
Kentucky Sales Taxes to be added to bill if applicab TYPE OF SERVICE		
Single phase with secondary voltages available in th	ne vicinity or agreed by distributor.	
TERMS OF PAYMENT		
Delinquent accounts are subject to a collection fee of The above rates are net, the gross rate being five (50 monthly bill is not paid within fifteen (15) days from shall apply.	%) higher. In the event the current	
JUN 2001	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	
	SEP 02 2000 PURSUANT TO 807 KAR 5.011.	- - -
DATE OF ISSUE September 8, 2000	BY: Skehand (Interim Rates Sub	per 2, 2000 ject to Change)
ISSUED BY Dean Stanley	SECRETARY OF THE CONTAISSION TITLE President and CE	EO

NAME OF OFFICER

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395.

# KENERGY CORP.

# (FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)

L TERRITORY SERVED	
Community, Town or City	

PSC KY. NO. \_ 7\_\_\_\_

Original \_\_\_\_\_SHEET NO. \_\_\_1A

CANCELLING PSC NO.

\_\_\_\_\_ SHEET NO. \_\_\_\_\_

CLASSIFICATION OF SERVICE				
	REDIT RIDER	RATE PER UNIT		
Availability	EFFECTIVE			
In all territory served.	SEP 02 1999			
	FURSUANT TO 807 KAR 5:011,			
Applicable	SECTION 9 (1) BY: Stephand Bul			
To all electric rate schedules except "LP-4" dedic above and smelter customers served under specie				
and the state of the				
Consolidation Credit	7			
The monthly billing amount computed under eac credit is applicable shall be reduced by 4% before tax, landfill fee or other similar items.				
Term				
The base rates of the applicable rate schedules rider will be in effect from September 2, 1999 u incurrence of extraordinary circumstances or flow wholesale supplier; provided, however, there will or credit rider without prior approval of the Comm the right to adjust the consolidation credit rider a	ntil September 1, 2004, absent the w-through of changes in rates from not be any adjustment in said rates mission. The Commission reserves t such future rate proceedings upon			
review of the results of the detailed cost-of-service	JUN 2001			
DATE OF ISSUE June 30, 2000	DATE EFFECTIVE September 2.			
SSUED BY Den Hanley	September 1,2       TITLE       President and CE			
NAME OF OFFICER		<u> </u>		

ISSUED BY AUTHORITY OF PSC ORDER NO. 99-162.

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7\_\_\_

Seventh Revised SHEET NO. 2

CANCELING P.S.C. 7

Sixth Revised SHEET NO. 2

# CLASSIFICATION OF SERVICE

# SCHEDULE "A" - RESIDENTIAL (Single Phase)

Members 65 and older who have proved proof of age to cooperative will not be charged the five (5%) penalty on the current monthly bill at their primary residence. If payment is not received in thirty day period, five percent (5%) penalty will be applied.

The five percent (5%) penalty will be forgiven on one bill each calendar year on all customers in this class of service.

			PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
		CANCELLED JUN 2001	JUL 18 1998 PURSUANT TO BOT KAR 5011, SECTION 9 (1) BY: TKE COMMISSION SECRETARY OF THE COMMISSION
DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998
ISSUED BY AM	L Welf	TITLE	President & CEO
		ice Commission of Kentuck	y in Case No. 97-220

# KENERGY CORP.

# (FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)

FOR <u>ALL TERRITORY SERVED</u>

Community, Town or City PSC KY. NO. \_\_7\_\_\_\_

Eighth Revised SHEET NO. 3

CANCELLING PSC NO. \_\_\_\_

Seventh Revised \_\_\_\_ SHEET NO. \_\_\_\_\_

CLASSIFICATION OF SERVICE	
SCHEDULE "B" – FARM, GOVERNMENT OR COMMERCIAL (50 KVA OR LESS)	RATE PER UNIT
AVAILABILITY	
This rate shall apply to electric service accounts for commercial, farm and governme consumers.	nt
MONTHLY RATE	
(R) Customer Charge Single-Phase Customer Charge Three-Phase	
(R) Energy Charge per KWH	056073
School Taxes added if applicable. Kentucky Sales Taxes to be added to bill if applicable.	
TYPE OF SERVICE	
<ul> <li>(1) An agreement for purchase of power shall be executed by the Consumer if three phase service is requested and built.</li> <li>(2) A minimum bill will be imposed at a rate of 3% per month of total investment</li> </ul>	e- PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
(2) A minimum off will be imposed at a fate of 5% per month of total investment construction cost for extending this service for a period of three years, then the regular rate will apply.	SEP 02 2000
TERMS OF PAYMENT	PURSUANT TO 807 KAR 5.011, SECTION 0 (1)
Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In event the current monthly bill is not paid within fifteen (15)-days from the date of bill, the gross rate will apply.	
DATE OF ISSUE September 8, 2000 DATE EFFECTIVE Sept	tember 2, 2000
ISSUED BY Arm Manley TITLE President a	and CEO

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395

Form for Filing Rate Schedules

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7

Seventh RevisedSHEET NO. 4

CANCELING P.S.C. 7

Sixth Revised SHEET NO. 4

# CLASSIFICATION OF SERVICE

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# "THIS PAGE RESERVED FOR FUTURE USE"



**KENERGY CORP.** 

# (FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)

FOR \_\_\_\_\_ALL TERRITORY SERVED

Community, Town or City PSC KY. NO. \_7\_\_\_\_

Seventh Revised SHEET NO. 5

CANCELLING PSC NO. \_\_7\_\_\_\_

	Sixth Revised	SHEET N	IO. <u>5</u>
CLASSIFICATION OF	SERVICE		RATE PER
SCHEDULE "B-1" – FARM OR COMMI	ERCIAL (51 TO 501 KVA)	)	UNIT
AVAILABILITY This rate shall apply to any farm or commercial service	located on or near three-ph	ase service.	
MONTHLY RATE			
Customer Charge per delivery point Demand Charge per KW			\$25.00 \$3.50
First 200 KWH/KW of Billing Demand Next 200 KWH/KW of Billing Demand Over 400 KWH/KW of Billing Demand	PUBLIC SERVICE COMM OF KENTUCKY EFFECTIVE	NISSION	.052920 .04232 .039200
School Taxes added to bill if applicable. Kentucky Sales Taxes added to bill if applicable.	SEP 02 200 PURSUANT TO 807 KAR		
PRIMARY SERVICE DISCOUNT	SECTION 9 (1) BY: <u>Stephan()</u> BU	1	
If service is furnished at primary distribution voltage, Demand will be applied to the monthly bill. The Coope at primary or secondary voltage.	a discount of \$.50 per KV erative shall have the option	W of Billing of metering	
TYPE OF SERVICE			
Single or three-phase service with secondary voltage distributor.	available in the vicinity of	or agreed by	
DATE OF ISSUE September 8, 2000	_ DATE EFFECTIVE	September	2, 2000
	·	rim Rates Subje	<b>.</b> .
SSUED BY NAME OF OFFICER	_ TITLE <u>Pres</u>	ident and CE	.0

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395
Form for Filing Rate Schedules		For <u>All Territory Served</u> Community, Town or City
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	P.S.C7
/	JUL 18 1998	Seventh RevisedSHEET NO. 6
	PURSUANT TO 607 KAR 5011.	CANCELING P.S.C7_
	SECTION 9 (1) BY: Cherinano Buy	Sixth Revsied SHEET NO. 6
	CLASSIFICATION OF SERVI	СЕ
SCHEDULE '	"B-1" - FARM OR COMMERCIA	AL (51 to 501 KVA)

- (1) An "Agreement for Purchase of Power" shall be executed by the consumer if three-phase service is requested and built.
- (2) A minimum bill will be imposed at a rate of 3% per month of total investment construction cost for extending this service for a period of three years, then the regular rate will apply.

(3) All wiring, pole lines, wires, and other electrical equipment beyond the metering point, exclusive of the service transformers and protective equipment, is considered the distribution system of the consumer and shall be furnished and maintained by the consumer.

# DETERMINATION OF BILLING DEMAND

The billing demand shall be the maximum kilowatt demand established by the consumer for any period of fifteen (15) consecutive minutes during the month for which the bill is rendered, as indicated or recorded by a demand meter.

# POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

# TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

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DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998
ISSUED BY John	tivest	TITLE	President & CEO

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_7\_\_\_

Seventh Revised SHEET NO. 7

CANCELING P.S.C. 7

Sixth Revised SHEET NO. 7

# CLASSIFICATION OF SERVICE

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			PUBLIC SERVICE COMMISSION OF KENTLICKY EFFECTIVE
		CANCELLED JUN 2001	JUL 18 1998 PURSUAINT TO 507 KAR 5:011, SECTION 9 (1) BY: <u>OF ALLO</u> BUD SECTION OF THE COMMISSION
DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998
ISSUED BY John	Difficer	TITLE	President & CEO
Issued by authority of an	Order of the Public Serv	ice Commission of Kentucky	in Case No. 97-220

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUL 18 1998

PURSUANT TO 807 KAR 5:011, DECTION 9 (1) BY: Stophand Bad SECRETARY OF THE COMMISSION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7

Fourth Revised SHEET NO. 7.1

CANCELING P.S.C. 7

Third Revised SHEET NO. 7.1

CLASSIFICATION OF SERVICE

#### SCHEDULE "B-2" - GRAIN BIN (51 to 500 KVA)

#### AVAILABILITY

This rate shall apply to any grain drying service.

#### MONTHLY RATE

Customer charge per delivery point	\$18.00	(I)
Energy Charge per kWh	.071800	)0 (R)

School Taxes added to bill if applicable. Sales Taxes added to bill if applicable.

### TYPE OF SERVICE

Single or three-phase service with secondary voltage available in the vicinity or agreed by distributor.

#### CONDITIONS OF SERVICE

An "Agreement for Purchase of Power" shall be executed by all consumers requesting this rate. A minimum bill will be imposed at (1) A rate of 3% per month of the total investment construction cost for extending this service or (2) the regular customer charge whichever is greater, for a period of three years. After the three year period, the regular customer charge will apply.

TERMS	OF	PAYMENT
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Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998	
ISSUED BY John	walket	TITLE	President & CEO	
Name of O				

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7

Fourth Revised SHEET NO. 7.2

CANCELING P.S.C. 7

Third Revised SHEET NO. 7.2

### CLASSIFICATION OF SERVICE

(T)



HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_7\_\_\_

Eighth Revised SHEET NO. 8

CANCELING P.S.C. 7

Seventh Revised\_SHEET NO.\_\_8\_\_\_

# CLASSIFICATION OF SERVICE

(T)

	CANCELLED JUN 2001	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE JUL 18 1998 PURSUANT TO ROT KAR 5:011, SECTION 9 (1) BY: STOP 540 BLUE SECRETARY OF THE COMMISSION
DATE OF ISSUE August 24, 1998	DATE EFFECTIVE	July 18, 1998
ISSUED BY John culest		President & CEO
Name of Officer Issued by authority of an Order of the Public Servi	ce Commission of Kentucky	in Case No. 97-220

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7

Ninth Revised SHEET NO. 9

CANCELING P.S.C. 7

Eighth Revised SHEET NO. 9

### CLASSIFICATION OF SERVICE

**(**T**)** 



HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_7\_\_\_

Ninth Revised SHEET NO. 10

CANCELING P.S.C. 7

Eighth Revised SHEET NO. 10

# CLASSIFICATION OF SERVICE

(T)

	CANCELLED JUN 2001	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE JUL 18 1998 PURSUANT TO 607 KAR 5:011, BECTION 9 (1) BY: <u>STAR SAO</u> BLU SECRETARY OF THE COMMISSION
DATE OF ISSUE August 24	, 1998 DATE EFFE	CTIVE July 18, 1998
ISSUED BY John West		President & CEO
Name of Officer		
Issued by authority of an Order of the P	ublic Service Commission of	Kentucky in Case No. 97-220

,	FORALL TERRITORY SERVED
KENERGY CORP.	Community, Town or City PSC KY. NO. 7
(FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE)	Second RevisedSHEET NO10.1
	CANCELLING PSC NO7
	First Revised SHEET NO. 10.1
CLASSIFICATIO	N OF SERVICE
Large Industrial Customers Served Under Spec Subject to the Big Rivers Large Industrial Custo	
Availability	
This rate shall apply to those power requirements subject to service under terms and conditions set for Expansion Rate of Big Rivers Electric Corporation. The should Big Rivers Large Industrial Expansion Rate be o	rth in the Large Industrial Customer This rate shall cease to be available
Conditions of Service	
Service hereunder shall be subject to the following co	onditions:
<ol> <li>The consumer must execute a written contract existing contract; and</li> <li>The consumer's service characteristics must of consumer's load for service under the Big Rivers La Tariff; and</li> <li>It shall be the responsibility of the consumer to co its authorized agent all transactions that the Coope customer pursuant to the Big Rivers Large Industrial C</li> </ol>	qualify all or some portion of the arge Industrial Customer Expansion pordinate through the Cooperative or erative must make on behalf of the
Monthly Rate	
A. Wholesale Power Cost:	
An amount equal to all the monthly charges levied Rivers Large Industrial Customer Expansion Rate for transmission service) hereunder.	
B. Retail Adders:	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
Retail Adders shall be determined on a case-by-ca consumer's load served under this tariff. CANC	
DATE OF ISSUE June 1, 2000 JUN D ISSUED BY Dean Branchey NAME OF OFFICER	PURSUANT TO 807 KAR 5.011. DATE EFFECTIVE UNDER 100001) BY: Stephan Buy SECRETARY OF THE COMMISSION TITLE President and CEO

ISSUED BY AUTHORITY OF PSC ORDER NO.

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUL 18 1998

PURSUANT TO 507 KAR 5011, SECTION 9 (1) BY: <u>STOP AND</u> BUD SECRETARY OF THE COMMISSION

SECRETARY OF THE COMMISSION

## For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7

Eighth Revised SHEET NO. 11

CANCELING P.S.C.\_\_\_\_7\_

Seventh Revised SHEET NO. 11

#### CLASSIFICATION OF SERVICE

# SCHEDULE "LP-3" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

#### AVAILABILITY

This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.

#### CONDITIONS OF SERVICE

An "Agreement for Purchase of Power" shall be signed by the consumer for service under this rate.

#### CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the seller and consumer.

### DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of : a.) The Member's maximum integrated fifteen-minute demand at such delivery point during each billing month, determined by meters which record at the end of each fifteen-minute period the integrated kilowatt demand during the preceding fifteen minutes; b.) the Contract Demand; or c.) 500 KW.

#### POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factor lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

MINIMUM DEMAND	CHARGE		CANCELLED	
The minimum r "Agreement for Purchas	nonthly demand charge sh te of Power."	all be no less than the am	ount as specified in the JUN 2001	
DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998	
	Officer	TITLE	President & CEO	

#### KENERGY CORP. (FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)

	FOR	ALL	TERRIT	TORY S	SERVED
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Community, Town or City PSC KY. NO. \_7\_\_\_\_

\_\_\_\_<u>Tenth Revised</u>\_\_\_SHEET NO. \_\_\_12\_\_\_\_

CANCELLING PSC NO. \_\_\_7\_\_\_\_

Ninth Revised SHEET NO. 12

CLASSIFICATION OF SERVICE	
SCHEDULE "LP-3"-LARGE POWER (501 TO 2000 KW) NON-DEDICATED DELIVERY	RATE PER UNIT
MONTHLY RATE	
Option A - High Load Factor: Customer Charge Plus Demand Charge of: First 500 KW of Billing Demand Over 500 KW of Billing Demand	\$100.00 \$ 8.75 \$ 7.80
Plus Energy Charges of: First 200 KWH per KW, per KWH Next 200 KWH per KW, per KWH All Over 400 KWH per KW, per KWH Primary Service Discount	3.10¢ 2.55¢ 2.30¢ \$.50/KW
<ul><li>(N) Option B - Low Load Factor:</li><li>(N) Customer Charge</li></ul>	\$100.00
(N) Plus Demand Charge of: per KW of Billing Demand	\$ 4.57
(N) Plus Energy Charges of:PUBLIC SERVICE COMMISSIONFirst 150 KWH per KW, per KWHOF KENTUCKYOver 150 KWH per KW, per KWHEFFECTIVE	4.19¢ 3.85¢
Primary Service Discount CANCELLED SEP 02 2000	\$ .50/KW
JUN 2001 PURSUANT TO 807 KAR 5.011, SECTION 9 (1) BY: Stephano Bul SICRETARY OF THE COMMISSION	
DATE OF ISSUE September 8, 2000 DATE EFFECTIVE September	r 2. 2000
(Interim Rates Subj	
ISSUED BY Dean Stanley TITLE President and CI NAME OF OFFICER	

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395

		FOR <u>ALL TERRITORY SERVED</u> Community, Town or City		
	KENERGY CORP.	PSC KY. NO		
)	(FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)	Tenth Revised SHEET NO. 13		
		CANCELLING PSC NO7		
1	CLASSIFICATION OF SEF	<u>Ninth Revised</u> SHEET NO. 13		
	SCHEDULE "LP-3" LARGE POWER (501 to 2000 KW			
	<ul> <li><u>PRIMARY SERVICE DISCOUNT</u></li> <li>If service is furnished at primary distribution voltage, a Demand will be applied to the monthly bill. The Cooperat at primary or secondary voltage.</li> <li><u>TERMS OF PAYMENT</u></li> <li>Delinquent accounts are subject to a collection fee of \$10. rates are net, the gross rate being five percent (5%) highe bill is not paid within fifteen (15) days from the date of the</li> </ul>	.00 or disconnection of service. The er. In the event the current monthly		
		PUBLIC SFRVICE CON MUSIO OF KENTUCKY EFFECTIVE		
	CANCELLED	SEP 02 2000		
	JUN 2001	PURSUANT TO 807 KAR 5011. SECTIO J. (1) BY Stephan Bul SECRETARY OF THE COMMISSION		
,	DATE OF ISSUE September 8, 2000	DATE EFFECTIVESeptember 2, 2000		
)		(Interim Rates Subject to Change)		
rî.	ISSUED BY Dean Stanley	TITLE President and CEO		
	NAME OF OFFICER			

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395

Form for Filing Rate Schedules	2	For <u>All Territory Served</u> Community, Town or City
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	P.S.C7
	JUL 18 1998	Fifth Revised SHEET NO. 13.1
	PURSUANT TO 607 KAR 5.011, SECTION 9 (1)	CANCELING P.S.C. 7
	BY Stylend BU	Fourth Revised SHEET NO. 13.1
C	LASSIFICATION OF SERVICE	E
SCHEDULE "LP-3 OFF-H	PEAK" Rate Rider - LARGE PO	WER (501 to 2000 KW)

#### Non-dedicated Delivery

#### AVAILABILITY

This rate shall apply for large power consumers contracting for a demand of 501 to 2000 KW.

#### **CONDITIONS OF SERVICE**

- (1) An "Agreement for Purchase of Power" for a period of one year shall be signed by the consumer for service under this rate. The agreement will be automatically renewable on its anniversary date.
- (2) The LP-3 OP rider may be terminated by either the Cooperative or by the consumer with a thirty-day written notice.

#### CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the seller and consumer.

### DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated fifteen-minute demand at such delivery point during each billing month, determined by meters which record at the end of each fifteen-minute period the integrated kilowatt demand during the preceding fifteen minutes; b.) the Contract Demand; or c.) 500 KW.



DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998	
ISSUED BY ben	west	TITLE	President & CEO	
Mame of Offi	cer			
Issued by authority of an Or	der of the Public Servi	ce Commission of Kentucl	ky in Case No. 97-220	

Form for Filing Rate Schedules		For <u>All Territory Served</u> Community, Town or City		
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PUBLIC SERVICE COMMISSION OF KENTUCKY	P.S.C7		
	EFFECTIVE	Fifth Revised SHEET NO. 13.2		
	JUL 18 1998	CANCELING P.S.C. 7		
	PURSUANT TO 507 KAR 5:011, SECTION 9 (1)	Fourth Revised SHEET NO. 13.2		
C	CLASSIFICATION OF SERVIC	E		
SCHEDULE "LP-3 OFF PI	EAK RATE RIDER" - LARGE I	POWER (501 to 2000 KW)		

Non-dedicated Delivery

The Billing Demand as modified by this rider shall be the greater of the applicable percentages as listed below.

 60% of any demand set between
 8:00 a.m. & 5:00 p.m.

 110% of any demand set between
 5:00 p.m. & 10:00 p.m.

 20% of any demand set between
 10:00 p.m. & 5:00 a.m.

 110% of any demand set between
 5:00 a.m. & 8:00 a.m.

#### POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factor lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

#### MINIMUM DEMAND CHARGE

The minimum monthly demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

#### MONTHLY RATE

Customer charge per delivery point	\$100.00 (I)
	CANCELLED
	, II I N 20i)1
DATE OF ISSUE August 24, 1998	DATE EFFECTIVEJuly 18, 1998
ISSUED BY Johnwest	TITLE President & CEO
Drame of Officer	

#### HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7\_\_\_

Fourth Revised SHEET NO. 13.3

CANCELING P.S.C. 7

Third Revised SHEET NO. 13.3

# **CLASSIFICATION OF SERVICE**

# SCHEDULE "LP-3 OFF PEAK RATE RIDER" - LARGE POWER (501 to 2000 KW) Non-dedicated Delivery

First	200 kWh/kW of Billing Demand	.03100	(R)
Next	200 kWh/kW of Billing Demand	.02550	(R)
Over	400 kWh/kW of Billing Demand	.02300	(R)

School Taxes added to bill if applicable. Kentucky Sales Taxes added to bill if applicable.

#### PRIMARY SERVICE DISCOUNT

If service is furnished at primary distribution voltage, a discount of \$.50 per kW of Billing Demand will be applied to the monthly bill. The Cooperative shall have the option of metering at primary or secondary voltage.

#### TERMS OF PAYMENT

Delinquent accounts are subject to a collection fee of 10.00 or disconnection of service. The above rates are net, the gross rate being five (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate shall apply.

ISSUED BY John	officer	TITLE	President & CEO
DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998
		JUN 2001	PURSUANT TO 107 KAR 5011, NG (1) BY BCU SECRETARY OF THE COMMISSION
		CANCELLED	JUL 18 1998
			PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

(N)

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUL 18 1998

PURSUANT TO 607 KAR 5:011, SECTION 9 (1) BY: SECRETARY OF THE COMMISSION

CLASSIFICATION OF SERVICE

#### SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

#### AVAILABILITY

This rate shall apply for: 1) large consumers contracting for demand of not less than 501 kW where service is provided through a dedicated substation connected to the 69 k. Transmission system of Big Rivers or other accessible system; 2) any large power consumer contracting for not less than 2001 kW.

#### **CONDITIONS OF SERVICE**

An "Agreement for Purchase of Power" shall be signed by the consumer for service under this rate.

### CHARACTER OF SERVICE

Service shall be the 60 Hz, 3-phase, at voltage as mutually agreed by the Seller.

#### DETERMINATION OF BILLING DEMAND

For all delivery points, the Billing Demand in kilowatts shall be the highest of: a.) The Member's maximum integrated thirty-minute demand at such delivery point during each billing month, determined by meters which record at the end of each thirty-minute period the integrated kilowatt demand during the preceding thirty minutes; b.) the Contract Demand.

#### POWER FACTOR ADJUSTMENT

The consumer agrees to maintain unity power factor as nearly as practicable. Demand charge will be adjusted for average power factors lower than 90%. Such adjustment will be made by increasing demand 1.% for each 1.% by which the average power factor is less than 90% leading or lagging.

<u>.</u>			JUN 2001	
DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18 <del>,</del> 1998	
ISSUED BY	Inwest	TITLE	President & CEO	
/Name of	Officer			

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220

FOT	All Territory Serve	a
Comm	unity, Town or City	
P.S.C.	·······	7

Ninth Revised SHEET NO. 14

CANCELING P.S.C. 7

Eighth Revised SHEET NO. 14

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.\_\_\_\_\_7\_\_\_

Eighth Revised SHEET NO. 15

CANCELING P.S.C. 7

Seventh Revised SHEET NO. 15

#### CLASSIFICATION OF SERVICE

#### SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

#### **METERING**

Electrical usage will be metered at the transmission voltage supplied or at the consumer's secondary voltage with a 1.% adder to the metered KWH to account for transformer losses, as determined by Seller.

#### MONTHLY RATE

Customer charge per delivery point		\$1	00.00	(N)
Demand Charge per KW of Billing De	mand		10.15	(I)
Energy Charges: First 5,000,000 kWh, per kWh Over 5,000,000 kWh, per kWh			0.01827 0.01677	750 (R)
Monthly Facilities Charge per Dollar of School Taxes added to bill if applicabl		PURITO	1.35%	(N)
Kentucky Sales Taxes added to bill if a	ERVICE COMMISSION F Kentucky EFFECTIVE			
	CANCELLED		18 1998	
	JUN 2001	BY SECRETARY OF	O PO7 KAR V 9 (1) BUU THE COMMISS	5011, 1 310N
		· · · · · · · · · · · · · · · · · · ·		<u></u> ,
DATE OF ISSUE August 24, 199	8DATE EFFECTIVE	July 18, 1998		
ISSUED BY John West Name of Officer	TITLE	President & CEO		<u> </u>

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.	S.	C.			7
			tester in the local division of the local di		Management

Ninth Revised SHEET NO. 16

CANCELING P.S.C. 7

Eighth Revised SHEET NO. 16

# CLASSIFICATION OF SERVICE

#### SCHEDULE "LP-4" - DEDICATED DELIVERY POINT OR 2,001 kW and ABOVE (N)

#### MINIMUM DEMAND CHARGE

The minimum demand charge shall be no less than the amount as specified in the "Agreement for Purchase of Power."

#### **TERMS OF PAYMENT**

Delinquent accounts are subject to a collection fee of 10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly bill is not paid within fifteen (15) days from the date of the bill, the gross rate will apply.

			PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
			JUL 18 1998
		CANCELLED	PURSUANT TO SO7 KAR 5:011, SECTION 9 (1)
		JUN 2001	BY: STORETARY OF THE COMMISSION
DATE OF ISSUE	August 24, 1998	DATE EFFECTIVE	July 18, 1998
ISSUED BY_	mullest	TITLE	President & CEO
Name of C	Officer		
Issued by authority of an	Order of the Public Ser	vice Commission of Kentucky	y in Case No. 97-220

FOR <u>ALL TERRITORY SERVED</u> Community, Town or City

# PSC KY. NO. \_ 6\_\_\_\_\_

(FORMERLY HENDERSON UNION RECC) HENDERSON, KENTUCKY \_\_\_\_Original\_\_\_\_

KENERGY CORP.

Original SHEET NO. 16A

CANCELLING PSC NO. 6

SHEET NO.
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CLASSIFICATION OF SERVICE					
KENERGY CORP. PRICE CURTAILABLE SERVICE RIDER	RATE PER UNIT				
a. <u>Availability</u>					
This Rider is available for use in conjunction with Big Rivers' Voluntary Curtailable Service Rider – Schedule 11 for Curtailable Service offered by Kenergy Corp. to individual customers (CS Customers) capable of curtailing at least 1,000 kW of load upon request. This service rider excludes aluminum smelters served under separate power supply agreements.					
b. <u>Conditions of Service</u>					
<ol> <li>Any request for curtailment under this Rider shall be made by Kenergy or Big Rivers acting as its agent. Each request for curtailment shall set forth the Terms of Curtailment in accordance with this Rider.</li> </ol>					
2) Each curtailment will be voluntary and the CS Customer may accept or decline the Terms of Curtailment.					
3) Big Rivers, Kenergy and the CS Customer shall mutually agree upon the method which shall be used to provide notification of a curtailment request under the provisions of this Rider. The method shall specify the means of communicating such curtailment (e.g., telephone, pager) and shall designate the CS Customer's representative(s) to receive said notification. The CS Customer is ultimately responsible for acting upon a curtailment notification.					
4) Kenergy or Big Rivers acting as its agent will endeavor to provide as much advance notice as possible of requests for curtailments under this Rider including an estimate of the duration of such curtailments. However, upon acceptance of the Terms of Curtailment by a CS Customer, the load subject to those terms shall be curtailed with as little as one (1) hour of advance notification <sub>NCELLED</sub>	C SERVICE COMMISSION OF KENTUCKY EFFECTIVE				
either directly or indirectly, any notice of curtailment or curtailment of service under the	0-				
	2000 8				
ISSUED BY Acon Stanley TITLE President and CE	OF THE COMMISSION				

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-116

ь	FOR <u>ALL TERRITORY SERVED</u>
	Community, Town or City PSC KY. NO. <u>6</u>
KENERGY CORP. (FORMERLY HENDERSON UNION RECC)	
HENDERSON, KENTUCKY	<u>Original</u> SHEET NO. <u>16B</u>
	CANCELLING PSC NO. <u>6</u>
	SHEET NO
6) Kenergy reserves the right to require verificat curtail its load. Inability to provide verification will be Rivers when prioritizing requests for curtailment.	
7) The CS Customer shall not receive a Curtail curtailment period in which the CS Customer's curtaila extended period due to a planned or unplanned outage repair, refurbishment, force majeure, strike or any even operating conditions.	able load is already down for an as a result of vacation, renovation,
c. <u>CS Curtailment Profiles</u>	
Each CS Customer shall submit a CS Curtailm Profiles shall include the following information:	ent Profile Form. CS Curtailment
1) The maximum number of hours per day that th	e CS Customer will agree to curtail.
2) The maximum number of days and maximum month that the CS Customer will agree to curta	
3) The Minimum Curtailment Price at which each	CS Customer is willing to curtail.
4) The Minimum Curtailable Demand and t curtailable by the CS Customer upon request.	he Maximum Curtailable Demand
5) The CS Customer may modify the Curtailmen in writing.	t Profile upon thirty (30) days notice
d. Curtailed Demand and Energy	
Hourly Curtailed Demands of a CS Customer sha period for which the CS Customer has accepted the	e Terms of Curtailment. PUBLIC SERVICE
For each curtailment period, Hourly Curtailed Der defined as the differences between the CS Custor actual demands measured in each hour of the Requirements may generally be the average of th	ner's Demand Requirements and the curtailment period. The Demand APR 06 2000 e CS Customer's demands measured
DATE OF ISSUE April 15, 2000	DATE EFFECTIVE April 6. 2000 N 9 (1)
ISSUED BY Dean Stanley NAME OF OFFICIER	TITLE President and the COMMISSION

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-116

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FOR ALL TERRITORY SERVED Community, Town or City PSC KY. NO. 6 KENERGY CORP. (FORMERLY HENDERSON UNION RECC) Original SHEET NO. 16C HENDERSON, KENTUCKY CANCELLING PSC NO. 6 SHEET NO. in the four hours prior to the hour immediately preceding the curtailment period, provided that Kenergy may use an average of the demands measured in any two or more of the four hours to provide a more representative estimate of the CS Customers' Hourly Curtailed Demands. The Curtailment Energy of each curtailment period shall be the sum of the Hourly Curtailed Demands. e. Terms of Curtailment Kenergy or Big Rivers acting as its agent shall inform the CS Customer of a curtailment request in accordance with the agreed upon method of notification, at which time the Terms of Curtailment shall be defined. The Terms of Curtailment shall include the following: 1) The time at which each curtailment period shall begin is to be established by Big Rivers. At least one (1) hour advance notice of each request for curtailment shall be provided. 2) The requested curtailment duration in clock hours to be established by Big Rivers. 3) The Curtailment Price to be offered by Big Rivers and to be paid by Kenergy for each curtailment. The Curtailment Price shall be determined by Big Rivers on a case by case basis but in each case shall not be less than the Minimum Curtailment Price. 4) The CS Customer shall specify: a. The demand in kW (Curtailable Demand) that will be curtailed during the curtailment period, which shall not be less than the Minimum Curtailable Demand. b. The Maximum Curtailment Period Demand (MCPD) to be which shall be the maximum hourly demand to be delivered C SERVICE COMMISSION OF KENTUCKY f. Curtailment Savings Payment EFFECTIVE The Curtailment Savings Payment for each curtailment period shall be the amount APR 06 2000 DATE EFFECTIVE DATE OF ISSUE April 15, 2000 ISSUED BY Dean Stanler \_\_\_\_\_ TITLE \_\_\_\_\_ President and C SECRETARY OF NAME OF OFFICER

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-116

	FOR <u>ALL TERRITORY SERVED</u> Community, Town or City PSC KY. NO. 6
KENERGY CORP.	F30 K1: NO: _0
(FORMERLY HENDERSON UNION RECC)	
HENDERSON, KENTUCKY	OriginalSHEET NO16D
	CANCELLING PSC NO6
	SHEET NO
received by Kenergy on account of the CS Custom Price Curtailable Service Rider.	her pursuant to Big Rivers' Voluntary
g. Monthly Savings Payment	
The Monthly Savings Payment shall be equal to Payments for the calendar month, less any charg Energy on account of the CS Customer. The Mo directly to the CS Customer by check or billing of Rivers pursuant to the Big Rivers Voluntary Price	ges incurred by Kenergy for Excess onthly Savings Payment will be paid predit upon receipt of same from Big
h. <u>Charges For Excess Energy</u>	
For any CS Customer whose Curtailable Demand should the Hourly Curtailed Demand be less than any hour of the curtailment period, then the Exce difference between the Hourly Curtailed Demand There will be no Excess Demand for any CS Cus less than 5,000 kW. Excess Energy is the sum o Excess Energy recorded during a curtailment per Curtailment Price, in addition to the charges cont for electric service. For any CS Customer who's H 75% of their Curtailable Demand, Kenergy may Customer to benefit from future curtailment opport	a 75% of the Curtailable Demand in ss Demand for that hour shall be the and 75% of the Curtailable Demand. stomer who's Curtailable Demand is f any hourly Excess Demands. Any iod shall be charged at 150% of the ained in the standard applicable rate Hourly Curtailed Demand is less than not, at its discretion, allow such CS
i. <u>Term</u>	
Contracts under this Rider may be made for an in remain in effect thereafter until either party pro- written notice prior to the start of the next year of under the terms of this Rider.	vides to the other at least 30 days' of its intention to discontinue service PUBLIC SERVICE COMMISSION
j. <u>Special Terms and Conditions</u>	JUN LOUI
CS Customer information, including, but not limit remain confidential.	ted to, CS Curtailment Profiles, shall R 06 2000 PURSUANT TO 807 KAR 5:011
DATE OF ISSUE April 15, 2000	DATE EFFECTIVE BY April & 2000 (1)
ISSUED BY <u>Scan Stanley</u> NAME OF OFFIGER	TITLE President and CEOE COMMISSION

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-116

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Form for Filing Rate Schedules		For <u>All Territory Served</u> Community, Town or City
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	DF RENTUCKY	P.S.C7_
7		Seventh Revised SHEET NO. 17
	JUL 18 1998	CANCELING P.S.C. 7
	PURSUANT TO 807 KAR 5011, SECTION 9 (1) BY: <u>SECTION 9 (1)</u>	Sixth Revsied SHEET NO. 17
	CLASSIFICATION OF SERVI	CE
	CHEDULE "SL" - STREET LIG	HTS

#### AVAILABILITY

This rate shall apply to any consumer or organization within service area requiring "SL".

#### MONTHLY RATE

Sodium or Mercury Vapor Lamp	
8,000 Lumen	\$4.30 (R)
20,000 Lumen	\$8.10 (R)

#### CONDITIONS OF SERVICE

- (1)An agreement for purchase of street lights shall be executed by an authorized person responsible for the payment and collection of community street lighting.
- Above rates include all materials, installation, and maintenance of equipment, or existing (2)facilities, with exception of loss due to vandalism in which case the consumer shall pay all cost.
- Installation of new lamps when construction of facilities is required will be based on (3)distribution rules and regulations.

#### **TERMS OF PAYMENT**

Delinquent accounts are subject to a collection fee of \$10.00 or disconnection of service. The above rates are net, the gross rate being five percent (5%) higher. In the event the current monthly hill is not paid within fifteen (15) days from the date of the hill the gross rate will apply

	CA	ANCELLED
	JUN	2001
DATE OF ISSUE <u>August 24, 1998</u>	DATE EFFECTIVE	July 18, 1998
ISSUED BY John west	TITLE	President & CEO
Name of Officer		

FOR ALL TERRITORY SERVED

Community, Town or City

PSC KY. NO.\_\_\_\_\_7

Eighth Revised SHEET NO. 18

CANCELLING PSC NO. \_\_\_7\_\_\_\_

Seventh Revised SHEET NO. 18

#### **CLASSIFICATION OF SERVICE**

WHOLESALE DISCOUNT ADJUSTMENT RIDER (WDAR)

FORMERLY HENDERSON UNION ELECTRIC

COOPERATIVE CORP.'S TARIFF)

#### (N) BILLINGS TO CUSTOMERS SERVED FROM NON-DEDICATED DELIVERY POINTS (1)

Billings computed pursuant to rate schedules to which this is applicable shall be decreased during each refund month by the following wholesale discount adjustment rider factor applied to each kilowatt hour sold:

Wholesale Discount Adjustment Rider (WDAR) =  $\frac{RA}{P}$  (m)

Where RA is the monthly credit from the seller's wholesale power supplier for all non-dedicated delivery points for WDAR (reduced 48.59%) (plus any over/under recovery from the prior refund) and P is the total kWh purchased, less line losses equal to a twelve-month moving average not to exceed ten percent (10%). (m) period shall be the first month preceding the month in which the WDAR is refunded.

# (N) BILLINGS TO CUSTOMERS SERVED FROM DEDICATED DELIVERY POINTS (NO LINE LOSSES TO SELLER) (2)

Billings computed pursuant to rate schedules or special contracts to which this WDAR is applicable shall be decreased during each month equal to the amount refunded to the seller for the customer's dedicated delivery point by the wholesale power supplier for WDAR.

(N) RATE APPLICATION

**KENERGY CORP.** 

Rate schedule (1) above shall apply to Kenergy Corp. (formerly Henderson Union Electric Cooperative) rate schedules residential, farm, government or commercial (50 KVA or less), farm or commercial (51 - 501 KVA), grain bins, non-dedicated delivery (501 - 2000 KVA), off peak non-dedicated rate rider, security lamp, street lights, decorative area lighting, commercial exterior and rate schedule (2) above shall apply to rate schedules for dedicated delivery point and 2000 KW and above customers.

(N) TARIFF TERMINATION

Th	is	WDAR	shall	be	terminated	at	the	time_Big_River's	WDAR	is	terminated
----	----	------	-------	----	------------	----	-----	------------------	------	----	------------

DATE OF ISSUE <u>September 8, 2000</u> ISSUED BY <u>Dean Branky</u>	DATE EFFECTIVE <u>September 2, 2000</u> (Interim Rates Subject to Change) UN 2001 TITLE <u>President and CEO</u>
Name Of Officer	SEP 02 2000

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395.

PURSUANT TO 807 KAR 5.011. SECTION 0 (1) BY: Stephan() BW

RATE PER UNIT

KENERGY CORP.

# (FORMERLY HENDERSON UNION ELECTRIC COOPERATIVE CORP.'S TARIFF)

FOR \_\_\_\_ALL TERRITORY SERVED

Community, Town or City

PSC KY. NO. \_ 7\_\_\_\_\_

Eighth Revised SHEET NO. 19

CANCELLING PSC NO. \_\_7\_\_\_\_

	Seventh Revise	<u>d</u> SHEET NO. <u>19</u>
CLASSIFIC	CATION OF SERVICE	RATE PER
SCHEDULE '	'D" – SECURITY LAMP	UNIT
AVAILABILITY		
This rate shall apply to any consumer within the ser	rvice area.	
MONTHLY RATE		
Sodium or Mercury Vapor Lamp 8,000 Lumen (R) 20,000 Lumen		0.00
CONDITIONS OF SERVICE		
(1) Above rates include all materials, installation facilities, with exception of loss due to vandalism cost.		
(2) Installation of new lamps when construction distribution rules and regulations.	n of facilities is required w	ill be based on
TERMS OF PAYMENT		
Delinquent accounts are subject to a collection fee above rates are net, the gross rate being five (5%) I is not paid within fifteen (15) days from the date of	higher. In the event the curre	ent monthly bill
	CANCELLED	OF KENTUCKY EFFECTIVE
	JUN 2001	SEP 02 2000
DATE OF ISSUE September 8, 2000	DATE EFFECTIVE	PURSUANT TO 807 KAT 5.011, September 2, 2000
		nterim Rates Subject to Change)
ISSUED BY Dem Manley NAME OF OFFICE		esident and CEO

ISSUED BY AUTHORITY OF PSC ORDER NO. 2000-395

#### KENERGY CORP. (FORMERLY HENDERSON UNION EC)

FOR ALL TERRITORY SERVED

Community, Town or City PSC KY. NO. \_\_\_\_\_

First Revised SHEET NO. 19.1

CANCELLING PSC NO. \_7\_\_\_\_

Original SHEET NO. 19.1

CLASSIFICATION OF SERVICE				
COMMERCIAL/INDUSTRIAL EXTERIOR LIGHTING	RATE PER UNIT			
APPLICABLE				
Service area in Henderson, Union, Webster, Crittenden, Caldwell, Lyon and Hopkins counties.				
AVAILABILITY OF SERVICE				
To any customer within the service area and subject to established Rules and Regulations of the Corporation.				
RATE PER MONTH APPROXIMATE INITIAL LAMP LUMENS				
Flood Lighting FixtureHigh Pressure Sodium - per lamp28,000High Pressure Sodium - per lamp61,000High Pressure Sodium - per lamp140,000Metal Halide - per lamp19,500Metal Halide - per lamp32,000Metal Halide - per lamp107,000	\$ 8.73 \$ 11.06 \$ 23.60 \$ 8.36 \$ 11.03 \$ 22.97			
Contemporary (Shoebox) Lighting FixtureHigh Pressure Sodium - per lamp28,000High Pressure Sodium - per lamp61,000High Pressure Sodium - per lamp140,000Metal Halide - per lamp19,500Metal Halide - per lamp32,000Metal Halide - per lamp107,000	\$ 9.97 \$ 12.38 \$ 25.66 \$ 9.62 \$ 12.14 \$ 24.82			
Decorative Lighting FixturesAcron Globe Metal Halide - per lamp9,000Acron Globe Metal Halide - per lamp16,600Round Globe Metal Halide - per lamp9,000Round Globe Metal Halide - per lamp16,600Lantern Globe Metal Halide - per lamp05 KENTUCKYLantern Globe Metal Halide - per lamp16,600EFFECTIVE16,600	\$ 9.39 \$ 11.40 \$ 9.20 \$ 10.52 \$ 7.98			
Pedestal Mounted PoleCANCELLEDSteel, 25 ft per poleMAY 05 2000Steel, 30 ft per poleENERGIANT TO 807 KAR 5011 2001	\$ 5.52 \$ 6.22 \$ 10.45			
DATE OF ISSUE April 4, 2000 SECTION 9 (1) DATE EFFECTIVE May 5, 2000 ISSUED BY				

ISSUED BY AUTHORITY OF PSC ORDER NO.

N

# KENERGY CORP. (FORMERLY HENDERSON UNION EC)

FOR \_\_\_\_\_ALL TERRITORY SERVED

Community, Town or City

PSC KY. NO. \_7\_\_\_\_\_

First Revised SHEET NO. 19.2

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CANCELLING PSC NO. \_ 7\_\_\_\_\_

Original SHEET NO. \_\_\_\_19.2\_\_\_\_

CLASSIFICATION OF SERVICE	
COMMERCIAL/INDUSTRIAL EXTERIOR LIGHTING	RATE PER UNIT
Direct Burial Pole Wood, 30 ft per pole Aluminum, 28 ft per pole Square Fiberglass, 20 ft per pole Square Fiberglass, 25 ft per pole Square Fiberglass, 30 ft per pole Fluted Fiberglass, 9 ft per pole Fluted Fiberglass, 15 ft per pole	\$ 6.55 \$ 7.11 \$ 5.06 \$ 5.93 \$ 6.95 \$ 7.17 \$ 7.60
TAXES There shall be added to each applicable customer's bill the Utility Gross Receipts License Tax for Schools (KRS 160.617) and the Kentucky Sales Tax (KRS 139.210).	
OF KENTUCKY EFFECTIVE MAY 05 2000 FURSUANT TO 807 KAR 5:011, SECTION 9 (7)	1
DATE OF ISSUE April 4, 2000 DATE EFFECTIVE May 5, 2000	
	COMMERCIAL/INDUSTRIAL EXTERIOR LIGHTING  Direct Burial Pole Wood, 30 ft per pole Aluminum, 28 ft per pole Square Fiberglass, 20 ft per pole Square Fiberglass, 30 ft per pole Fluted Fiberglass, 9 ft per pole Fluted Fiberglass, 15 ft per pole TAKES There shall be added to each applicable customer's bill the Utility Gross Receipts License Tax for Schools (KRS 160.617) and the Kentucky Sales Tax (KRS 139.210).  PUBLIC SERVICE COMMISSIO OF KENTUCKY EFFECTIVE MAY 05 2000 UN - 201 PARSUANT TO 807 KAR 5011, SECTION 9 (1) Stockan() Buy ECCENTRY OF THE COMMERCY IN - 201 DATE OF ISSUE April 4, 2000 DATE EFFECTIVE May 5, 2000 ISSUED BY Denn Manulu, TITLE President and CE

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City P.S.C. 7

Seventh Revised SHEET NO. 20

CANCELING P.S.C. 7

Sixth Revised SHEET NO. 20

#### CLASSIFICATION OF SERVICE

#### SMELTER CUSTOMERS SERVED UNDER SPECIAL CONTRACTS

(T)

The Henderson Union Electric Cooperative Smelter Tariff for service to Alcan Aluminum Corporation shall consist of Schedule A to the Agreement for Electric Service between Henderson Union Electric Cooperative Corp. and Alcan Aluminum Corporation dated July 15, 1998, which Schedule A is hereby incorporated by reference as though fully set out herein. Alcan Aluminum Corporation shall be obligated to pay in accordance with the rates, charges and other terms and conditions set forth in said Schedule A including the applicable retail adder.

Notwithstanding any provision to the contrary contained in the attached Schedule A to the (T) Agreement for Electric Service, Alcan may be obligated to pay to Henderson Union a transmission surcharge if necessary to recover a portion of any unforeseen increases in Big Rivers' transmission costs due to the Smelters' load, as set forth in the commission's Order dated July 14, 1998, in Case No. 98-267.

				PUBLIC SERVICE CON OF KENTLICKY EFFECTIVE	<sup>1</sup> MISSION
		CANCELLED		JUL 18 1991 PURSUANT	8
		JUN 2001		PURSUANT TO 507 KAR BECTON 9 (1) BY: STATION 9 (1) SECRETARY OF THE COMMISS	
DATE OF ISSUE	August 24, 1998	DATE EFFE	CTIVE	July 18, 1998	
ISSUED BY John L	lest	TITLE		President & CEO	
Name of Office		ian Commission of	Vontuolaria	Case No. 07 220	

Form for Filing Rate Schedules	PUBLIC SERVICE COMMISSION	For <u>All Territory Served</u> Community, Town or City	
HENDERSON UNION ELECTRIC	OF KENTUCKY EFFECTIVE	P.S.C	7
	MAY 24 1997	Fifth Revised SHEET NO. 2	21
	PURSUANT TO 807 KAR 5:011, SECTION 9 (1)	CANCELING P.S.C.	7
	BY: Stephand BU SECRETARY OF THE COMMISSION	Fourth Revised SHEET NO. 2	21
	CLASSIFICATION OF SERVICE		
RESTITUTION ADJUSTMENT		(N)	•

# Billings computed pursuant to rate schedules to which this restitution adjustment is applicable shall be decreased during each refund month by the following restitution adjustment factor applied to each kilowatt hour sold:

Billings to Customers Served From Non-Dedicated Delivery Points

Restitution Adjustment Factor =  $\frac{RA(m)}{P(m)}$ 

Where RA is the monthly credit from the seller's wholesale power supplier for all non-dedicated delivery points for restitution adjustment (plus any over/under recovery from the prior refund) and P is the total KWH purchased, less line losses equal to a twelve-month moving average not to exceed ten percent (10%). (M) period shall be the first month preceding the month in which the restitution adjustment is refunded.

#### (2) Billings to Customers served from Dedicated Delivery Points (No Line Losses to Seller)

Billings computed pursuant to rate schedules or special contracts to which this restitution adjustment is applicable shall be decreased during each month equal to the amount refunded to the seller for the customer's dedicated delivery point by the wholesale power supplier for restitution adjustment.

#### (3) Rate Application

(1)

Rate schedule (1) above shall apply to Henderson Union Electric Cooperative rate schedules "A-Residentials", "B-Farm, Government or Commercial(50 KVA or Less)", "B-1 Farm or Commercial(51 to 501 KVA)", "B-2 -"Grain Bin", LP-3 Non-dedicated Delivery", "LP-3 Off Peak Non-dedicated Rate Rider", SL-Street Lights", "D-Security Lamp", and rate schedule (2) above shall apply to Henderson Union Electric Cooperative rate schedules "LP-4 Dedicated Delivery Point" and SMELTER CUSTOMERS.

#### (4) <u>Tariff Termination</u>

The restitution adjustment tariff shall be terminated at the time that all restitution adjustment amounts received from the seller's wholesale power supplier have been appropriately flowed through to seller's customers and a detailed accounting has been provided to and approved by the Public Service commission.

	CANCELLED	
DATE OF ISSUE June 7, 1999	DATE EFFECTIVE	May 24, 1999
ISSUED BY John West Name of Officer	JUN 2001 TITLE	President & CEO
Name of Officer		
Issued by authority of an Order of the Public	Service Commission of Kentuc	ky in Case No. <u>98-427</u>
Dated May 24, 1999		

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C.		7	
<b>F</b> 11	<b>D</b> 1 1		-

Fourt	th Re	vised	SH	EET	NO.	22

CANCELING P.S.C.\_\_\_7\_\_\_

Third Revised SHEET NO. 22

# **CLASSIFICATION OF SERVICE**

(T)

			PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
			SEP 0 2 1997
		CANCELLED JUN 2001	PURSUANT TO 807 KAR 5:011. SECTION 9 (1) DY: Stephand But
DATE OF ISSUE	September 9, 1997	DATE EFFE	CTIVE <u>September 2, 1997</u> (Interim rates subject to change)
		TITLE	President & CEO n of Kentucky in Case No. 97-220

Form fo	r Filing	Rate	Sched	ules
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# HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

# For<u>All Territory Served</u> Community, Town or City

P.S.C.	7	
		1

Third Revised SHEET NO. 23

CANCELING P.S.C.\_\_\_\_7\_\_\_\_

Second Revised SHEET NO. 23

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# CLASSIFICATION OF SERVICE

# SMELTER CUSTOMER SERVED UNDER SPECIAL CONTRACT

#### AVAILABILITY

This tariff applies for sales and deliveries of electric power and energy to Alcan for use at the primary aluminum smelter located in Sebree, Kentucky.

#### TERMS OF THE RATE

This rate shall have the same term as and shall be effective concurrent with the Big Rivers Henderson Union Smelter Rate (BREC Smelter rate) attached herein.

#### CONDITIONS OF SERVICE

- 1. It shall be the responsibility of Alcan to coordinate through HUEC or its authorized agent all transactions that HUEC must make on behalf of Alcan pursuant to the BREC Smelter rate.
- 2. All other conditions of service shall be governed by the Contract between HUEC and Alcan

#### RATES

The rates to Alcan shall be the sum or the following:	PUBLIC SERVICE COMMISSION
<ul> <li>All cost incurred by HUEC pursuant to the rates, terms a conditions of the BREC Smelter rate for the supply and/or deli to or for Alcan; plus</li> </ul>	
b.) \$.0001 per kilowatt hour delivered, per month. School Taxes added if applicable. Kentucky Sales Taxes to be added to bill if applicable. JUN 2001	PURSUANT TO 807 KAR 5:011. SECTION 9 (1) DV. Stechand Buy
DATE OF ISSUE <u>September 9, 1997</u> DATE EFFE	ECTIVE <u>September 2, 1997</u> (Interim rates subject to change)
ISSUED BY <u>John West</u> TITLE Name of Officer Issued by <del>aut</del> hority of an Order of the Public Service Commission Dated <u>August 29, 1997</u>	President & CEO on of Kentucky in Case No. <u>97-220</u>

Form for	Filing	Rate	Schedules
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HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

# For<u>All Territory Served</u> Community, Town or City P.S.C.\_\_\_\_7\_\_\_

Third Revised SHEET NO. 24

CANCELING P.S.C.\_\_\_7\_\_\_

Second Revised SHEET NO. 24

# CLASSIFICATION OF SERVICE

# SMELTER CUSTOMER SERVED UNDER SPECIAL CONTRACT

### 5. INTERIM BIG RIVERS HENDERSON UNION SMELTER RATE

(T)

a. <u>AVAILABILITY:</u>

This tariff applies to Henderson Union Electric Cooperative Corporation ("HU") for sales to Alcan Aluminum Corporation ("Alcan") for use at the Alcan primary aluminum smelter located in Sebree, Kentucky. The maximum demand associated with Tier 1 and Tier 2 Energy hereunder shall be 233,000 kilowatts.

b. <u>TERM OF THE RATE:</u>

This tariff shall take effect at 12:01 a.m. on September 2, 1997 and shall terminate at the earlier of midnight August 31, 1998 or the Closing Date of the transaction between Big Rivers and LG&E Energy Corp. ("LEC") and its affiliates. This tariff shall remain in effect during the entire term hereof.

c. <u>RATES:</u>

PUBLIC SERVICE COMMISSION(1) OF KENTUCKY EFFECTIVE

SEP 0 2 1997

PURSUANT TO 807 KAR 5:011, SECTION 9 (1) TY: Stephand But The Tier 1 Energy Rate and the Tier 2 Energy Rate as set forth in Sections 5.c.(2) and (3), are the rates inclusive of all expenses of providing an aggregate 233,000 kilowatts of firm electric service at a 98% load factor for total Tier 1 and Tier 2 kilowatt hours each month equal to 5,480,160 kWh multiplied by the number of days in that month. Accordingly, no separate demand charge is applicable to electric service under Tier 1 or Tier 2.

Expenses covered in the Tier 1 and Tier 2 Energy Rates include, but are not

	CANCELLED	
DATE OF ISSUE Septem	nber 9, 1997 DATE E	FFECTIVE September 2, 1997
	/ JUIN 2001	(Interim rates subject to change)
ISSUED BY John UL	Vest TITLE	President & CEO
Name of Officer		
Issued by authority of an Orde	er of the Public Service Commi	ssion of Kentucky in Case No. <u>97-220</u>
Dated <u>August 29, 1997</u>		

Form for Filing Rate Schedules HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION		For <u>All Territory Served</u> Community, Town or City			
			P.S.C7		
			Third Revised SHEET NO. 25		
			CANCELING P.S.C7		
			Second Revised SHEET NO. 25		
<u> </u>		CLASSIFICATION OF SERVICI	E		
	or acq transm Sectio limited expensi distrib	uiring all facilities necessary for the nission and distribution of the quar n 5.c. The semi-variable and vari I to, all fuel and non-fuel operation ses associated with producing and			
(2)	Tier 1	Energy Rate:			
	The Ti	The Tier 1 Energy Rate for firm power is \$0.0307 per kWh.			
(3)	Tier 2	Tier 2 Energy Rate:			
	The Ti	The Tier 2 Energy Rate for firm power is \$0.02098 per kWh.			
(4)	(a)	Tier 3 Energy Rate:			
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE		•••	power shall be \$0.0192 per kWh, es supplied by Big Rivers to HU for ion, of \$0.01958 per kWh.		
SEP 0 2 1997	(b)	Tier 3 Transmission Rate:			
PURSUANT TO 807 KAR 5:011, SECTION 9 (1) DY: Stephand Buy		The Tier 3 Transmission Rate applicable to all transmission services provided by or through Big Rivers with respect to Tier 3 energy, shall be fixed at \$0.98 per Kilowatt per month of Tier 3			
DATE OF ISSUE Se	ptember	9, 1997 CANCIDATE EFFECT	IVE September 2, 1997		
1			(Interim rates subject to change)		

Name of Officer Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220 Dated August 29, 1997

President & CEO

ISSUED BY\_\_\_

Form for Filing Rate Sche	dules		For <u>All Territory Served</u> Community, Town or City	
HENDERSON UNION ELE			P.S.C.	
0			Third Revised SHEE	
			CANCELING P.S.C.	7
			Second Revised SHEE	NO. <u>26</u>
		CLASSIFICATION OF SERVIC	E	
		Demand.		
	(c)	Tier 3 Ancillary Services Rate:		
		Ancillary services shall not be s during this interim period, but in bundled as part of the power sa forth in Section 5.c.4(a).	stead shall be supplied to h	IU and
d. <u>CHA</u> F	RGES:			
(1)	<u>Definiti</u>	ions		
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	calcula month. differer calcula month.	inimum Purchase Obligation in ea ated by multiplying 2,304,960 kW The Tier 2 Purchase Allowance nce between the Minimum Purcha ated by multiplying 5,480,160 kW The Tier 3 Demand shall be de id in each month less 233,000 kill	h by the number of days in t in each month shall be the ase Obligation and the amo h by the number of days in t termined as the metered ma	the billing unt the billing iximum
(2) SEP 0 2 1997	<u>Tier 1</u>			
PURSUANT TO 807 KAR 5:011, SECTION 9 (1) DY: Stechand Buy	sum of by the more th	alendar month, HU shall pay a T (I) the rate set forth in Section 5 number of kilowatt hours purchas han the amount of the Minimum F 5 per kWh multiplied by the num	.c.(2) of this Interim Tariff m sed by HU for resale to Alca Purchase Obligation, and (ii)	ultiplied in, but no ) a rate of

DATE OF ISSUE September 9, 1997	DATE EFFEC	TIVE September 2, 1997
Λ		(Interim rates subject to change)
ISSUED BY John West	JTHTLE- 2001	President & CEO
Name of Officer Issued by authority of an Order of the Pub	lic Service Commission	of Kentucky in Case No. 97-220
Dated August 29, 1997		of Rentucky in Case No. <u>37-220</u>

Form for Filing Rate Schedules	For <u>All Territory Served</u> Community, Town or City		
HENDERSON UNION ELECTRIC	P.S.C7		
	Third Revised SHEET NO. 27		
	CANCELING P.S.C7		
	Second Revised SHEET NO. 27_		

# **CLASSIFICATION OF SERVICE**

the Minimum Purchase obligation exceeds the actual number of kilowatt hours purchased by Alcan for consumption or resale in such month. Payment of the Tier 1 Energy Charge is the only take-or-pay obligation of HU to Big Rivers.

At its sole discretion, HU may purchase on behalf of Alcan all or any portion, up to a maximum demand of 98,000 kilowatts, of the Minimum Purchase Obligation for resale to third-party consumers, utilities, power marketers, power brokers, or any other party to which power can be legally sold at times and under terms and conditions determined by Alcan, provided that the resale by HU of such Tier 1 energy shall not preclude Alcan from purchasing and consuming Tier 2 energy, and provided further that HU shall arrange for transmission service and associated ancillary services for this power to be resold, subject to its availability on Big Rivers' OASIS, at HU's or the purchaser's expense, from Big Rivers, at rates as they are then in effect according to the type and amount of transmission and ancillary services used on Big Rivers' transmission system to accomplish this sale for resale. In the event of a shutdown of the Sebree Smelter of at least 30 days' duration, to the extent transmission and ancillary services charges are incurred by HU on Big Rivers' transmission system to effect this resale of Tier 1 energy, this amount shall be credited against the amount owed for the Minimum Purchase Obligation. In all other circumstances, HU shall be required to pay Big Rivers separately for all such transmission and ancillary services associated with the resale of Tier 1 Energy as set forth above.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

SEP 0 2 1997

PURSUANT TO 807 KAR 5011, SECTION 9 (1) BY: Stephand Buy

Dated August 29, 1997

	JUN = -2CUI
DATE OF ISSUE <u>September 9, 1997</u>	DATE EFFECTIVE September 2, 1997
1	(Interim rates subject to change)
ISSUED BY John West	TITLEPresident & CEO
Name of Officer	
Issued by authority of an Order of the Public S	Service Commission of Kentucky in Case No. <u>97-220</u>

Form for Filing Rate Sche		For <u>All Territory Served</u> Community, Town or City				
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION			P.S	.C	,	7
			Thi	rd Revised	_SHEET	NO. 28
			CA	NCELING P.S	.C	_7
			<u>Sec</u>	ond Revised	_SHEET	NO. <u>28</u>
·	CLASSIF	ICATION OF SEF	RVICE			
(3)	Tier 2					
	multiplying the ap	onth, HU shall pay oplicable rate set f tt hours consumed	orth in Se	ction 5.c.(3) of	f this Tari	iff by the
(4)	<u>Tier 3</u>					
	multiplying the ap of this tariff by the the amount calcu in the billing mon by multiplying the	onth HU shall pay oplicable Tier 3 Er a number of kilowa lated by multiplyin th, plus a separate Tier 3 Transmiss by the Tier 3 Den	nergy Rate att hours o ng 5,480,1 e Tier 3 Tr ion Rate s	e set forth in S consumed by A 60 kWh by the ransmission C	ection 5.0 Alcan in e e number harge co	c.(4)(a) excess of of days mputed
e. (1)	<u>NO ADJUSTMEN ACTION:</u>		ATION, RI	EGULATORY	OR LEG	<u>AL</u>
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	The Rates under	this Interim Tariff	are fixed	during the app	licable p	eriod.
SEP 0 2 1997 (2)	NO ADJUSTMEN	ITS FOR FUEL P	URCHASE	<u>=S:</u>		
PURSUANT TO 807 KAR 5:011. SECTION 9 (1)		this Interim Tariff or the cost of fuel,				
CATEGRARY OF THE ODEALINSION		CANCELLEE	)			
DATE OF ISSUE Se	ptember 9, 1997	JUN 20 DATE EFF	ECTIVE_	September		
ISSUED BY John	west	TITLE		lent & CEO		
Issued by authority of an Dated <u>August 29, 1997</u>	Order of the Public	Service Commiss	ion of Ker	ntucky in Case	No. <u>97</u>	-220

	PUBLIC SERVICE COMMISSION	Community, Town or City		
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	OF KENTUCKY EFFECTIVE	P.S.C7		
	SEP 0 2 1997	Third Revised SHEET NO. 29		
	PURSUANT TO 807 KAR 5:011, SECTION 9 (1)	CANCELING P.S.C7		
	BY: Stephand Buy	Second Revised SHEET NO. 29		
	in the second	9.49		

## CLASSIFICATION OF SERVICE

#### (3) NO ADJUSTMENT FOR ENVIRONMENTAL SURCHARGE:

The Rates under this Interim Tariff shall not be increased or decreased by any adjustment for an environmental surcharge, whether under KRS 278.183, or otherwise.

For All Territory Served

#### BILLING

Form for Filing Rate Schedules

f.

Big Rivers shall bill HU on the first working day after the 13<sup>th</sup> of the month for the previous month's service hereunder. HU shall pay Big Rivers in immediately payable funds on or before the first working day after the 24<sup>th</sup> of the month. If HU shall fail to pay any such bill within such prescribed period, Big Rivers may discontinue delivery of electric power and energy hereunder upon five (5) days' written notice to HU of its intention to do so. Such discontinuance for non-payment shall not in any way affect the obligation of HU to pay the Minimum Purchase Obligation or the right of HU on behalf of Alcan to resell Tier 1 Energy, provided that any such purchases of the Minimum Purchase Obligation for resale must be paid for in immediately payable funds in advance by HU on behalf of Alcan.

#### **BILLING FORM:**

BIG RIVERS ELECTRIC CORP., P.O. BOX 24, HENDERSON, KY 42420

TO HENDERSON-UNION	MONTH ENDING	ACCOUNT 82	HU					
SUBSTATION ALCAN SERVICE FROM THRU	CANCELLED JUN 2001							
DATE OF ISSUE September 9, 1	,	IVE <u>September 2, 19</u> (Interim rates subject to c						
ISSUED BY John We Name of Officer Issued by authority of an Order of the Dated August 29, 1997		President & CEO of Kentucky in Case No	97-220					
	Form for Filing Rate Sched	ules					/ Served	
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	HENDERSON UNION ELECTOOPERATIVE CORPORA		PUBLIC SERVICE C OF KENTUC FFFECTIV	CKY		•	wn or City	7
0	)		SEP 02	1997	Third	Revised	SHEET	NO. 30
			PURSUANT TO 80 SECTION		CANCE	LING P.	S.C	_7
			PY: Stephano		Second	Revised	SHEET	NO. <u>30</u>
			CLASSIFICATIO	N OF SERVICE				
	USAGE DEMA KW DEMAND	ND	TIME DAY		METER	ł	MULT	
	ENERGY PREVIOUS USED		PRESENT	DIFFERENCE		MULT	KWH	
	<u>TIER 1 ENERGY</u> ENERGY	KWH TI	MES \$0.0307 EC	UALS	\$			
	TAKE OR PAY(IF ANY)	KWH TI	MES \$0.0135 EC	UALS	\$			
	ADJUSTMENT	KWH TI	MES \$0.0307 EC	UALS	\$	s		
			SUBTOTA	NL.	\$			
	TIER 2 ENERGY							
	ENERGY	KWH TI	MES \$0.02098 E	QUALS	\$			
	ADJUSTMENT	KWH TI	MES \$0.02098 E	QUALS	\$	)		
			SUBTOTA	NL.	\$			
	TIER 3 ENERGY ENERGY	күн ті	MES \$0.01958 E	QUALS	\$			
	ADJUSTMENT	KWH TI	MES \$0.01958 E	QUALS	\$			
			SUBTOTA		\$			
	DATE OF ISSUE Sept	tember 9	), 1997 JUN -	DATE EFFECTI			er 2, 1997 bject to chang	je)
D	ISSUED BY Name of Office	<u>Wes</u>		EPr	<u>esident</u>	& CEO		
	Issued by authority of an O Dated <u>August 29, 1997</u>		he Public Service	Commission of	Kentucl	ky in Cas	se No. <u>97</u>	-220

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For_	All	Terr	itory	Ser	/ed	
Con	าทาน	nitv.	Tow	n or	Citv	

	Form for Filing Rate Sche			For <u>All Territory Ser</u> Community, Town or	
	HENDERSON UNION EL COOPERATIVE CORPOR			P.S.C	7
C				First Revised S	HEET NO30.1
				CANCELING P.S.C.	7
				<u>Original</u> S	HEET NO. <u>30.</u> 1
		CLASSIFIC	CATION OF SERV	/ICE	
	TIER 3 TRANSMISSION DEMAND	KW TIMES \$0.98	EQUALS	\$	
	ADJUSTMENT	KW TIMES \$0.98	EQUALS	\$	
			SUBTOTAL	\$	
		TOTAL AM	IOUNT DUE	\$	
	LOAD FACTOR ACTUAL BILLED	MILLS PEF	R KWH		
-					

DUE IN IMMEDIATELY AVAILABLE FUNDS ON OR BEFORE THE FIRST WORKING DAY AFTER THE 24<sup>TH</sup> OF THE MONTH.

		PUBLIC SERVICE C OF KENTUG EFFECTIV	CKY	
		SEP 02	1997	
	CANCELLED JUN 2001	PURSUANT TO 807 SECTION SECTION SECTIO	KAR 5:011. 9(1) BULI 2004/SPION	
DATE OF ISSUE Septem	ber 9, 1997 D		September 2, 1997 im rates subject to change	)
ISSUED BY John II	est			
Issued by authority of an Orde Dated <u>August 29, 1997</u>	r of the Public Service	Commission of Kentuc	ky in Case No. <u>97-2</u>	20

Form for I	Filing	Rate	Schedules
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HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For<u>All Territory Served</u> Community, Town or City

P	S.C	•		7	

First	Revised	SHEET	NO.30.2
1000			

CANCELING P.S.C.\_\_\_7\_\_\_

Original SHEET NO.30.2

### CLASSIFICATION OF SERVICE

(T)

# "THIS PAGE RESERVED FOR FUTURE USE"

		PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
		SEP 0 2 1997
	CANCELLED JUN 2001	PURSUANT TO 807 KAR 5:011, SECTION 9 (1) INV: Stephano Buy
DATE OF ISSUE	September 9, 1997 DA	TE EFFECTIVE <u>September 2, 1997</u> (Interim rates subject to change)
		President & CEO

Form for Filing Rate Schedules
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HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION For <u>All Territory Served</u> Community, Town or City

P.S.C		7
First	Revised	SHEET NO.30.3

CANCELING	P.S.C.	7	7

Original	QUEET	NO 20 2
original		NO. <u>30.3</u>

## CLASSIFICATION OF SERVICE

(T)

### "THIS PAGE RESERVED FOR FUTURE USE"

	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
CANCELLED JUN 2001	SEP 02 1997 PURSUANT TO 807 KAR 5.011, SECTION 0 (1) INV: Stechand But
	DATE EFFECTIVE <u>September 2, 1997</u> (Interim rates subject to change) TITLE <u>President &amp; CEO</u> Service Commission of Kentucky in Case No. <u>97-220</u>

**HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION**  For All Territory Served Community, Town or City

P.	S.(	D	7

Fi	rst	Revised	SHEET	NO	31
1 1	ISL	Reviseu	SHEEL	NU.	<b>JT</b>

CANCELING P.S.C. 7

HEET N	0.31
r	1EEL N

### **CLASSIFICATION OF SERVICE**

**(T)** 

# "THIS PAGE RESERVED FOR FUTURE USE"

	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE
CANCELLED	SEP 0 2 1997
JUN 2001	PURSUANT TO 807 KAR 5:011, SECTION 9 (1) IN: Stephand Buy

DATE OF ISSUE	September 9, 1997	DATE	EFFECTIVE September 2, 1	
Λ			(Interim rates subject to	change)
ISSUED BY	relest	TITLE	President & CEO	
Name o	of Officer			
Issued by authority of	f an Order of the Public	Service Comr	nission of Kentucky in Case No.	97-220
Dated August 29,	1997		-	

Form for Filing Rate Sche			For <u>All Territory Served</u> Community, Town or City				
HENDERSON UNION EL COOPERATIVE CORPOR		P.S.C	7				
$\bigcirc$		Original SHE	EET NO32				
		CANCELING P.S.C.	7				
		SHE	ET NO				
	CLASSIFICATION	OF SERVICE					
7. <u>BIG I</u>	RIVERS INTERIM LARGE IN	DUSTRIAL CUSTOMER TARIFF	(N)				
a.	Availability:						
	This schedule is available to any of Big Rivers' member rural electric distribution cooperatives for service to certain large industrial custo with peak demands of one (1) MW or greater contracting for terms of less than five years with established Base Contract Demands of not than 75% of their Maximum Contract Demand and minimum monthly contractual load factors of 70%.						
b. <u>Terms of the Rate Schedule:</u>							
	ke effect at 12:01 a.m. on September earlier of midnight on August 31, 1998 otion between Big Rivers and LG&E E This tariff shall remain in effect during	3 or the energy Corp.					
С.	<u>Rates:</u>						
	(1) <u>Rates Separate for</u>	(1) Rates Separate for Each Large Industrial Customer:					
SEP 0 2 1997 PURSUANT TO 807 KAR 5:011, SECTION 9 (1) Each month each Member Cooperative shall be required to pay separately for each of its qualifying Large Industrial Customers taking service under this tariff, in each case using that Large Industrial Customer's individual Maximum Contract Demand, Ba							
							RY: Stechand But
DATE OF ISSUE Se	ptember 9.11997 2001 D	ATE EFFECTIVE <u>September 2, 19</u> (Interim rates subject to c					
ISSUED BY John Mame of Offi	cer TITLE	President & CEO					

 $\hat{T}_{i}$ 

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No. 97-220 Dated August 29, 1997

F	orm for Filing Rate Schedules				or <u>All Territo</u> Community, To		
	ENDERSON UNION ELECTRIC				S.C.		7
$\bigcirc$					iginal		
				CA	ANCELING F	P.S.C	_7
						SHEET	NO
_		CLAS	SIFICATION OF S	SERVICE		·····	
		70%.					
	(2)	BREC	Firm Sale Rates:				
		contra contra Contra kilowa at leas	Ilowing BREC Firm actually specified B act as at least 75% act Demand) and B att hours determine at 70% to Base Con r pay obligation.	Base Contra of the contra Base Contra ed by applyi	ct Demand ( ractually spe act Energy (e ng the contra	established cified Maxiu stablished actual load	by num as the factor of
		(a)	BREC Firm Sale [	Demand Ra	ite:		
$\bigcirc$			\$7.37 per kW per	month of B	ase Contrac	t Demand.	
		(b)	BREC Firm Sale I	Energy Rate	<u>e</u> :		
	PUBLIC SERVICE COMMISSION		\$0.02040 per kWl	h per month	n of Base Co	ntract Ener	gy.
	OF KENTUCKY EFFECTIVE	(c)	BREC Firm Sale	Transmissic	on Rate:		
	SEP 0 2 1997		BREC Firm Sale I	Energy Rate	e includes al	I transmissi	on.
	PURSUANT TO 807 KAR 5:011,	(d)	BREC Firm Sale A	Ancillary Se	ervices Rate:		
	SECTION 9 (1) RY: Stechand Buy		BREC Firm Sale I	Energy Rate	e includes al	l ancillary s	ervices.
		C,	ANCELLED				
IS Is	ATE OF ISSUE <u>September</u> SSUED BY <u>Mame of Officer</u> sued by authority of an Order of ated August 29, 1997		TITLE	Presi	Interim rates si		

Form for Filing Rate Schedules			For <u>All Territory</u> Community, Tow	
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION			<u> </u>	7
				SHEET NO. 34
			CANCELING P.S	S.C7
				_SHEET NO
	CLAS	SIFICATION OF SERVICE	E	
(2)	<u>Contra</u>	act Demand and Contract	Energy Rates:	
	(the ki Maxim Contra less ki	llowing rates shall be app lowatts taken above the B num Contract Demand, as act Energy (established as Wh taken as Base Contrac s Energy).	ase Contract Dem established by con all metered kWh	and up to ntract) and taken each month
	(a)	Contract Demand Rates:		
		No demand rate is associ Contract Demand.	ated with kW esta	blished as
	(b)	Contract Energy Rates:		
		\$0.01958 per kWh per me	onth, inclusive of I	osses.
PUBLIC SERVICE COMMISSION OF KENTUCKY	(c)	Contract Demand Transm	nission Rates:	
SEP 0 2 1997		For each kW taken per m Contract Demand, less th \$0.98.		
PURSUANT TO 807 KAR 5:011, SECTION 9 (1)		CANCELLED		
BY: Stephand Buy		JUN 2001		
DATE OF ISSUE September	<u>9, 1997</u>	DATE EFFECT	IVE <u>September</u> (Interim rates subj	
ISSUED BY John West	-	TITLEP	resident & CEO	
Name of Officer Issued by authority of an Order of Dated <u>August 29, 1997</u>	the Pub	lic Service Commission o	f Kentucky in Case	e No. <u>97-220</u>

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Form for Filing Rate Schedules			For <u>All Territory</u>		
HENDERSON UNION ELECTRIC			Community, Towr		
COOPERATIVE CORPORATION			P.S.C	7	
			Original	_SHEET N	<b>O</b> . <u>35</u>
			CANCELING P.S	.C7	
				_SHEET N	0
	CLAS	SIFICATION OF SERVICE	E		
	(d)	Contract Demand Ancilla	ry Services Rates:		
		All required transmission ancillary services shall be rate.			rgy
(3)	Exces	ss Demand and Excess En	ergy Rates:		
	by ap Exces apply the fix	and) and Excess Energy (e plying the contractually sp ss Demand). Note: in dete , all such rates will be calc ked rate for each of Excess ss Demand Transmission F	ecified load factor or rmining which of th ulated consistently b Demand, Excess	of at least 7 e below rate (i.e., using Energy, or	0% to es to either
	(a)	Excess Demand Rate:			
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE		The greater of \$7.37 per demand charge associate Big Rivers.			
SED 0.2 1007		Dig Hittere.			wer by
SEP 0 2 1997	(b)	Excess Energy Rate:			wer by
SEP 0 2 1997 PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Stephand Buy CHOESTARY OF THE COMMISSION	(b)	C .			f the
PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Stephand Buy		Excess Energy Rate: The greater of \$0.02040 energy rate associated w Riverscelled	ith a purchase of s	uch power I 2, 1997	f the by Big
PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Stephand Buy		Excess Energy Rate: The greater of \$0.02040 energy rate associated w Riverscelled JUN 2001 7DATE EFFECT	ith a purchase of s	uch power I 2, 1997	f the by Big

Form for Filing Rate Schedules		For <u>All Territory Served</u> Community, Town or City
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION		P.S.C7
		OriginalSHEET NO. 36
		CANCELING P.S.C7
		SHEET NO
	CLAS	SSIFICATION OF SERVICE
	(c)	Excess Demand Transmission Rate:
		No transmission charges shall apply unless Big Rivers assesses costs based on the actual cost to obtain the power, in which case 110% of the actual transmission charges paid by Big Rivers to obtain and deliver this power on applicable third party transmission systems plus 100% of the costs of the applicable transmission taken on Big Rivers' own transmission system shall be charged.
	(d)	Excess Demand Ancillary Services Rate:
PUBLIC SERVICE COMMISSION OF KENTUCKY		No ancillary services charges shall apply unless Big Rivers assesses costs based on the actual cost to obtain the power, in which case 110% of the actual ancillary charges paid by Big Rivers to obtain this power on applicable third party transmission systems, plus 100% of the applicable ancillary services taken on Big Rivers' own transmission system shall be charged.
EFFECTIVE d. (1)	<u>NO A</u>	DJUSTMENTS FOR FUEL PURCHASES:
SEP 0 2 1997 PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BUL	decre	Rates under this rate schedule shall not be increased or eased by any adjustment for the cost of fuel, whether under 807 5:056 or otherwise.
CHANGEN OF THE COMMISSION		CANCELLED
DATE OF ISSUE September	<u>9, 199<sup>.</sup></u>	
ISSUED BY John U	)eft	(Interim rates subject to change)TITLEPresident & CEO
Name of Officer Issued by authority of an Order of	the Pu	Iblic Service Commission of Kentucky in Case No. 97-220

Issued	by authority of	of an	Order	of the
Dated_	August 29,	1997	7	

Form for Filing Rate Schere HENDERSON UNION ELE COOPERATIVE CORPOR	ECTRIC		For <u>All Territory S</u> Community, Towr P.S.C. <u>Original</u> CANCELING P.S.	n or City 7 _SHEET NO37
<u> </u>		CLASSIFICATION OF SERVIC	Ξ	· · · · · · · · · · · · · · · · · · ·
	(2)	NO ADJUSTMENT FOR ENVIR	ONMENTAL SURC	HARGE:
		The Rates under this rate scheo decreased by any adjustment for whether under KRS 278.183, or	or an environmental	
e.	BILLIN	G		
PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE SEP 0 2 1997 PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: Stephand Buy	Large I month distribut Custom after th pay any delivery notice is discont that me	ers shall bill each member distribution ndustrial Customers on the first w for the previous month's service l tion cooperative shall pay Big Ri mer in immediately available funds e 24 <sup>th</sup> of the month. If a member y such bill within such prescribed y of electric power and energy he to that member distribution cooperative inuance for non-payment shall not ember distribution cooperative with mer's BREC Firm Sale take or pay	vorking day after th hereunder. Each m vers for each such s on or before the fi distribution cooper period, Big Rivers ereunder upon five ( erative of its intent t ot in any way affect th respect to that La	te 13 <sup>th</sup> of the nember Large Industrial irst working day rative shall fail to may discontinue (5) days' written to do so. Such t the obligation of

<b>BIG RIVERS ELECTR</b>	INVOICE RIC CORP., P.O. BOX 24, HENDER MONTH ENDING	CANCELLED SON, KY 42420 JUN 2001
TO DISTRIBUTION COOPERATIVE	AC	COUNT
		September 2, 1007

DATE OF ISSUE September 9, 1997	DATE	EFFECTIVE	September 2, 19	97
			rim rates subject to c	
ISSUED BY Name of Officer Issued by authority of an Order of the Public S	TITLE	Presider	nt & CEO	
/ Mame of Officer				
Issued by authority of an Order of the Public S	Service Com	mission of Kentu	cky in Case No	97-220
Dated August 29, 1997				

Form for Filing Rate Schedules HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	For <u>All Territory</u> Community, Tow P.S.C	•
	SEP 0 2 1997		
			_SHEET NO. 38
	PURSUANT TO 807 KAR 5:011, SECTION 9 (1)	CANCELING P.S	S.C7
	BY: Stephand But		SHEET NO
	CLASSIFICATION OF SERVIC	E	
SUBSTATION INDUSTRIAL DELIV	VERY POINT	SERVICE FROM	THRU
USAGE DEMAND TIME POWER FACTOR	DAY METER BASE PEAK AVERAG	MULT E	KW DEMAND BILLED PEAK
ENERGY PREVIOUS	PRESENT DIFFERENCE	MULT	KWH USED
<u>BREC FIRM SALE</u> BASE CONTRACT DEMAND	KW TIMES \$7.37 EQUALS	\$	
P/F PENALTY	KW TIMES \$7.37 EQUALS	\$	
ADJUSTMENT	KW TIMES \$7.37 EQUALS	\$	
	SUBTOTAL	\$	
BASE CONTRACT ENERGY	KWH TIMES \$.02040 EQUALS	\$	
ADJUSTMENT	KWH TIMES \$.02040 EQUALS	\$	
	SUBTOTAL\$	\$	
CONTRACT KWH T ENERGY	IMES \$0.01958 EQUALS	\$ CANCELLED	
ADJUSTMENTS KWH T	IMES \$0.01958 EQUALS	JUN 2001 \$	
·			
DATE OF ISSUE <u>September</u>	9, 1997 DATE EFFECT	IVE <u>September</u>	
ISSUED BY John Wes		(Interim rates subj President & CEO	ect to change)
Name of Officer	the Public Service Commission o	of Kentucky in Case	No 97-220

Issued by authority of an Order of the Public Service Commission of Kentucky in Case No	o. <u>97-220</u>
Dated August 29, 1997	

	Form for Filing Rate Schedules	For <u>All Territory Served</u> Community, Town or City
	HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	P.S.C7
C		Original SHEET NO. 39
		CANCELING P.S.C7
		SHEET NO
	CLASSIFICATION OF SEF	VICE
	CONTRACT KW TIMES \$0.98 EQUALS DEMAND TRANSMISSION	\$
	ADJUSTMENTS KW TIMES \$0.98 EQUALS	\$
	EXCESS DEMAND GREATER OF KW TIMES \$7.37 EQUALS OR 110% OF BREC COST TO ACQUIRE	
	ADJUSTMENTS EXCESS ENERGY GREATER OF KWH TIMES \$0.02040 EQ OR 110% OF BREC COST TO ACQUIRE	
0	TOTAL AMOUNT DUE	\$
	LOAD FACTOR MILLS PER KWH	
	DUE IN IMMEDIATELY AVAILABLE FUNDS ON OR BEFORM MONTH AFTER THE 24 <sup>TH</sup> OF THE MONTH.	PUBLIC SERVICE COMMISSION OF KENTUCKY E THE FIRST WORKING DAY OF THE
	CANCELLED JUN 2001	SEP 0 2 1997 PURSUANT TO 807 KAR 5:011, SECTION 9 (1) TY STEDIANO BULL
	DATE OF ISSUE <u>September 9, 1997</u> DATE EFF	ECTIVE September 2, 1997 (Interim rates subject to change)
0	ISSUED BY <i>fomullest</i> TITLE Name of Officer Issued by authority of an Order of the Public Service Commiss Dated August 29, 1997	President & CEO

(

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DERSON-UNION RURAL ELECTRIC OPERATIVE CORPORATION Name of Issuing Corporation CLASSIFICATION OF SERV CABLE TELEVISION ATTACHMENT TARIFF	Original CANCELLING P.S.C Original	. NO
CLASSIFICATION OF SERV	<u>Original</u>	
CLASSIFICATION OF SERV		SHEET
	VICE	
CABLE TELEVISION ATTACHMENT TARIFF		
APPLICABILITY		large s
In all territory served by the company on poles o the company for their electric plant.	wned and used by .	•
AVAILABILITY		
To all qualified CATV operators having the right	to receive service.	
RENTAL CHARGE		
The yearly rental charges shall be as follows:		
Two-party pole attachment		\$ 3.23
	SERVICE COMMISSION OF KENTUCKY	2.59
Two-party anchor attachment	EFFECTIVE	2.83
Three-party anchor attachment	JUL 1 5 1985	1 <b>.</b> 88 <sup>.</sup>
Grounding attachment	ANT TO 807 KAR5:011, SECTOON 9 (1)	3.23
Pedestal attachment	Koghegan	3.23
BILLING		
Rental charges shall be billed semi-annually base pole attachments. The rental charges are net, th percent (5%) higher. In the event the current mo paid within fifteen (15) days from the date of th rate shall apply.	e gross being five nthly bill is not	
Note: Discount or penalty must apply to all elec	tric customers.	
JUN 2001		
SSUED BY John West TIT	E EFFECTIVE January LE Manager	1, 1985
Name of Officer Ssued by authority of an Order of the Public Service		

form for filing Rate Schedules	For All Territory Served		
	Community, Town or City		
	P.S.C. NO		
NDERSON-UNION RURAL ELECTRIC	Original	SHEET NO2	
ame of Issuing Corporation	CANCELLING P.	S.C. NO	
	Original	SHEET	
CLASSIFICATION	N OF SERVICE		
SPECIFICATIONS			
A. The attachment to poles covered by the times conform to the requirements of the Nation 1981 Edition, and subsequent revisions thereor requirements of public authorities may be more the latter will govern.	onal Electrical Safety Coc f, except where the lawful		
B. The strength of poles covered by this cient to withstand the transverse and vertica under the storm loading of the National Elect for the area in which they are located.	1 load imposed upon them	C SERVICE COMMISSION OF KENTUCKY EFFECTIVE	
ESTABLISHING POLE USE	DHDC	UANT TO 207 KAR 5:01	
A. Before the CATV operator shall make the Cooperative under this tariff, they shall their intent in writing and shall comply with by the Cooperative. The CATV operator shall detailed construction plans and drawings for with necessary maps, indicating specifically the number and character of the attachments to and rearrangements of the Cooperative's fixtur for the attachment, any relocation or replaced and any additional poles that CATV intends to	notify the Cooperative of the procedures establishe furnish the Cooperative each pole line, together the poles of the Cooperation o be placed on such poles res and equipment necessar ments of existing poles,	ive.	
The Cooperative shall, on the basis of so plans and drawings, submit to the CATV operation ing overhead and less salvage value of materia may be required in each such pole line. Upon CATV operator, the Cooperative will then proce- changes in pole lines covered by cost estimate	or a cost estimate includ als) of all changes that receipt of payment by the eed with the necessary	CAN SHILED	
Upon completion of all changes, the CATV right hereunder to make attachments in accord application of this tariff. The CATV operato make attachments in such manner as not to int the Cooperative.	ance with the terms of the r shall, at his own expense	se.,	
ATE OF ISSUE January 10, 1984	DATE EFFECTIVE Jar	nuary 1, 1985	
SSUED BY John West	TITLE Manager		
Name of Officer ssued by authority of an Order of the Public			

form for filing Rate Schedules	For <u>All Territory Served</u> Community, Town or City		
	P.S.C. NO. 5		
DERSON-UNION RURAL ELECTRIC	Original SHEET NO. 20 CANCELLING P.S.C. NO.		
lame of Issuing Corporation	SHEET		
CLASSIFICATION OF	SERVICE		
B. Upon completion of all changes, the Coopera actual cost as built to the CATV operator (including salvage value of materials). Should the actual cost the initial payment, the CATV operator shall be refu- billing shall be submitted. The obligation of the C shall not be limited to amounts shown on estimates material	g overhead and less be more or less than unded or additional CATV operator hereunder		
C. Any reclearing of existing rights-of-way ar necessary for the establishment of pole line attachm be performed by the CATV operator.	nd any tree trimming ments hereunder shall		
D. All poles to which attachments have been ma shall remain the property of the Cooperative, and an CATV operator for changes in pole line under this ta the CATV operator to the ownership of any of said po	ny payments made by the ariff shall not entitle		
E. Any charges necessary for correction of sub made by the CATV operator, where notice of intent has shall be billed at rate equal to twice the charges to imposed if the attachment had been properly authorized	ostandard installation EFFECTIVE ad not been requested, that would have been IAN 1 1965 zed.		
EASEMENTS AND RIGHTS-OF-WAY	PURSUANT TO 807 KAR 5:011, SECTION 9 (1)		
A. The cooperative does not warrant nor assure any rights-of-way privileges or easements, and if the at any time be prevented from placing or maintaining the Cooperative's poles, no liability on account the the Cooperative. Each party shall be responsible for easements and rights-of-way.	he CATV operator shall g its attachments on ereof shall attach to		
MAINTENANCE OF POLES, ATTACHMENTS AND OPERATION	CANCELLED		
A. Whenever right-of-way considerations or pul relocation of a pole, or poles necessary, such reloc the Cooperative at its own expense, except that each cost of transferring its own attachments.	blic regulations make cation shall be made by		
•			
DATE OF ISSUE January 10, 1984	DATE EFFECTIVE January 1, 1985		
SUED BY Om Ilest	TITLE Manager		

form for filing Rate Schedules	For All Territory Served Community, Town or City
	P.S.C. NO.
HENDERSON-UNION RURAL ELECTRIC	Original SHEET NO.
COOPERATIVE CORPORATION	CANCELLING P.S.C. NO.
ame of Issuing Corporation	
	<u>Original</u> SHEET
CLASSIFICATION	OF SERVICE
B. Upon completion of all changes, the Co actual cost as built to the CATV operator (incl salvage value of materials). Should the actual the initial payment, the CATV operator shall be billing shall be submitted. The obligation of under shall not be limited to amounts shown on Cooperative hereunder.	uding overhead and less cost be more or less than refunded or additional the CATV operator here-
C. Any reclearing of existing rights-of-w necessary for the establishment of pole line at be performed by the CATV operator.	way and any tree trimming tachments hereunder shall
D. All poles to which attachments have be shall remain the property of the Cooperative, a the CATV operator for changes in pole line unde entitle the CATV operator to the ownership of a	and any payments made by er this tariff shall not
E. Any charges necessary for correction of made by the CATV operator, where notice of inte ed, shall be billed at rate equal to twice the been imposed if the attachment had been properly	ent had not been request- charges that would have
EASEMENTS AND RIGHTS-OF WAY	
A. The cooperative does not warrant nor a ator any rights-of-way privileges or easements, shall at any time be prevented from placing or ments on the Cooperative's poles, no liability attach to the Cooperative. Each party shall be ing its own easements and rights-of-way.	and if the CATV operator maintaining its attach- on account thereof shall responsible for obtain- UL 1 5 1985
MAINTENANCE OF POLES, ATTACHMENTS AND OPERATION	PURSUANT TO 207 KAR 5-9
A. Whenever right-of-way considerations of relocation of a pole, or poles necessary, such by the Cooperative at its own expense, except t the cost of transferring its own attachments.	relocation shall be made
	JUN 2001
ATE OF ISSUE January 10, 1984	DATE EFFECTIVE January 1, 1985
SSUED BY thullest	TITLE Manager
Name of Officer ssued by authority of an Order of the Public S	Service Commission of Ky. in
ase No. Administrative Case No. 251dated July	

Form for filing Rate Schedules	For All Territory Served
	Community, Town or City
	P.S.C. NO6
HENDERSON-UNION RURAL ELECTRIC	Original SHEET NO. 22
	CANCELLING P.S.C. NO. 6
Name of Issuing Corporation	Original SHEET 21
CLASSIFICATION OF SERVIC	CE .
B. Whenever it is necessary to replace or relocate the Cooperative shall, before making such replacement or give forty-eight (48) hours notice (except in cases of e CATV operator, specifying in said notice the time of suc placement or relocation, and the CATV operator shall, at specified, transfer its attachments to the new or reloca the CATV operator fail to transfer its attachments to th ed pole at the time specified for such transfer of attac Cooperative may elect to do such work and the CATV operator cooperative the cost thereof.	r relocation, emergency) to the ch proposed re- the time so ated pole. Should he new or relocat- chments, the
C. Any existing attachment of CATV which does not specifications as set out in this tariff hereof shall be conformity therewith as soon as practical. The Cooperat the importance of its service, reserves the right to ins installation of the CATV operator on its poles and in th its lines or appurtenances. Such inspection, made or no operate to relieve the CATV operator of any responsibility or liability assumed under the tariff.	e brought into tive, because of spect each new ne vicinity of ot, shall not
D. The Cooperative reserves to itself, its success the right to maintain its poles and to operate its facil such manner as will, in its own judgement, best enable own service requirements. The Cooperative shall not be CATV operator for any interruption of service of CATV op interference with operation of the cables, wires and app CATV operator arising in any manner out of the use of th poles hereunder.	lities thereon in it to fulfill its liable to the perator or for pliances of the
The Cooperative shall exercise precaution to avoid facilities of the CATV operator, make an immediate report operator of the occurrence of any such damage caused by agents or contractors, and except for removal for non-particular failure to post or maintain the required "Performance Bo reimburse the CATV operator for all reasonable cost inco- operator for the physical repair of such damaged facility	rt to the CATY its employees, ayment or for ond," agrees to urred by the CATV ties.
JUN 2000	· PURSUANT TO 807 KAR 5:011, SECTOR 9 (1) RV: C. R. P. B. R. P. P.
DATE OF ISSUE January 10, 1984 DATE I	EFFECTIVE January 1, 1985
ISSUED BY Januar TITLE	Manager
Issued by authority of an Order of the Public Service Co Case No. Administrative Case No. 251 dated July 19, 1983	1

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orm for filing Rate Schedules	For	Community, Town	tory Served or City
		C. NO.	
-	3		
ENDERSON-UNION RURAL ELECTRIC		iginal	
ame of Issuing Corporation		CELLING P.S.C. N	
		iginalSH	EET2
CI	LASSIFICATION OF SERVICE		
INSPECTIONS			•
A. <u>Periodic Inspection</u> : An by CATV operator will be billed a to the rate that would have been day after the last previously req B. <u>Make-Ready Inspection</u> : through "inspection required of t CATV operator at a rate equal to appropriate overhead charges.	It a rate of two times the an due, had the installation be uired inspection. Any "make-ready" inspection the Cooperative will be paid	ount equal en made the PUBLIC SERVI or walk- OF KE for by the EFFI penses, plus	CE COMMISSION NTUCKY ECTIVE 5 1985
INSURANCE OR BOND		PURSUALIT T	0807 KAR5 011
Workmen's Compensati 2. Public Liability cov	es of defending against the s it therefor, by reason of (a) it o or destruction of proper other adverse effects on the laws, regulation or orders tive itself, or indirectly by it by third parties, resulti s or ommissions of the CATV representatives or from their re, either solely or in concu the Cooperative. The Cooperative. The cooperative from a compa- tive at the extent requi on Law of Kentucky.	ame and pay- injuries ties, (c) e environment whether reason of ng or operator, presence mrence with any author-	.LED 2001
town or city in whic contract to a minimu	h the CATV operator operates m amount of \$100,000.00 for	each person	
TE OF ISSUE January 10, 1984	DATE EFFECT	IVE January 1	, 1985
SSUED BY the West	TITLE	Manager	
and he Van hand he at an Onder of	f the Public Service Commiss	for of Yu in	

Form for filing Rate Schedules	For All Territory Served Community, Town or City	-
	P.S.C. NO.	6
HENDERSON-UNION RURAL ELECTRIC COOPERATIVE CORPORATION	Original SHEET NO.	24
Name of Issuing Corporation	CANCELLING P.S.C. NO	
	Original SHEET	23
CLASSIFICATION OF SI	ERVICE	
and \$300,000.00 for each accident or per and \$25,000.00 as to the property of any \$100,000,00 as to any one accident of pr Before beginning operations under this tariff shall cause to be furnished to the Cooperative a co- coverage, evidencing the existence of such coverage quired hereunder shall contain a contractual endors follows: "The insurance or bond provided herein s benefit of Henderson-Union Rural Electro poration, so as to guarantee, within the the performance by the insured of any in forth in this tariff. This insurance or	y one person, and roperty damage. , the CATV operator ertificate for such e. Each policy re- sement written as shall also be for the ic Cooperative Cor- e coverage limits, ndemnity agreementUsetC SERVICE COMMUNIC	SSION
celled for any cause without thirty (30) being first given to Henderson-Union Run tive Corporation."	) days advance notice EFFECTIVE	
CHANGE OF USE PROVISION	PURSUANT TO 807 KAR SECTION 9 (1)	5:011,
When the Cooperative subsequently requires a c attachment for reasons unrelated to the CATV operat ator shall be given forty-eight (48) hours notice of (except in case of emergency). If the CATV operator willing to meet the Cooperative's time schedule for Cooperative may do the work and charge the CATV operator cost for performing the change of CATV attachments.	tions, the CATV oper- of the proposed change or is unable or un- r such changes, the erator its reasonable	ogen
ABANDONMENT		
A. If the Cooperative desires at any time to which CATV operator has attachments, it shall give notice in writing to that effect at least thirty (3 date on which it intends to abandon such pole. If said period, the Cooperative shall have no attachme the CATV operator shall not have removed all of its such pole shall thereupon become the property of th the CATV operator shall save harmless the Cooperative	the CATV operator 30) days prior to the , at the expiration of JJN 2001 ents on such pole, but s attachments therefrom, he CATV operator, and	
TE OF ISSUE January 10, 1984 D	ATE EFFECTIVE January 1, 1985	
	ITLE Manager	
Name of Officer Issued by authority of an Order of the Public Servi	ce Commission of Ky. in	

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Case No. Administrative Case No. 251 dated July 19, 1983

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Form for filing Rate Schedules	For All Territory	Served
	Community, Town	or City
	P.S.C. NO	. 6
HENDERSON-UNION RURAL ELECTRIC COOPERATIVE CORPORATION	Original	SHEET NO. 25
а <u>1</u>	CANCELLING P.S.C.	NO6
Name of Issuing Corporation	Original s	hfet 24
	······································	
CLASSIFICATION OF SERV	ICE	
<pre>liability, damages, cost, expenses or charges incurred shall pay the Cooperative for such pole an amount equa tive's depreciated cost thereof. The Cooperative shall transfer to the CATV operator of title to the pole by sale.</pre> B. The CATV operator may at any time abandon the ed pole by giving due notice thereof in writing to the removing therefrom any and all attachments it may have operator shall in such case pay to the Cooperative the said pole for the then current billing period.	<pre>1 to the Coopera- 1 further evidence means of a bill of use of the attach- Cooperative and by thereon. The CATV</pre>	
RIGHTS OF OTHERS		
Upon notice from the Cooperative to the CATV oper of any pole or poles is forbidden by municipal or othe ies or by property owners, the permit governing the us poles shall immediately terminate and the CATV operato facilities from the affected pole or poles at once. N rental will be due on account of any removal resulting bidden use.	r public authorit∈ SE e of such pole or r shall remove its o refund of any from such for-	L 1 5 1985
	PURSUAN	TTO 807. KAR 5:011,
PAYMENT OF TAXES	BY CA	Dioghegen
Each party shall pay all taxes and assessments la its own property upon said attached poles, and the tax ments which are levied on said property shall be paid of, but any tax fee, or charge levied on the Cooperati because of their use by the CATV operator shall be pai operator.	es and the assess- by the owner there- ve's poles solely	
BOND OR DEPOSITOR PERFORMANCE		
A. The CATV operator shall furnish bond or satis contractual insurance coverage for the purposes herein the amount of Twenty-five thousand dollars (\$25,000.00 as the CATV operator shall occupy twenty-five hundred Cooperative and thereafter the amount thereof shall be	factory evidence_of after specified in ), until such time (2500) poles of the	Celled 2001
TE OF ISSUE January 10, 1984 DATE	EFFECTIVE January	1, 1985
ISSUED BY to hn West TITL		
Name of Officer	<u></u>	
Issued by authority of an Order of the Public Service	Commission of Ky. in	

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Case No. Administrative Case No. 251 dated July 19, 1983

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HENDERSON-UNION RURAL ELECTRIC COOPERATIVE CORPORATION       6         Name of Issuing Corporation       0 riginal       SHEET NO. 26         CANCELLING P.S.C. NO.       6         Original       SHEET NO. 26         CANSELING P.S.C. NO.       6         Original       SHEET NO. 26         CANSELING P.S.C. NO.       6         Original       SHEET NO. 26         CLASSIFICATION OF SERVICE       6         ments of One thousand dollars (\$1,000.00), for each one hundred (100)       6         poles (or fraction thereof) occupied by the CAV operator, evidence of       6         witch shall be presented to the Cooperative fifteen (15) days prior to       6         beginning construction. Such bond or insurance. Upon receipt of       5         such notice, the Cooperative shall request the CATV operator to immediately remove its cables, wires, and all other facilities from the Doles of the Cooperative, the the Cooperative shall nave the right to remove them at the cost and expense of the CATV operator to immediately remove its cables, wires, cables, fixtures, or appurtenances. Such notice, the Cooperative shall have the receipt of such request from the Doperator of all its facilities from all poles of the CATV operator to immediately remove its cables, wires, and all other facilities from all poles of the CATV operator to immediately remove its cables, wires, and all other facilities from all poles of the CATV operator.         the CATV operator is wires, cables, fixtures, or appurtenances. Such notice, the Coo	Form for filing Rate Schedules	For All Territory Served		
HENDERSON-UNION RURAL ELECTRIC COOPERATION       Original       SHEET NO. 26         CARCELLING P.S.C. NO.       6         Original       SHEET       25         CLASSIFICATION OF SERVICE         ments of One thousand dollars (\$1,000.00), for each one hundred (100) poles (or fraction thereof) occupied by the CATV operator, evidence of which shall be presented to the Cooperative fifteen (15) days prior to beginning construction. Such bond or insurance shall contain the provi- sion that it shall not be terminated prior to six (6) months after receipt by the Cooperative of written notice of the Bonding or Insurance Cooperative shall request the CATV operator to immed- iately remove its cables, wires, and all other faciliteis from all poles of the Cooperative shall request the CATV operator to immed- iately remove its cables, wires, and all other faciliteis from all poles of the Cooperative shall have the right to remove them at the cost and expense of the CATV operator shall contain the provision that it shall not be ter- minated prior to six (6) months after receipt by the Cooperative within thirty (30) days after receipt of such negues from the Cooperative shall houd or insurance. Upon receipt of such negues to the the cooperative, then the Cooperative shall have the right to remove them at the cost and expense of the CATV operator to immediately remove its cables, wires, and all other facilities from all poles of the Cooperative shall have the right to remove them at the cost and expense of the CATV operator's wires, cables, fixtures, or appurtenances. Such bond or insurance shall have the right to remove them at the cost and expense of the CATV operator's wires, cables, fixtures, or appurtenances. Such bond or insurance shall have the right to remove them at the cost and expense of the CATV operator's wires, ca		Community, Town or City	• .	
HENDERSON-UNION RURAL ELECTRIC       CANCELLING P.S.C. NO.       6         Name of Issuing Corporation       Original       SAMET       25         CLASSIFICATION OF SERVICE         ments of One thousand dollars (\$1,000.00), for each one hundred (100) poles (or fraction thereof) occupied by the CATV operator, evidence of which shall be presented to the Cooperative fifteen (15) days prior to beginning construction. Such bond or insurance shall contain the provision that it shall not be terminated prior to six (6) months after receipt by the COoperative of written notice of the Bonding or Insurance Company to terminate such bond or insurance. Upon receipt of such notice, the Cooperatives shall request the CATV operator to immediately remove its cables, wires, and all other facilities from all poles of the Cooperative shall request the CATV operator to immediately remove its cables, fixtures, or appurtenances. Such bond or insurance shall contain the provision that it shall not be terminated prior to six (6) months after receipt by the Cooperative within the Cooperative shall have the right to remove them at the cost and expense of the CATV operator so immediately remove its cables, fixtures, or appurtenances. Such bond or insurance shall contain the provision that it shall not be terminated prior to six (6) months after receipt of such notice, the Cooperative within thirty (30) days after receipt of the Bonding or Insurance Company to terminate such bond or insurance. Upon receipt of such neguest from the Cooperative within the cooperative within thirty (30) days after receipt by the CATV operator so immediately remove the shall request the CATV operator to immediately remove the scales, fixtures, or appurtenances. Such bond or insurance shall contain the provisions.       ENEWICE COMMISSION <td colsp<="" td=""><td></td><td>P.S.C. NO</td><td>6</td></td>	<td></td> <td>P.S.C. NO</td> <td>6</td>		P.S.C. NO	6
COOPERATIVE CORPORATION       6         Name of Issuing Corporation       Original       SHFET       25         CLASSIFICATION OF SERVICE         CLASSIFICATION OF SERVICE         ments of One thousand dollars (\$1,000.00), for each one hundred (100) poles (or fraction thereof) accupied by the CATV operator, evidence of which shall be presented to the Cooperative fifteen (15) days prior to beginning construction. Such bond or insurance shall contain the provision that it shall not be terminated prior to six (6) months after receipt by the Cooperative shall request the CATV operator to immediately remove its cables, wrise, and all other facilities from all poles of the Cooperative, shall here the right to remove the cooperative, within thirty (30) days after receipt by the cooperative, so rappurtenances. Such bond or insurance shall contain the provision that it shall not be terminated prior to six (6) months after receipt by the Cooperative within thirty (30) days after receipt of the Bonding or Insurance Company to terminate such bond or insurance. Upon receive by the Cooperative within the cooperative of written notice of the Bonding or Insurance Company to terminate such bond or insurance. Upon receive thy the Cooperative within the receive of the desire of the Bonding or Insurance Cooperative within the receive of written notice of the Cooperative within the receive of all its facilities from all poles of the Cooperative within the receive of the Cooperative within the receive of such notice, the COoperative within the receive of such request the CATV operator should fail to complete the removal of all its facilities from all poles of the Cooperative within the receive of such notice, the CATV operator should fail to complete the removal of all its facilities from all poles of the Cooperative within there		Original SHEET	NO. 26	
Original       SHEET       25         CLASSIFICATION OF SERVICE         ments of One thousand dollars (\$1,000.00), for each one hundred (100)         poles (or fraction thereof) occupied by the CATV operator, evidence of which shall be presented to the Cooperative fifteen (15) days prior to beginning construction. Such bond or insurance shall contain the provision that it shall not be terminated prior to six (6) months after receipt of such request or fitter is from all poles of the Cooperative, if the CATV operator to immediate prior to six (6) months after receipt of such request from the Cooperative of the Cooperative, if the CATV operator should fail to complete the removal of all its facilities from the poles of the Cooperative, if the CATV operator's dimediately remove its cables, wires, cables, fixtures, or appurtenances. Such bond or insurance shall contain the provision that it shall not be terminated prior to six. (6) months after receipt of such request from the Cooperative of writes hall request the CATV operator is cables, situres, or appurtenance. The cooperative of writes, and all other facilities from all poles of the Cooperative of the Monting or Insurance Shall contain the provision that it shall not be terminate such bond or insurance. Upon receipt of such notice, the Cooperative, if the CATV operator's writes, cables, fixtures, or appurtenances. Such bond or insurance shall contain the provision that its facilities from the poles of the CATV operator's writes, cables, fixtures, or appurtenance. The Cooperative shall have the right to remove them at the cost and expense of the CATV operator has deexpense of the CATV operator's writes, cables, fixtures, or appurtenance. The Cooperative of writes hall request the CATV operator's writes, cables, fixtures, or appurtenance. The Cooperative of the cooperative hall request the CATV operator's writes,	COOPERATIVE CORPORATION	CANCELLING P.S.C. NO.	6	
CLASSIFICATION OF SERVICE         ments of One thousand dollars (\$1,000.00), for each one hundred (100) poles (or fraction thereof) occupied by the CATV operator, evidence of which shall be presented to the Cooperative fifteen (15) days prior to beginning construction. Such bond or insurance shall contain the provi- sion that it shall not be terminated prior to six (6) months after receip by the Cooperative shall request the CATV operator to immed- iately remove its cables, wires, and all other facilities from all poles of the Cooperative shall are quest the CATV operator to immed- iately remove its cables, wires, and all other facilities from all poles of the Cooperative shall are the right to remove them at the cost and expense of the CATV operator and without being liable for any damage to the CATV operator's wires, cables, fixtures, or appurtenances. Such obnd or insurance shall contain the provision that it shall not be ter- minates such bond or insurance. Upon receipt of such notice, the Coop- erative shall neguest the CATV operator to immediately remove its cables, wires, and all other facilities from all poles of the Cooperative. If the CATV operator should fail to complete the removal of all its facil- ities from the poles of the Cooperative within thirty (30) days after receipt of such request from the cooperative, then CATV oper- ator and without being liable for any damage to the CATV oper- ator and without being liable for any damage to the CATV oper- ator and without being liable for any damage to the CATV operator's wires, cables, fixtures, or appurtenances. Such bond or insurance shall guarantee the payment of any sums which may become due to the Cooperative reference.       ERVICE COMMISSION Determination of service by any of its provisions.         B. After the CATV operator has been a customer of the Cooperative and not in default for a period of two years, the Cooperative shall	Name of Issuing Corporation	Original SHEET	25	
<pre>ments of One thousand dollars (\$1,000.00), for each one hundred (100) poles (or fraction thereof) occupied by the CATV operator, evidence of which shall be presented to the Cooperative (fiften (15) days prior to beginning construction. Such bond or insurance shall contain the provi- sion that it shall not be terminated prior to six (6) months after receipt by the Cooperative of written notice of the desire of the Bonding or Insurance Company to terminate such bond or insurance. Upon receipt of such notice, the Cooperative shall request the CATV operator to immed- iately remove its cables, wires, and all other faciliteis from all poles of the CATV operator of written request from the Cooperative within thirty (30) days after receipt of such request from the Cooperative. If the CATV operator and without being liable for any damage to the CATV operator should fail to complet the ter- minated prior to six. (6) months after receipt by the Cooperative of written notice of the desire of the Bonding or Insurance Company to ter- minated prior to six. (6) months after receipt by the Cooperative. If the CATV operator and without being liable for any damage to the CATV operator should fail to complet the ter- minated prior to six. (6) months after receipt of such request from the Cooperative. If the CATV operator should fail to complete the removal of all its facil- ities from the poles of the Cooperative within thirty (30) days after receipt of such request from the Cooperative. Shall have the right to remove them at the cost and expense of the CATV oper- ator and without being liable for any damage to the CATV oper- ator and without being liable for any damage to the CATV oper- ator and not in default for a period of two years, the Cooperative shall have the pagnet of any sums which may become due to the Cooperative and not in default for as period of two years, the Cooperative shall have the bond by 50%, or, at the Cooperative's option, require a dep- osit in keeping with 807 KAR 5:006, Section -7.</pre>				
<pre>poles (or fraction thereof) occupied by the CATV operator, evidence of which shall be presented to the Cooperative fifteen (15) days prior to beginning construction. Such bond or insurance shall contain the provi- sion that it shall not be terminated prior to six (6) months after receipt by the Cooperative of written notice of the desire of the Bonding or Insurance Company to terminate such bond or insurance. Upon receipt of such notice, the Cooperative shall request the CATV operator to immed- iately remove its cables, wires, and all other facilite from all poles of the Cooperative shall have the right to remove them at the cost and expense of the CATV operator and without being liable for any damage to the CATV operator's wires, cables, fixtures, or appurtenances. Such bond or insurance shall contain the provision that it shall not be ter- minated prior to six.(6) months after receipt of such notice, the Coop- rative shall have the right to remove the adiately remove its cables, wires, and all other facilities from all poles of the Cooperative. If the CATV operator for the desire of the Bonding or Insurance Company to ter- minate such bond or insurance. Upon receipt of such notice, the Coop- rative shall request the CATV operator to immediately remove its cables, wires, and all other facilities from all poles of the Cooperative. If the CATV operator should fail to complete the removal of all its facil- ities from the poles of the Cooperative within thirty (30) days after receipt of such request from the Cooperative within thirty (30) days after receipt of such request from the Cooperative within the CATV operator's wires, cables, fixtures, or appurtenances. Such bond or insurance such or any damage to the CATV operator's wires, cables, fixtures, or appurtenance. Such cooperative for rentals, inspections or work performed for the benefit of the CATV operator under this tariff, including the removal of attachments up00BUL EFEVICE COMMISSION termination of service by any of its provisions. B. After the CATV</pre>	CLASSIFICATION OF SER	RVICE .		
Issued by authority of an Order of the Public Service Commission of Ky. in Case No. Administrative Case No. 251 dated July 19, 1983				

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	For All Te Community,	Town or City
	P.S.C. NO	
HENDERSON-UNION RURAL ELECTRIC		SHEET NO2
COOPERATIVE CORPORATION	CANCELLING P.	S.C. NO
me of Issuing Corporation	Original	SHEET 2
CLASSIFICATI	ION OF SERVICE	<u>.</u>
USE OF ANCHORS		
The Cooperative reserves the right to	prohibit the use of any exi	st-
ing anchors by CATV operator where the stre anchors cannot be readily identified by vis	ngth or conditions of said ual inspection.	
DISCONTINUANCE OF SERVICE		•
The Cooperative may refuse or discontin	nue serving an applicant or	
customer under the conditions set out in 80	7 KAR 5:006 Section 11.	
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	PUBLIC SERVICE COMMISSI	
	OF KENTUCKY EFFECTIVE	
CANCELLED	JUL: 1 5 1985	
OATOL	PURSUANT TO 807 KAR 5:01	1
JUN 2001	BY Jethegan	- 1
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re of issue January 10, 1984	DATE EFFECTIVE Jan	uary 1, 1985
SUED BY John West	TITLE Manager	

	All Ter	ritory Served	
rm for filing Rate Schedules	101	Town or City	
	P.S.C. NO		•.
HENDERSON-UNION RURAL ELECTRIC		SHEET N	
COOPERATIVE CORPORATION	CANCELLING P.S		
me of Issuing Corporation		SHEET	
			£
CLASSIFICATION OF SEF	RVICE		
RATE SCHEDULE SPC-A			
1. AVAILABILITY OF SERVICE:			
Available only to qualifying small power product facilities, 100 kW or below, which have executed Purchase of Electric Energy" with Corporation.	ion or cogeneration an "Agreement for		
2. RATE SCHEDULE			
<ul> <li>Base payment of \$.01694 per kWh plus or minus cu adjustment.</li> </ul>	rrent fuel		
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	PUBLIC SERVICE COMM OF KENTUCKY		
CANCELLED	EFFECTIVE		
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JUN 2001	PURSUANT TO 807 KAI	RE-011,	
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Form for filing Rate Schedules	For All Territory Served
	Community, Town or City
	P.S.C. NO. 6
HENDERSON-UNION RURAL ELECTRIC COOPERATIVE CORPORATION	Original SHEET NO. 29
Name of Issuing Corporation	CANCELLING P.S.C. NO6
	<u>Original</u> <u>SHEET</u> 28
CLASSIFICATION OF SE	CRVICE
	· · · · · · · · · · · · · · · · · · ·
RATE SCHEDULE SPC-B	
1. AVAILABILITY OF SERVICE:	
Available only to qualifying small power product facilities, above 100 kW, which have executed an Purchase of Electric Energy" with Corporation. be used as the basis for negotiating a final pur are not to be taken as a firm rate for any faci	n "Agreement for Rates below are to rchase rate and
2. <u>RATE SCHEDULE</u> :	
• A. <u>Capacity (if Applicable)</u> :	
(1) When connected to electric distribution or below.	n lines of 25 kV
A payment of \$4.12 per kilowatt per mor capacity is delivered for a minimum of month. Payment will be based upon the delivered each month as determined by ed kWh delivered by the number of hour period. Deliveries for less than 520 the energy payment only.	520 hours during the average capacity dividing the meter- s in the billing
(2) When connected to electric transmission	n lines above 25 kV:
month. Payment will be based upon the delivered each month as determined by ed kWh delivered by the number of hour period. Deliveries for less than 520 the energy payment only.	hours during the BLIC SERVICE COMMISSION average capacity OF KENTUCKY dividing the meter- EFFECTIVE s in the billing hours will receive JUL 1 5 1985
B. Energy:	BY: Seon heem
Base payment of \$.01694 per kWh plus or min adjustment.	
TE OF ISSUE July 28, 1984	TE EFFECTIVE July 28, 1984
and the second	TLE Manager
Vame of Officer ' Issued by authority of an Order of the Public Servic Case No. 8566 dated June 28,	e Commission of Ky. in 1984

qualifies as a small power production facility or cogeneration facility under Section 201 of the Public Utility Regulatory Policies Act of 1978, and desires to sell electric power and energy to cooperative beginning on or about \_\_\_\_\_\_, 19\_\_, or as soon thereafter as the qualifying facility is ready for service; and

WHEREAS, cooperative desires to purchase electric power and energy from the seller; and

WHEREAS, the cooperative is willing to permit the seller's electric generation facilities to be interconnected and operated in parallel with the cooperative's electric system so that the seller will be able to deliver to cooperative electric power and energy;

NOW, THEREFORE, the parties agree as follows:

#### ARTICLE I

#### Definition of Terms

1.1 The term "qualifying facility" or "QF" as used in this contract is defined to include all the seller's electric generation facilities and all interconnection and safety equipment owned by the seller and used in connection with the electric generation facilities owned by it which will produce electric power and energy for sale under this agreement.

1.2 The terms specifically defined in 807 KAR 5:054 and 18 C.F.R. Part 292, when used in this agreement, shall have the same definitions as in those regulations.

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#### ARTICLE II

Ownership and Maintenance of Facilities

2.1 The seller shall have sole responsibility for the design, construction, installation, ownership, safety, operation and maintenance of the qualifying facility (hereinafter referred to as the "QF").

2.2 The seller, in designing, constructing, installing, operating and maintaining the QF, shall comply with all rules, regulations, policies, standards and codes generally recognized in the utility industry as applicable to such operations, including, but not limited to, the National Electrical Safety Code, regulations of the Kentucky Public Service Commission, requirements and bulletins of the Rural Electrification Administration, all federal, state and local safety codes, statutes and regulations and all applicable policies of the cooperative now in existence or that may be adopted from time to time.

2.3 The seller shall pay cooperative the "additional" interconnection cost" as defined in 807 KAR 5:054 §6(6) of interconnecting the QF with the distribution system of the cooperative for the second seco

2.4 The seller shall pay for and the cooperative shall own and maintain the metering equipment which it determines is necessary based upon the size and other characteristics of the QF to measure the power and energy sold by the seller. Upon termination of this agreement, the meters and metering equipmentCW1CE1156 turned over to the seller if requested. Cooperative shall test JUN -- 2001 and calibrate meters by comparison with accurate standards at

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intervals not exceeding twelve (12) months and shall also make special meter tests at any time at the seller's request. The cost of all tests shall be borne by cooperative; provided, however, that if any special meter test made at the request of the seller shall disclose that the meters are recording accurately, the seller shall reimburse cooperative for the cost of such test. Meters registering not more than two (2%) percent above or below normal shall be deemed to be accurate. The readings of any meter which shall have been disclosed by tests to be inaccurate shall be corrected for the ninety (90) days previous to such test in accordance with the percentage of inaccuracy found by such test. If any meter shall fail to register for any period, the seller and the cooperative shall agree as to the amount of energy furnished during such period and the cooperative shall render payment therefor. The cooperative shall meter all power and energy at voltage as mutually agreed to with the seller. The cooperative shall, when requested, notify the seller in advance of the time of any meter reading or test so that the seller's representative may be present at such meter reading or test.

2.5 The original and any revisions to the plans, specifications and operating characteristics for the QF must be approved by the cooperative before the seller connects its QF to the cooperative's system. Prior to energization of the interconnection between the QF and the cooperative's system, the cooperative shall have the right to inspect the QF for any purpose. However, inspection and acceptance of any plans, design theory, specifications and operating characteristics observed or provided respect-

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ing the QF, shall not be construed as confirming or endorsing the design, or as warranting the safety, durability or reliability of the QF. The cooperative shall not, by reason of any review, acceptance, inspection or failure to review or inspect, be responsible for the QF, including, but not limited to, the strength, safety, details of design, adequacy or capacity thereof, nor shall acceptance or approval by either be construed as an endorsement of any QF.

2.6 The seller will be responsible for furnishing or paying for all rights-of-way and easements necessary to install, operate, maintain, replace and remove the interconnection facility and the metering equipment. Duly authorized representatives of the cooperative shall be permitted to enter the premises of the seller at all reasonable times as may be necessary in connection with the proper performance of the terms and conditions of this agreement.

#### PUBLIC SERVICE COMMISSION OF KENTUCKY

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2.7 The seller shall install, own and maintain the necessary substation equipment at the point of connection to the system of the cooperative unless otherwise agreed SUANT TO 807 KAR 5:011,

#### ARTICLE III

3.1 The cooperative shall take and purchase all of the power and energy produced by the seller that is delivered to the point at which the QF is interconnected with the cooperative's  $\frac{1}{2}$  distribution system. The power and energy delivered by the seller and purchased by the cooperative shall be metered and paid for in accordance with the terms of this agreement. Electric power and purchased under this agreement shall be alternating

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current, single- or three-phase, 60 Hertz. The parties will agree upon the delivery voltage and capacity prior to the commencement of purchase under this agreement.

3.2 The QF shall at all times operate in such manner as to maintain a power factor of not less than 90% leading or lagging.

3.3 The capacity delivered in kilowatts shall be the QF's average hourly output which is delivered for the required minimum number of hours during each billing period, as determined by dividing the kWh delivered during the billing period by the actual number of hours in the billing period. For purposes of this agreement each calendar month during the term of this agreement shall be a separate billing period.

3.4 The cooperative shall read meters monthly. Electric power and energy furnished under this agreement shall be paid for within fifteen (15) days after the meters are read and the bill is issued.

3.5 Electric power and energy purchased by the seller shall be purchased from the cooperative under arrangements separate from this agreement, and shall be metered and accounted for separately from the power and energy delivered and sold by the seller to the cooperative.

#### ARTICLE IV

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#### Rates and Charges

4.1 The cooperative shall pay for the power and energy purchased from the seller upon the terms and conditions contained in its tariff, rate schedule SPC, which is attached to this agree-

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ment and incorporated herein by reference, subject to any revisions in that rate schedule that may from time to time be approved by the Kentucky Public Service Commission (hereinafter called "PSC").

#### ARTICLE V

### Protection of System Owned by the Cooperative

5.1 The seller shall be responsible for operating and maintaining the QF in a safe manner and for providing the protective equipment needed to prevent damage to the system owned by the cooperative, injury to the personnel of the cooperative, or interference with cooperative's consumers. The cooperative shall have the right to review the seller's proposed protection system, operating procedures and system characteristics in order to determine whether the seller's operation of the QF will have an adverse impact on the system owned by the cooperative. The following areas, among others, may be reviewed for possible Public SERVICE COMMISSION OF KENTUCKY effects:

> .1 Fault protection. JUL 2 81984 Voltage regulation and balance. .2 PURSUANT TO SOZ KAR 5:011, .3 Grounding. .4 Synchronizing systems. ON 9 .5 Disconnecting and isolating systems Jordan C .6 Flicker. .7 Harmonics.

5.2 If the operation of the QF results in undesirable or harmful effects to the system of the cooperative, or to consumers of the cooperative, the cooperative may require the selfer to discontinue parallel operation until the circumstances have been JUN = -2001corrected by installing appropriate equipment or otherwise.

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5.3 The cooperative may discontinue purchases from the seller and may break the interconnection between the QF and the cooperative's system, without prior notice, during any system emergency. By first giving reasonable written notice, the cooperative may break the interconnection between the QF and the system of the cooperative for a reasonable period of time for the purpose of necessary inspections, modifications, repairs or other maintenance of the cooperative's system, the interconnection facility or the metering equipment.

#### ARTICLE VI

#### Term

6.1 The initial term of this agreement shall be one (1) year from the effective date.

6.2 This agreement shall be automatically renewed each year for consecutive one-year terms unless the cooperative or the seller has notified the other at least one hundred eighty (180) days prior to the expiration of the term of its intent to terminate the agreement.

6.3 The effective date of this agreement shall be the date on which the last of the following events occurs:

- .l This agreement is approved by the Administrator of the Rural Electrification Administration.
- .2 This agreement is filed with and approved or otherwise accepted by the Kentucky Public Service Commission.
- .3 This agreement has been approved and executed by the seller and cooperative.

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#### ARTICLE VII

The seller shall protect, indemnify and hold harm-7.1 less the cooperative and its directors, officers, employees, agents, representatives and contractors against and from all loss, claims, actions or suits, including costs and attorneys fees, for or on account of any injury or death of persons or damage to property caused by the seller or the seller's employees, agents, representatives and contractors in tampering with, repairing, maintaining or operating the QF or any facilities owned by the cooperative except where such injury, death or damage was caused or contributed to by the fault or negligence of the cooperative or its employees, agents, representatives or contractors. This obligation shall survive termination of this agreement with respect to any act, occurrence or omission occurring prior to termination of this agreement, whether or not then accrued or known.

7.2 Without regard to any negligence by any of the parties to this agreement, the cooperative shall not the Cooperative

> .1 Any loss or damage to the seller's JUL 2.81004 electric system or other property or any injury to the seller or the SUANT TO GOT MAR 5:011, seller's employees, agents, contractors, representatives BY: Judan Cheel licensees or invitees, including, without limitation, damage or injury caused by reclosing of the transmission or distribution system; or

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.2 Any loss of profits or revenues or any other indirect or consequential damage or injury to the seller JUN -resulting from interruption or partial interruption in the delivery of energy from the seller.

#### ARTICLE VIII

#### Insurance

8.1 The seller shall obtain and provide satisfactory evidence of insurance covering such risks and providing such coverage as the cooperative may from time to time reasonably request.

#### ARTICLE IX

#### Miscellaneous

9.1 If there shall be imposed by federal, state or other governmental authority, any tax payable by the seller upon the gross revenue or earnings, or upon the seller's production or sale of electric energy, such additional tax or taxes shall be paid solely by the seller.

9.2 This agreement contains the entire agreement between the parties. This agreement cannot be amended except in writing signed by the parties.

9.3 The waiver on the part of either party to enforce a provision of this contract at any time shall not be deemed a waiver with respect to any subsequent default or other matter.

9.4 This agreement may not be assigned without the written consent of the cooperative.

9.5 This agreement shall be governed by the laws of the Commonwealth of Kentucky.

9.6 This agreement shall not be construed to create a joint venture, to impose a trust or to otherwise create a business relationship between or among any of the parties.

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9.7 The invalidity of any provision or provisions in this agreement shall not affect the validity of the remaining provisions.

#### ARTICLE X

### Notices and Other Communications

10.1 Any notice required by this agreement to be given in writing shall be deemed properly given if and when delivered in person, telegraphed or sent by registered or certified mail, postage prepaid, to:

Cooperative:

Seller:

10.2 Any communications regarding operational emergencies or other operational problems may be made orally or in any manner reasonable under the circumstances and should be directed to the persons specified below:

If to cooperative:	OF KENTUCKY
<u>-</u>	<u>,101_2,8198</u> 4
If to seller:	PURSUANT. TO BUT KAR 5:011,
	BY: prdan Cheel

IN WITNESS WHEREOF, the parties have caused this agregment to be executed by their duly authorized officers on this the day and date first hereinabove written.

CANCELLED
JUN 2001

(signature lines)

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9.7 The invalidity of any provision or provisions in this agreement shall not affect the validity of the remaining pro-visions.

#### ARTICLE X

#### Notices and Other Communications

10.1 Any notice required by this agreement to be given in writing shall be deemed properly given if and when delivered in person, telegraphed or sent by registered or certified mail, postage prepaid, to:

Cooperative:

If to seller:

Seller:

10.2 Any communications regarding operational emergencies or other operational problems may be made orally or in any manner reasonable under the circumstances and should be directed to the persons specified below:

> If to cooperative: OF KENTUCKY EFFECTIVE

PURSUANT, TO BUT KAR 5:011. ordan

PUBLIC SERVICE COMMISSION

JUN -- 2001

IN WITNESS WHEREOF, the parties have caused this agregment to be executed by their duly authorized officers on this the day and date first hereinabove written.

(signature lines)
		For All Territory Served
		P.S.C. No9
HENDERSON UNION ELECTRIC	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE FED 8 8 1896	<u>Revised</u> Sheet No. <u>1</u>
		Canceling P.S.C. KY No8_
	RULES AND WEGUEATIONS 11.	Original Sheet No. 1

BY Generalis Farmin DIRECTOR RATES & RESEARCH DIV

## 1. SCOPE

This schedule of rules and regulations is a part of all contracts for receiving electric service from Henderson Union Electric Cooperative Corporation (Henderson Union) and applies to all service received from Henderson Union whether the service received is based upon a contract, agreement, signed application or otherwise. No employee or individual director of Henderson Union is permitted to make an exception to rates or rules and regulations. Copies of rates and rules and regulations are on file in Henderson Union's offices and can be obtained there.

## 2. <u>REVISIONS</u>

These rules and regulations may be revised, amended, supplemented or otherwise changed from time to time without notice, by action of the board of directors. Such changes, when effective, shall have the same force as the present rules and regulations.

#### 3. MEMBERS'S RESPONSIBILITY FOR HENDERSON UNION EC'S PROPERTY

All meters, service connections, and other equipment furnished by Henderson Union shall be, and remain, the property of Henderson Union. The member shall exercise proper care to protect the property of Henderson Union on its premises and in the event of loss or damage to Henderson Union's property arising from neglect of member to care for same, the cost of necessary repairs or replacement shall be paid by the member.

## 4. TAMPERING

(a) If the meters or other property belonging to Henderson Union are tampered or interfered with, the member being supplied through such equipment shall pay the amount which Henderson Union may estimate is due for service rendered but not registered on Henderson Union's meter, and for such replacements and repairs as are necessary as well as for cost of inspection, investigation, and protective installations.

(b) The member will be allowed to continue to receive service if he agrees that Henderson Union shall estimate his consumption for the past twelve months by the best means available. The member's account will be billed and the amount collected within a reasonable length of time, not to exceed 60 days. The amount will be credited to the member's bill and a test run-on-one year shall be used to determine if the original estimate was fair and accurate. If the member's account has been overcharged, proper credit will be given the member's account and if the account has been undercharged, an additional debit adjustment will be made to the member's account.

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(c)	If the member fails to agree to the above arrangement, Henderson	Union will temove the
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DATE OF ISSUE February 2, 1996	DATE EFFECTIVE February 22, 1996
Month Day Year	Month Day Year
ISSUED BY John West	President & CEO P. O. Box 18, Henderson, KY 42420
John West	Title Address

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		P.S.C. No	2_
	PUBLIC SERVICE COMMISSION OF KENTUCKY	<u>Revised</u> Sheet No	2
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	EFFECTIVE	Canceling P.S.C. KY No.	8
	FE 3 8 1995	Original Sheet No. 2	2
	RULES AND REGULATIONS -011		
	PO1100/101 / 100 / 11		

DIRECTOR PRATES & RESEARCH DIV

meter and service and make proper preparations for taking legal action.

5. <u>CONTINUITY</u>

Henderson Union shall diligently try to provide constant and uninterrupted supply of electric energy, but should supply fail or be interrupted through acts of God, the public enemy, by accident, strikes, labor troubles, by action of the elements, or by any other cause beyond the reasonable control of Henderson Union, Henderson Union shall not be liable therefor.

## 6. RELOCATION OF LINES BY REQUEST OF MEMBERS

Henderson Union's established lines will not be relocated unless the expense for moving and relocating is paid by the member, except in instances where it would be to the advantage of Henderson Union to make such relocation.

## 7. SERVICES PERFORMED FOR MEMBERS

Henderson Union's personnel are prohibited from making repairs, performing services to the member's equipment or property except in cases of emergency or to protect the public or member's person or property. When such emergency services are performed, the member shall be charged for such service at the rate of time and material.

## Service Procedures

## 8. <u>APPLICATION FOR SERVICE</u>

(a) All applicants for electric service shall execute Henderson Union's form of Applications for Membership and Service in acknowledgment of the terms and conditions of electric service cited therein and grant, convey and/or provide to Henderson Union any and all necessary rights, privileges, permits and easements incidental to or connected with such electrical service before electric service is supplied.

(b) All applicants shall provide within thirty (30) working days prior to the date service is required certain load data information in order that adequate facilities may be installed for the new service.

## 9. MEMBERSHIP FEE

Pursuant to Henderson Union's bylaws, a membership fee of twenty-five dollars (\$25.00) shall be paid by all new members. Membership fee shall be refunded when all financial obligations are satisfied or may be applied against any unpaid bill of the member upon termination of electric service. Service will not be made available to a former member until any previously existing

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John West	Title Address

	For	All Territory Served	
	P.S.C.	No	9
HENDERSON UNION ELECTRIC	PUBLIC SERVICE COMMISSION <u>Revis</u> OF KENTUCKY	edSheet No	3
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indebtedness to Henderso	on Union has been satisfied		
10. <u>MEMBER DEPOSIT</u>	DIRECTOR PRATES & RESEARCH DIV		

(a) Henderson Union may require from any member or applicant for service, regardless of customer class, a minimum cash deposit, letter of credit from a financial institution, surety or performance bond, prepaid budget billing amount, adequate financial statements or other suitable guaranty to secure payment of bills in an amount not to exceed 2/12th of the estimated annual bill of such member or applicant; except for members qualifying for service reconnection pursuant to 807 KAR 5:006, Section 15, Winter Hardship Reconnection. Service may be refused or discontinued for failure to pay the requested deposit. Interest, as prescribed by KRS 78.460, will be paid annually either by refund or credit to the member's bill, except that no refund or credit will be made if the member's bill is delinquent on the anniversary date of the deposit.

(b) Henderson Union may waive the required deposit if the member or applicant has an established reliable payment history with Henderson Union. If a deposit has been waived or returned and the member fails to maintain a satisfactory payment record, a deposit may then be required. Henderson Union may require a deposit in addition to the initial deposit if the member's classification of service changes or if there is a substantial change in usage. Upon termination of service, the deposit, any principal amounts, and any interest earned and owing will be credited to the final bill with any remainder refunded to the member.

(c) If a deposit is held longer that 18 months, the deposit will be recalculated at the member's request based on the member's actual usage. If the deposit on account differs from the recalculated amount by more than \$10.00 for a residential member or 10 percent for a non-residential member, Henderson Union may collect any underpayment and shall refund any overpayment by check or credit to the member's bill. No refund will be made if the member's bill is delinquent at the time of the recalculation.

(d) Interest will be paid on all sums held on deposit at the rate of 6 percent annually beginning on the date of deposit. The interest accrued shall be applied as a credit to the customer's bill or paid to the customer on an annual basis. If interest is paid or credited to the customer's bill prior to twelve (12) months from date of deposit, the payment or credit shall be on a prorated basis. If interest is not credited to the member's bill or paid to the member annually, interest will be computed by a method which will result in an amount no less than that obtained by using a middle course method between simple and compound interest in compliance with Commission order dated October 31, 1989 in Case No. 89-057. Interest on deposits computed in this manner will accrue until credited to the member's bill or paid to the member.

(e) Sign—in cases of sign lighting, if required except membership fee.	f it is an established firm	n, no deposit of a	iny nature wi	ll þe
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ISSUED BY <u>John West</u> John West	President & CEO	Month P. O. Box 1	Day 8. Hender	Year son, KY 42420
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PUBLIC SERVICE COMMISSION           OF KENTUCKY         Revised         Sheet No.           HENDERSON UNION ELECTRIC         EFFECTIVE	4_
COOPERATIVE CORPORATION       Canceling P.S.C. KY No.         FEB 3 3 1895	8
RULES AND REGULATIONS 5:011, Original Sheet No.	<u>4</u>

## 11. DISTRIBUTION LINE EXTENSIONS

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(1) Residential extensions. An extension of 1,000 feet or less of single phase line shall be made by Henderson Union to its existing distribution line without charge for a prospective member who shall apply for and contract to use the service for one (1) year or more and provides guarantee for such service. The "service drop" to customer premises from the distribution lien at the last pole shall not be included in the foregoing measurements.

(2) Other extensions.

(a) When an extension of Henderson Union's line to serve an applicant or group of applicants amount to more than 1,000 feet per customer, Henderson Union shall require the total cost of the excessive footage over 1,000 feet per member to be deposited with Henderson Union by the applicant or applicants, based on the average estimated cost per foot of the total extensions.

(b) Each member receiving service under such extension will be reimbursed under the following plan: Each year for a period of not less than ten (10) years, for which the purpose of this rule shall be the refund period, Henderson Union shall refund to the member or members who paid for the excessive footage the cost of 1,000 feet of the extension in place for each additional residence connected during the year whose service line is directly connected to the extension installed and not to extensions or laterals therefrom, but in no case shall the total amount refunded exceed the amount paid Henderson Union. After the end of the ten (10) year refund period, no refund will be made.

(c) For additional members connected to an extension or lateral from the distribution line, the utility shall refund to any member who paid for excessive footage the cost of 1,000 feet of line less the length of the lateral or extension.

(3) Real estate subdivisions. An applicant desiring an extension to a proposed real estate subdivision may be required to pay the entire cost of the extension. Each year, for a period of not less than ten (10) years, Henderson Union shall refund to the applicant who paid for the extension a sum equivalent to the cost of 1,000 feet of the extension installed for each additional member connected during the year, but in no case shall the total amount refunded exceed the amount paid to Henderson Union.

(4) Indeterminate Services. Extensions of electric service for other than residential shall be provided under conditions that will not seriously jeopardize the objectives of Henderson Union of providing electric service for residential members. These prospective members are usually speculative in nature, such as barns, grains bins, wells, feed lots, farrowing houses, etc. Service to these members may be provided under the following conditions:

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John West	Title		Address		

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(a) Single-phase service shall be provided without contribution or contract if only a service drop is required.

(b) If a primary extension is required, the applicant, if a property owner, shall sign a minimum bill contract for a three-year period equal to the cost of the extension. No work is to be completed until contract is executed by applicant. Energy purchased under these special contracts will apply to the special monthly minimum.

(c) Non-property owners and businesses of a speculative nature shall pay the estimated cost of construction before work begins and will not be refunded.

(d) If a primary extension over 1,000 feet is required, the applicant, if a property (N) owner, may request to sign a minimum bill contract for a ten-year period equal to the cost of the extension. The property owner shall also be required to allow Henderson Union to file a declining lien on the property for the cost of the construction. No work is to be completed until Henderson Union and applicant execute a contract and the lien is recorded. Energy purchased under these special contracts will apply to the special minimum on a month-by-month basis.

(5) Nothing contained herein shall be construed as to prohibit Henderson Union from making extensions under different arrangements provided such arrangements have been approved by the Public Service Commission.

(6) Nothing contained herein shall be construed as to prohibit Henderson Union from making at its expense greater extensions than herein prescribed, should its judgement so dictate, provided like free extensions are made to other members under similar conditions.

## 12. DISTRIBUTION LINE EXTENSIONS TO MOBILE HOMES

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

(1) All extensions of up to 150 feet from the nearest facility shall be made without charge.

(2) Extensions greater than 150 feet from the nearest facility and up to 300 feet shall be made provided the member shall pay Henderson Union a "member advance for construction" of fifty dollars (\$50) in addition to any other charges required by the utility for all members. This advance shall be refunded at the end of one (1) year if the service to the mobile home continues for that length of time.

(3) For extensions greater than 300 feet and less than 1,000 feet from the nearest facility, the utility may charge an advance equal to the reasonable costs incurred by it for that portion of the service beyond 300 feet plus fifty dollars (\$50). Beyond 1,000 feet, the extension policies set forth in 807 KAR 5:041, Section 11 shall apply.

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John West	Title	Address

		For All Territo	ory Served	
	PUBLIC SERVICE COMMISSIO OF KENTUCKY EFFECTIVE	P.S.C. No		9
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HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	FE 8 8 1995	Canceling P.S.C.	KY No	8
	PURSUANT TO 807 KAR 5011 SECTION 9(1)	Original	Sheet No	6
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(a) This advance shall be refunded to the member over a four (4) year period in equal amounts for each year the service is continued.

(b) If the service is discontinued for a period of sixty (60) days, or should the mobile home be removed and another does not take its place within sixty (60) days, or be replaced by a permanent structure, the remainder of the advance shall be forfeited.

(c) No refunds shall be made to any member who did not make the advance originally.

## 13. RIGHT OF ACCESS

Henderson Union's identified employee shall at all reasonable hours have access to meters, service connections and other property owned by it and located on member's premises for purposes of installation, maintenance, meter reading, operation, replacement or removal of its property at the time service is to be terminated. Any employee of the utility whose duties require him to enter the member's premises shall wear a distinguishing uniform or other insignia, identifying him as an employee of Henderson Union, or show a badge or other identification which will identify him as an employee of the utility.

## 14. NOTICE OF TROUBLE

Member shall give immediate notice at the office of Henderson Union of any interruptions, or irregularities, or unsatisfactory service, and of any defects known to member. Henderson Union may at any time it deems necessary, suspend supply of electrical energy to any member or members for the purpose of making repairs, changes, or improvements upon any part of its system. Henderson Union shall make reasonable notice of such discontinuance to member.

## 15. MEMBERS'S REQUEST FOR TERMINATION OF SERVICE

Any member desiring service terminated or changed from one address to another shall give the utility three (3) working days' notice in person, in writing, or by telephone provided such notice does not violate contractual obligations or tariff provision. The member shall not be responsible for charges for service beyond the three (3) day notice period if the member provides reasonable access to the meter during the notice period. If the member notifies the utility of his request for termination by telephone, the burden of proof is on the member to prove that service termination was requested if a dispute arises.

#### 16. <u>RECONNECTION CHARGES</u>

Henderson Union will make no charge for connecting service to the members's premises for the initial installation of service, or to the member's premises if the service has been destroyed by fire.

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	Month Day Year	Month Day Year	
	ISSUED BY John West	President & CEO P. O. Box 18, Henderson, KY 42420	1
1	John West	Title Address	

	For All Territory Served
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PUBLIC SERVICE COMMISSION OF KENTUCKY	Revised Sheet No. 7
EFFECTIVE	Canceling P.S.C. KY No8_
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SECTION 9(1)

When service has been terminated, or service is transferred to a new member, Henderson Union's representative shall read the meter at such premises the service of \$10.00 (ten dollars) will be made to new occupant for the reconnecting or transferring of such service. Service charge will be due and payable at time of connection or transfer, or upon notice of said charge. No meters shall be installed or reinstalled after working hours unless in the judgement of Henderson Union's manager there exist circumstances that will justify the additional expense. In these cases, a service charge of \$35.00 (thirty-five dollars) will apply.

## 17. RESALE OF POWER BY MEMBERS

All purchased electric service used on the premises of the member shall be supplied exclusively by Henderson Union and the member shall not directly or indirectly sell, sublet, or otherwise dispose of the electric service of any part thereof.

## 18. SERVICE CHARGE

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

All service calls made by Henderson Union pertaining to the member's premises shall be charged at the rate of \$10.00 (ten dollars) per call during normal working hours.

#### 19. SERVICE CHARGE FOR TEMPORARY SERVICE

Member's requiring temporary service may be required to pay all costs of connecting and disconnecting incidental to the supplying and removing of service. Members will be billed for electric service as any other member during the connected period.

## 20. <u>TEMPORARY SERVICE BOARD</u>

Member's requesting a temporary service board for construction purposes will be required to pay a \$20.00 service charge before the temporary service board is installed. If the temporary service board is installed for a period longer than six (6) months, the member will be charged \$5,00 per month charge for each additional month up to a maximum of twelve months. CANCELLED

## METERS

## 21. METER TESTS

All new meters shall be checked for accuracy before installation. Henderson Union will, at its own expense, make periodic tests and inspections of its meters in order to maintain a high standard of accuracy and to conform with the regulations of the Public Service Commission. Henderson Union will make additional tests of meters at the request of the member upon payment of a \$10.00 fee, if the meter has been tested within the past eight years. If the meter has not been tested within the past eight years, there will be no charge for the meter test. When the test is made at the member's

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ISSUED BY TOTM West	President & CEO P. O. Box 18, Henderson, KY 42420
ISSUED BY John West	Title Address

	For All Territory Served
	P.S.C. No9
PUBLIC SERVICE COMMISSION	<u>Revised</u> Sheet No. 8
OF KENTUCKY EFFECTIVE	Canceling P.S.C. KY No. <u>8</u>
FEB 88 696 RULES AND REGULATIONS	Original Sheet No. 8

## PURSUANT TO 807 KAR 5:011.

request shows the meter is accurate, within 2% slow of fast, no adjustment will be made to the members's bill and the fee paid will be forfeited to help cover cost of the requested test. When the test shows the meter to be in excess of 2% slow of fast appropriate adjustments will be made to the member's bill. Refunds will be made in accordance with Public Service Commission General Rules 807 KAR 5:006 Section 10(2). If the test shows the meter to be more than 2% fast the \$10.00 fee paid by the member shall be refunded.

## 22. FAILURE OF METER TO REGISTER OR METER TEST RESULTS ARE FAST OR SLOW

If test results on a member's meter show an average error greater than two percent (2%) fast or (C) slow, or if a customer has been incorrectly billed for any other reason, except in an instance where Henderson Union has filed a verified complaint with the appropriate law enforcement agency alleging fraud or theft by a member, Henderson Union shall immediately determine the period during which the error has existed, and shall recompute and adjust the member's bill to either provide a refund to the member or collect an additional amount of revenue from the under billed member. Henderson Union shall readjust the account based upon the period during which the error is know to have existed. If the period during which the error existed cannot be determined with reasonable precision, the time period shall be estimated using such data as elapsed time since the last meter test, if applicable, and historical usage data for the member. If that data is not available, the average usage of similar member loads shall be used for comparison purposes in calculating the time period. If the member and Henderson Union are unable to agree on an estimate of the time period during which the error existed, the Public Service commission shall determine the issue. In all instances of member over billing, the member's account shall be credited or the over billed amount refunded at the discretion of the member within thirty (30) days after final meter test results. Henderson Union shall not require member repayment of any under billing to be made over a period shorter than a period coextensive with the under billing.

## 23. DISCONTINUANCE OF SERVICE BY HENDERSON UNION EC

HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

Henderson Union will discontinue or refuse service without notice to a member or an applicant when a dangerous condition is found to exist on the member's or applicant's premises. Henderson Union may refuse or discontinue service to an applicant or member, after proper notice for failure to comply with its rules and regulations, when a member or applicant refuses or neglects to provide reasonable access to the premises, for fraudulent or illegal use of service, or for nonpayment of bills. If discontinuance is for nonpayment of bills, the member shall be given at least ten (10) days written notice separate from the original bill, and cut-off shall be effected not less than twenty-seven (27) days after the mailing date of the original bill unless prior to discontinuance, a residential member presents to Henderson Union a written certificate, signed by a physician, registered nurse, or public health officer, that such discontinuance will aggravate an existing illness or infirmity on the affected premises, in which case discontinuance may be effected not less than thirty (30) days from the date the utility notifies the member in writing of state and federal programs which may be available to aid in payment of bills and the office to contact for such possible assistance. The

DATE OF ISSUE <u>February 2, 1996</u>	DATE EFFECTIVE February 22, 1996
Month Day Year	Month Day Year
ISSUED BY John West	President & CEO P. O. Box 18, Henderson, KY 42420
John West	Title Address

For	All Territory Served	
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PUBLIC SERVICE COMMISSION OF KENTUCKY

EFFECTIVE

<u>Revised</u> Sheet No. 9

CANCELLED

9

## HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION

## FE: 3.2 (595 Canceling P.S.C. KY No. \_\_\_\_8\_

P.S.C. No.

PURSUANT TO 897 KAR 5:011, Original Sheet No. 9\_ RULES AND REGULATIONS

## 54 Phylli Jannin

discontinuance of service by Henderson Union (toppeny causes stated in this rule does not release the member of his obligation of all bills due. The termination date will not be affected by receipt of any subsequent bill.

The termination notice requirements of this subsection shall not apply if termination notice requirements to a particular member or members are otherwise dictated by the terms of a special contract between the utility and member.

## 24. THREE PHASE SERVICE

Members are required to negotiate a contract for all three-phase service except as otherwise provided herein. Term of contract is determined by amount of investment required.

## 25. ELECTRIC MOTORS

Any single-phase motor larger than 7 ½ horse power will not be permitted except by written permission of Henderson Union. Larger motors must be three phase.

## 26. PRIMARY METERING

At any time Henderson Union finds it more desirable, it may at its own option and expense install primary metering equipment. The member will own and operate all facilities past the metering point.

## 27. EXTENSIONS TO UNDERGROUND SERVICE

Henderson Union will extend underground facilities to areas which physically and economically lend themselves to this type of service under the following terms and conditions which insure adequate service and safety to all persons engaged in the construction, maintenance, operations, or use of underground facilities and to the public in general. The terms and conditions also reflect and protect the rate payers who are served with overhead facilities from subsidizing those served with higher cost underground facilities and in general requires the reimbursement of the cost difference between overhead and underground facilities necessary to serve a given load requirement.

## 1. Definitions

The following words and terms when used in these rules and regulations have the meaning indicated:  $\rm JUN$  --

(a) Applicant

The developer, builder or other person, partnership, association, corporation or

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ISSUED BY John alert	President & CEO P. O. Box	18. Henderson, KY 42420
ISSUED BY John West		Address

				For <u>All Territory</u>	Served
~				P.S.C. No	9
HENDERS	SON UNIOI	N ELECTRIC	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	Revised She	et No. <u>10</u>
COOPER/	ATIVE COR	PORATION		Canceling P.S.C. KY	No. <u>8</u>
			FE 3 8 685	<u>Original</u> Sho	et No. 10
	w.,	RUL	ES AND REGULATIONS011. SECTION 9 (1)		
		governmental agency ap system.	plying for the installation of an und	erground electric distributior	1
	(b)		nin exterior walls or fire walls, built, rts and designed for less than five (		
	(c)		<u>ding</u> nin exterior walls or fire walls, built, rts and designed to contain five (5)		
	(d)	etc.	. Commercial, School, Church, Cor ) other than residential occupancy ion other than residential.		-
	(e)		consisting of primary and secondan es and appurtenances for the furni		
	(f)		divided into ten (10) or more lots for ne land on which is constructed two		
	(g)	Individual Service Any service resulting in or residence.	nly one metering point on a permai	nent type building used as a	
	(h)	speculative purposes, sea property for sale, enterpri there is little or no deman	s, quarries, oil wells, industrial and o asonal use of any type, real estate ises where the applicant will not be nd for service, tenant house, seasor r service where the amount of perm	subdivision, development of the user of service, where nal_cabins <del>,</del> rental property a	nd
	(I)		ne ditch for the installation of condu s, driveways, or paved areas; provi		and
<u> </u>			N	and the second se	
DATE OF	ISSUE	February 2, 1996	DATE EFFECTIVE	February 22, 1	996
		onth Day Year		Month Day Yea	
ISSUED B	v la	hu hat	President & CEO P.	O. Box 18. Henderson.	KN 10100
1990ED D		West	Title	Address	<u>NI. 7292U</u>

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HENDERSON UNION		PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	<u>Revised</u> Sheet No. 11 Canceling P.S.C. KY No8
<u></u>		FE 18 8 1993	-
	R	ULES AND REGULATION \$5.011.	Original Sheet No. 11
	above conductors w 48" primary, 4" seco	SECTION 9 (1) hen required; and backfill of trench to gro ndary.	und level. Minimum depth
2.	Rights-of-Way & Eas	sements	
	along easen accessible to occupy, and and easeme	Union shall construct, own, operate, and in nents, public streets, roads, and highways o the utility's equipment and which the util on the public lands and private property ents satisfactory to Henderson Union are p on by Henderson Union.	s which are legal right lity has the legal right to across which rights-of-way
	responsibility prospective by the prosp obtaining ea an extension	asements and rights-of-way necessary to y of Henderson Union. Henderson Union customer to obtain easements or rights-o pective customer as a condition of providir sements or rights-of-way shall be include n, and shall be apportioned among the uti with the applicable extension regulation.	shall not require a of-way on property not owned ng service. The cost of ed in the total per foot cost of
	distribution f service requ underground Union's equi property line construction Henderson t provide cont of its facilitie	ay and easements suitable to Henderson acilities must be furnished by the Applican irements. The Applicant shall make the d distribution facilities are to be located ac ipment, remove all obstructions from such s and final grade, and maintain clearing a by Henderson Union. Suitable land right Union obligating the Applicant and subsec- tioning access to the utility for operation, m s, and to prevent any encroachment in the changes in grade or elevation thereof.	nt in reasonable time to meet area in which the ccessible to Henderson h area, stake to show the and grading during ts shall be granted to quent property owners to maintenance or replacement
	Henderson I	easible to trench under roads, highway, ra Union shall have the right to place this po easements (at no cost to Henderson Unio	rtion overhead with the n) for such overhead
3.	Installation of Underg	ground Distribution System - Subdivisions	CANCELLED
	shall install v	opriate contractual arrangements have be within the subdivision an underground ele pacity and suitable materials which, in its j	ctric distribution system of
0			
DATE OF ISSUE	February 2, 1996 onth Day Yea	DATE EFFECTIVE	February 22, 1996 Month Day Year
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John	West	Title	Address

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COOPERATIVE CO	RPORA	<u> </u>			) <u>% (8</u> 96	Cance	ling P.S.	C. KY No	8
		זזס	IES AND	REGULA		Origi	nal	Sheet No	12
				FURBUAR	TOM 9 (1)	11,			
		the property ov foreseeable fu	vner(s) will i ture.	EY	ES & RESEARCH D		ervice for	the	
	(b)	All single phas Appurtenances equipment and	such as tra	ansformers, p	edestal-mou	nted term		ching	
	(c)	Multi-phase pri distribution or t underground is either of which Applicant.	o service in required by	dividual multi- y government	phase loads al authority o	may be o r chosen	verhead u by the App	nless plicant, in	
	(d)	If the Applicant Henderson Un of the completi Henderson Un completion dat materials and t reasonable con interpreted to r subdivision not	on not less on (i.e., rea on shall co es. (Subjec parring extra ntrol of Hen equire Hence	than 10 days dy for occupa mplete the ins ct to weather aordinary or e derson Union derson Union	written notice ancy of the first stallation 30 c and ground c mergency cir .) However, to extend ser	e prior to t st building lays prior onditions cumstanc nothing in	the anticipa ) in the su to the estinand availa ces beyond this policy	ated date bdivision, mated bility of d the v shall be	
	(e)	A non-refundal between the co facilities. The p total footage of installed at an a Cost Differentia be updated and Service Comm secondary and triplex). The av construction in or encountered backfilling shall	est of provid bayment to single-pha- average per al filed here- nually as re- sission of Ke service cor verage cost soil free of in construct	ing undergrou be made by t se primary, so r foot cost diff with as Exhibi quired by ord nductor runs s differential pe rock, shale, o ction, the actu	and facilities a he Applicant s econdary, and erential in acc t "A", which A er dated Febr ninistrative Ca hall be consi er foot, as sta r other impair al increased	and that o shall be d d service cordance werage C ruary 2, 19 ase No. 1 dered as ted, is rep rments wi	f providing etermined conductor with the Ar ost Differe 973 of the 46. (Three one condu presentative nich are an	overhead from the to be verage ential shall Public e (3) wire lictor, i.e., e of nticipated	
	(f)	The Applicant r extension. If th the undergrour refunded to the Section 3.	is is done, t d extension	he amount de 1s, as provide	posited in ex d in paragrap	cess of th h "e" abo	e normai o ve, shail b	charge for e	
<u></u>					JUN	- 2001			
DATE OF ISSUE	Febru	lary 2, 1996		DATE EF	FECTIVE_		February	22, 1996	
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ISSUED BY John	ules	t	F	President &	CEO P.			erson, KY 424	20
U Joh	n West			Title		A	ddress		

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			PUBLIC	SERVICE COMMISSION	P.5	S.C. No		9
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HENDERSON UNION	VELEC	TRIC			R	evised	Sheet No	3
COOPERATIVE COR				FED 8 8 199 <b>6</b>	Ca	nceling P.	S.C. KY No	8
		חות		JANT TO 807 KAR 5:011, SECTION 9 (1)	_0	riginal	Sheet No	13
		KUL		REGULATIONS				
	(g)	in accordance w	ay be requ ith Henders ant's cost ir	ired to perform all neco son Union's specification an amount equal to H	ons. He	nderson Uni	ion shall then	
	(h)	Applicant's metersize metal conductors conditions require	r base exc uit from the re it and at i roper size o	ish, install and maintai ept that the Applicant s meter base to two (2) ts discretion, Henderso conduit (metal or PVC) ward source.	hall furn feet bei on Unior	ish and inst ow ground l will install t	all proper evel. When wenty (20)	
<u>11</u>	NSTALLA			IBIT "A" DISTRIBUTION SYST	EM-SU	BDIVISIONS	5	
		Single Phase, Lo	oop Feed					
		Estimated Cost	per foot Un	derground	\$6.60			
		Estimated Cost	per foot Ov	erhead	\$3.20			
		Cost Differential	per foot		\$3.40			
	(1)	Henderson Unio	n and the A I require ad	facilities to be installed opplicant prior to constructional cost of installa plicant.	ruction.	Alterations i	in plans by	
	(j)		arrangeme	be obligated to install a ents for the payment of				
	(k)	will cooperate wi installation of the	th the utility undergrou y arrangen	ese rules are based on r in an effort to keep the and electric distribution ants for the payment o	e cost of system	f constructio as low as p	n and ossible and	
	(I)	and regulations	of the Publi	e installed and construct c Service Commission ions, or other rules and	, Nationa	al Electric S	afety Code,	
				JUN 2001				
DATE OF ISSUE	Februa	ry 2. 1996		DATE EFFECTIV	E	Februa	ary 22, 1996	
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ISSUED BY	2. 11.	lest	D.	resident & CEO		or 18 Uo	nderson, KY 42	120
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			RU	LES AND	ANT TO 807 KAR 5:011, REGUEATIONS	-	Original	_Sheet No	14
		(m)	Service pedest Union prior to i	als and m	Phyllip Tennin DhodtofinstallandhPishall	l be a	pproved by Hender	son	
		(n)			ackfill only once and in t all be responsible for all				
		(0)	conditions are hard stratified r	encounter material in	linear trench foot shall b ed, such conditions being a continuous volume of I using ordinary excavation	g defii at lea	ned as limestone or st one cubic yard of	other	
		(p)	setting deeper	any under	ange which results in He ground facility to maintai on shall be borne by Ap	in safe	ety limits, the entire		
		(q)	impracticable o Henderson Uni special ruling o	or unjust to ion or Appl or for the aj	s, when the application of either party, or discrimin licant shall refer the matt oproval of special conditi nmencing construction.	natory ter to	to other members, the Commission for	a	
	4.	<u>Install</u>	ation of Undergro	und Facilit	ies to Individual Service	Delive	ery Points		
		(a)	estimate the co and the Applica	st to provi ant shall pa	ndary conductors are inv de adequate service both ay such difference in cos ommencement of such c	h over st as a	rhead and undergro non-refundable		
		(b)		condary co	nductors are involved, H			stall	
			the sec cents	condary co (\$3.40) pe	Henderson Union will tre nductor, the Applicant sh r underground cable foo nencement of such const	hall pa t (pole	ay three dollars and e to meter)		
	5.	Chang	<u>e from Overhead</u>	to Under	around Facilities				
		with a Applic	dequate overhead	l facilities, ndable coi	sts underground facilities then the entire cost of su itribution prior to the con CANCELLED	uch ch	nange shall be born		
0					JUN 2001	-			
DATE OF ISS			ary 2, 1996		DATE EFFECTIV		February 2		
	Mo	nth	Day Year			- M	onth Day	Year	
ISSUED BY	tob	nu	lest		President & CEO	P. O.	Box 18. Hender	son. KY 424	120

ISSUED I	BY	ba	nel
	$\mathcal{O}$	John	West

# President & CEOP. O. Box 18, Henderson, KY 42420TitleAddress

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			For <u>All Terr</u>	itory Served	
		PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	P.S.C. No		_9
HENDERSON UNION	ELECTRIC	FEE 3 % 293	Revised	Sheet No	15
COOPERATIVE CORP		PURSUANT TO 807 KAR 5:011.	Canceling P.S.	C. KY No	8
		SECTION 9 (1) RULES AND REFUL ATTONS	Original	Sheet No	15
6.	per under	iterial, and overhead charges for the new in ground cable foot. (Service onl uirements - Underground	ly, pole to meter.)		
	encouraged to inst	iring three-phase loads which are to be serv tall all three phase equipment rated for 120, to be connected grd. wye - grd. wye to mini	/208 or 277/480 vol	lts. The	

If the member insists on a voltage requiring a delta connected transformer, the member will be required to pay for the equipment required to avoid ferroresonance, such as (1) three phase OCB, (2) gang operated air break switch at riser pole, or (3) dummy loads.

## 28. WIRING - INSPECTION STANDARDS AND FEES

<u>Standards</u>. All wiring of members' premises must conform with the requirements of the National Electric Code, Ansi/NFPA 70 and Henderson Union Electric Henderson Union Corporation.

<u>Inspections</u>. All wiring installations shall be inspected prior to meter installations. Additions and/or changes to members wiring after original inspection shall be inspected. It shall be members' responsibility to report such additions and/or changes to Henderson Union.

Fees. Inspection fees shall be paid prior to inspection and meter installation.

- (1) <u>Time of Payment</u>
  - (a) New service inspection fee shall be paid with application for service.
  - (b) Additional wiring inspection fee shall be paid at the time of member's report to Henderson Union of the proposed addition.
- (2) Fee Schedule

Residential and Farm:

- (a) Rough in inspection fee for new construction, remodeling and/or additions.
- CANCELLED \$10.00<sup>JN</sup> -- 2001 \$20.00

(b)	100 amp, or less, service complete with branch
	circuits

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						PUBLIC SERVICE		P.S.C	2. No		9_
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HENDEJ <u>COOPE</u> F					_	FE - 8 8	1845	Cance	eling P.S.	C. KY No	8
					RULES A	PURSUANT TO EC SECTION ND REGULA	9(1)	Orig	inal	Sheet No.	16
			(c)	200 amp	o service comp	DIRECTOR PRATES &		\$3	0.00		
			(d)	400 amp	service comp	lete with branch	circuits	\$3	5.00		
			(e)	Services	above 400 am	ıp		\$	.15 per an	np	
		Whene apply.	ever it is i	necessary	to change out	service entrance	e equipment,	the abov	e schedule	e shall	
			-			involving a mete ee. (Initial instal		ting of th	ie service o	on the	
		(3)	Reinsp	ection:							
			(a)	Reinspe	ction fee of wiri	ng installations	previously tur	ned dow	n will be \$1	0.00	
		<u>Waivin</u>	g of Insp	ection. H	enderson Unio	n inspection req	uirements for	the follo	wing will be	e waived:	
		1.	Hende	rson Unior	n requires certi	nder the jurisdic fication from the be connected pe	Fire Marshal			o has	
	29.	<u>BILLIN</u>	G								
		billing p	periods b	based on r		e sent to membe n member's acco			•		
		Billing <u>Cycle</u>	Billing <u>Date</u>	Penalty <u>Date</u>		Late <u>Notice</u>		connect Nonpayr	nent		
		1	1st	15th		20th	11	days after	late notice o	date	
		2	8th	23rd		28th	11	days after	late notice of	date	

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DATE OF ISSUE February 2, 1996 Month Day Year	DATE EFFECTIVE February 22, 1996 Month Day Year
ISSUED BY John West	President & CEO P. O. Box 18, Henderson, KY 42420 Title Address

5th following month

10th following month

11 days after late notice date

11 days after late notice date CANCELLED

30th

5th following month

15th

20th

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	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	ForAll Territory Served9
	FED 3.2 ±05	RevisedSheet No17
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PURSUANT TO 207 KAR 5.011, SECTION 9 (1)	Canceling P.S.C. KY No8
RU	LES ANDOREGUEAFTONS	
BILL FORM		
HENDERSON UNION ELECTRIC COOPERATIVE 6402 Old Corydon Rd., Post Office Box 18 Henderson, KY 42420-0018		OUNT NUMBERMETER NUMBERYOUR METER ON THE19 $3\sqrt{5}$ $2/2$ $3\sqrt{6}$ $2/2$ $7\sqrt{7}$ $3\sqrt{6}$ $2\sqrt{3}$ $7\sqrt{7}$
	i j MAR	K EXACTLY AS APPEARS ON METER
	DATE METER	READ
	I Am Voluntarily /	Adding S To My Payment For WinterCare
DATE BILLED	DUE DATE PAY THIS AM	OUNT PAY THIS AMOUNT ON DATE OR BEFORE DUE DATE
PLEASE RETURN ENTIRE BILL WHEN PAYING SERVICE ADDRESS		AOUNT PAY THIS AMOUNT ON DATE OR BEFORE DUE DATE
SERVICE FROM TO	METER NUMBER MULT.	CANCELLED
		JUN 2001
OFFICE / EMERGENCY NUMBERS: (502) 826-399 OFFICE HOU	11 (HENDERSON), (502) 965-3186 (MA RS: MONDAY - FRIDAY 7.30 AM - 4-3	
DATE OF ISSUE <u>February 2, 1996</u>	DATE EFFECTIV	VE February 22, 1996
Month Day Year		Month Day Year
ISSUED BY John West	President & CEO Title	P. O. Box 18, Henderson, KY 42420 Address

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		ForAll Territory Served
	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE	P.S.C. No9
	LITEUTIVE	Revised Sheet No. 18
HENDERSON UNION ELECTRIC	FERRES	Canceling P.S.C. KY No8
	PURSUANT TO 207 KAR 5:011, SECTION 9 (1)	OriginalSheet No18
RULES	TANDREGULATIONS	
	UNECTOR GRATES & RESEARCH DIV	
BILL FORM - DEMAND METER INVOICE	ACCOUNT NU	
HENDERSON UNION ELECTRIC COOPERATIVE 6402 Ola Carydan Rd., Post Office Box 13 Henderson, KY 42420-0018	UV	METER ON THE
	-17-7 ·***********************************	
Example		
		X XX XX XX X XX XX
	DATE METER READ	To My Payment For WinterCare
2/05/75		
DATE BILLED	DUE DATE PAY THIS AMOUNT AFTER DUE DATE	PAY THIS AMOUNT ON OR BEFORE DUE DATE
PLEASE RETURN ENTIRE BILL WHEN PAYING		TION WHEN PAYING BY MAIL
	3*ACCOUNT NUMBER	PAY THIS AMOUNT ON OR BEFORE DUE DATE
	2/15/93 36,306.51	1 1
SERVICE READINGS FROM TO	NETER NUMBER MULT. KWH U	SE CHARGES
12/31 1/31		a +J
LARGE POWER LP3 501 TO 2000 Previous Month's credit Dal		1,733.)3C°
EACTLITY CHARGE		17.20
KW DEMAND 1,924.000 TI KWH X RATE = 100,000 .0310346 3,10	COST	17,523.50
100,000 .0310346 3,10 542,640 .0254405 13,30 KWH CHARGE	5.09	CANCELLED 16,903.55
FUEL CREDIT 642640(K METER SURCHARGE	WH) X .002370000-(FUEL PA	TE) 1,344.38CR 926.29
P/F PENALTY CHARGE Please pay this amount by o	2/15/95 /	JUN 200 34,577.63
PLEASE PAY THIS AMOUNT AFTE	R 02/15/96 /	36,302.51
ĸ	A DEMAND FOR PAST 12 MINT	H-5
- 2/95 1502.000 3/95 1	744.000 4/95 1708.00	0 5/95 1755.000
6/95 1459.000 7/95 1 10/95 1833.000 11/95 1	517.000 9/75 1508.00 914.000 12/75 1773.00	0 9/95 1320.000 0 1/96 1924.000
OFFICE / EMERGENCY NUMBERS 502/ 326 199	RS MONDAY FRIDAY 30 AM 1 10 TH	OLL FREE IN KY ' 400-844-HUEC
DATE OF ISSUE February 2, 1996	DATE EFFECTIVE_	February 22, 1996
Month Day Year		Month Day Year
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COOPERATIVE CORPORATION SECTION 9(1) Canceling P.S.C. KY No	<b>o.</b> <u> </u>
	No. <u>1</u> C
RULES AND REGULATIONS	
BILL FORM - LATE NOTICE/DISCONNECT NOTICE	
HENDERSON UNION ELECTRIC COOPERATIVE 6402 Old Corydon Road B.O. Bow 18	
P.O. Box 18 Henderson, Kentucky 42420-0018	
PHONE (502) 825-3991 • TOLL FREE 1-800-844-HUEC OFFICE HOURS - 7_30 AM TO 4:30 PM MONDAY - FRIDAY	
ACCOUNT NUMBER SERVICE ADDRESS AMOUNT DUE TOTAL AMOUNT DELINQUENT	
Dear Member: Our records indicate that we had not received payment for your electric bill by its due date. If payment has been made prior to your receipt of this notice, we thank you and kindly ask that you disregard this notice. If you have not remitted your payment, please give this	
matter your immediate attention. NOTICE OF INTENT TO TERMINATE ELECTRIC SERVICE	
Unless we receive payment in full or alternative payment arrangements are made (see reverse side) within ten (10) days of this FINAL NOTICE, a serviceman will be dispatched to collect same and collect an additional service charge in the event service is disconnection, electric service cannol be reconnected until all amounts including service charges and deposits are paid in full. This is in accordance with policy established by your Beard of Directors. THIS IS YOUR FINAL NOTICE Termination date shall not be effected by receipt of any subsequent bill.	
(NETER SERIAL NUMBER) PLEASE MAKE CHECK	
OR MONEY ORDER PAYABLE TO:	
See reverse side for HENDERSON UNION additional Information ELECTRIC COOP regarding disconnect	
IF YOU HAVE PAID YOUR BILL SINCE DUE DATE, PLEASE DISREGARD WITH YOUR REMITTANCE	
NOTICE OF CUSTOMER RIGHTS AND REMEDIES	
This notice gives oursuant to the regulations of the Kentucky Public Service Commission providing generally that: 1. Electric service shall not be terminated if payment is delivered within the specified time to either Henderson Union s offices located in Henderson, Manon and Dixon, Kentucky, or to any employee dispatched to disconnect service. 2. Service to a residence will not be terminated when the customer and Henderson Union have negotiated a partial payment plan covering the payment of any delinquent amounts and the customer is meeting the requirements of the plan. 3. A residential customer and Henderson Union may negotiate a budget payment providing for the payment of a fixed amount each month on a yearly basis in lieu of actual monthly billings. 4. Local, state and federal programs are available which provide financial assistance in the payment of utility bills for those who may qualify for such assistance. Henderson Union will upon request make available a list of known assistance programs or you may call the Kentucky Association for Community Action, Inc. at 1-800-456-3452, or the Department of Human Resources Ombudsman at 1-800-372-2973 5. If an existing illness or infirmity of a resident of the affected residence (supported by written certificate of a physican, registered nurse, or public health officer) would be aggravated by the termination of electric service, termination sill not be effected until said residence and even the right to dispute the reason for the service termination. 7. You have the right to contact the Kentucky Public Service Commission concerning this notice: Telephone 1-800-772-4636 IF YOU HAVE ANY OF THEE OFFICES NOTED BELOW. MARION BRANCH	
<ol> <li>A residential customer and Henderson Union may negotiate a budget payment providing for the payment of a fixed amount each month on a very basic payment of a fixed amount each month on a very basic payment plane.</li> </ol>	
4. Local, state and lederal programs are available which provide financial assistance in the payment of utility bills for those who may qualify for such assistance. Henderson Union will upon request make available a list of known assistance programs or you may call the Kentucky Association for Community Action, I.c. at 1-800-475-452 or the Department of Human Resources Ombudisman at 1.800-472.0273	
5. If an existing illness or infimity of a resident of the affected residence (supported by written certificate of a physician, registered nurse, or public health officer) would be aggravated by the termination of electric service, termination shall not be effected until said resident can make other living arrangements, or until thirty (30) days have elapsed from the date Henderson Union gives written notice of possible programs offering	
financial assistance in the payment of utility bills. 6. You have the right to dispute the reason for the service termination. 7. You have, the right to contact the Kentucky Public Service Commission concerning this notice: Telephone, 1-800-772-4636	
OF ASSISTANCE CONCERNING ANY OF THESE MATTERS OR YOUR PAST-DUE-BILL OR FYOU DESIRE FURTHER INFORMATION OR ASSISTANCE CONCERNING ANY OF THESE MATTERS OR YOUR RIGHTS. PLEASE VISIT OR CALL IMMEDIATELY ANY OF HENDERSON UNION'S THREE OFFICES NOTED BELOW.	
6402 Old Corydon Road 703 Main Street Gardner-Polley, Ins P.O. Box 18 P.O. Box 268 Court House Source	
Henderson, Kentucky 42420 502-826-3991 502-965-3186 502-639 9 123 TOLL FREE NUMBER: 1-800-844-4832 JUN - 2001	
DATE OF ISSUEFebruary 2, 1996 DATE EFFECTIVEFebruary 22, 1996	j
Month Day Year Month Day Year	
ISSUED BY Admi West President & CEO P. O. Box 18, Henderson, KY	42420

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Address

	PUBLIC SERVICE COMMISSION	For All Territory Served
	OF KENTUCKY EFFECTIVE	P.S.C. No9_
	FE 3 2 1995	Revised Sheet No. 20
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PURSUANT TO 207 KAR 5:011, SECTION 9 (1)	Canceling P.S.C. KY No8_
	RULES AND REGULATIONS	Original Sheet No. 20
	KULLS AND KEOULATIONS	

## 30. COLLECTION CHARGE

Should it become necessary for a representative of Henderson Union to call at the member's (T) premises or other location for the purpose of collecting a delinquent account, a charge of \$10.00 will be made to the member's account for the extra service rendered, due and payable at such time delinquent account is collected. Henderson Union will charge a collection charge only once in any bill period. If service is discontinued for nonpayment, an additional charge of \$10.00 will be made for reconnecting service, due and payable at time of such reconnection.

## 31. RETURNED CHECK CHARGE

When a check is received in payment of a member's account and returned unpaid by a bank for any reason, such account, together with all others owed by the member shall be due and payable upon demand, and such member subject to discontinuance of service without further notice.

Henderson Union will assess a \$10.00 handling fee for any check that is returned to Henderson Union from the member's bank for insufficient funds or any reason for nonpayment.

## 32. SPECIAL METER READING CHARGE

All meters with demand devices are read by a representative of Henderson Union.

Henderson Union utilizes a one-card system which includes the bill and the meter card. The bill/meter card is mailed monthly to all other members. Upon failure of a member to return the meter reading card for three consecutive months, Henderson Union shall have its representative read the member's meter and a service charge of \$10.00 will be made for the extra service rendered. The service charge will be made to the member's account and will be due and payable upon notice of said charge. In the event that an error in meter reading should be made, then the member shall pay for that month an equal to approximately his average bill. The following month his bill shall be computed on the regular schedule prorated for two months, and the amount paid shall be credited.

#### 33. MONITORING USAGE

The following procedure has been established for monitoring member usage so as to detect any unusual deviations in individual member usage and the reasons for such deviations:

(a) The computerized billing system is programmed to automatically alert Henderson Union to any member provided monthly meter readings which would cause KWH usage to be significantly higher or lower than usual. The criteria employed in the computer program to determine "high" usage is the current month's KWH usage is 200% higher than the prior month's usage. The "low" usage computer program criteria is when the current month's KWH usage is

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DATE OF ISSUE February 2, 1996	DATE EFFECTIVE February 22, 1996
Month Day Year	Month Day Year
ISSUED BY John West	President & CEO P. O. Box 18, Henderson, KY 42420
John West	Title Address

	PUBLIC SERVICE COMMISSION	For All Termory	served
	OF KENTUCKY EFFECTIVE	P.S.C. No	9_
	FE 0 8 8 1996	<u>Revised</u> She	et No. 21
HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PURSUANT TO 807 KAR 5:011,	Canceling P.S.C. KY	No. <u>8</u>
	SECTION 9 (1)	OriginalShe	eet No. 21
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50% less than the prior month's usage. Based on these criteria, a computer exception report is produced daily that identifies member's accounts which have significantly higher or lower KWH usage than in the previous month.

(b) The daily high/low exception report is reviewed by Henderson Union billing department personnel, wherein they consider the type of service, past KWH usage history, weather conditions, or other unique circumstances in trying to determine cause. If the cause for deviation cannot be determined from analysis of member's billing records, Henderson Union will contact customer by phone or in writing for additional verification of meter reading.

(c) Where the deviation is not other wise explained, Henderson Union will test the member's meter to determine proper registration as prescribed by regulations of Kentucky Public Service Commission. Henderson Union will notify the customer of the investigation, its findings, and any refunds or backbilling in accordance with 807 KAR 5:006, Section 10(4) and (5). Henderson Union will use the same process to investigate usage deviations brought to its attention as a result of its ongoing meter reading programs or by member inquiry.

## 34. <u>RATES</u>

Member billing is prepared on basis of rates approved by the Board of Directors and the Public Service Commission.

## 35. DELINQUENTS

In case a delinquent bill is uncollected, and service disconnected by reason thereof, then payment may be required of all amounts due by such member before reconnection.

## **Classification of Members**

- <u>SCHEDULE "A" RESIDENTIAL (Single Phase- 50 KVA Installed Capacity or Less)</u> This rate shall apply to electric service to single family dwellings, residences, schools, churches, civic organizations and like member of a noncommercial nature.
- 37. <u>SCHEDULE "B" FARM, GOVERNMENT, OR COMMERCIAL (50 KVA INSTALLED CAPACITY</u> OR LESS)

This rate shall apply to electric service accounts for commercial, farm and government members.

38. <u>SCHEDULE "B-1" - FARM OR COMMERCIAL (51 TO 501 KVA INSTALLED CAPACITY)</u> This rate shall apply to any farm, school, church, or commercial service located on or near threephase service.

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	ERSON	UNION ELECTRIC <u>'E CORPORATION</u>	PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE FED 8 2 1995 PURSUANT TO 807 KAR 5:01 SECTION 9 (1) JLES AND REGULT ATOM	P.S.C. No9 <u>Revised</u> Sheet No22 Canceling P.S.C. KY No8
	39.	SCHEDULE "B-2" - GRAIN BI		
	40.		2000 KW) Dedicated Delivery i power members contracting for	
	41.		2000 KW) Non-dedicated Delix power members contracting for	
	42.	dedicated Delivery Point	RATE RIDER - LARGE POWE	R (501 TO 2000 KW) Non- or a demand of 501 to 2000 KW.
	43.	SCHEDULE "LP-4" - LARGE F This rate shall apply for large p		a demand of not less than 2001.
	44.	SCHEDULE "SL" - STREET L This rate shall apply to any me	I <u>GHTS</u> mber or organization within ser	vice area requiring "SL."
$\bigcirc$	45.	SCHEDULE "D" - SECURITY This rate shall apply to any me		
	46.	above rates are net, the gross	rate being five percent (5%) hig	or disconnection of service. The her. In the event the current the bill, the gross rate shall apply.
	47.	NO PREJUDICE OF RIGHTS		
		Failure by Henderson Union to waiver of the right to do so.	entorce any of the terms of thi	s tariff shall not be deemed as a
	48.	purchases power wholesale fro accordance with any present o power contract or by order of t	under which Seller (Henderson om its supplier (Big Rivers Elect r future fuel cost or surcharge r ne Public Service Commission, each kilowatt hour sold by dividi ment to Seller attributable to ea	provided for in Seller's wholesale then the foregoing monthly energy ng the total dollar amount of such ch class of service by the total
DATE	OF ISSU	JE February 2, 1996 Month Day Year	JUN DATE EFFEC	TIVE <u>February 22, 1996</u> Month Day Year

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ISSUED BY _	John	nex	President & CEO	P. O. Box 18, Henderson, KY 42420	
C	John West		Title	Address	

	PUBLIC SERVICE COMMISSION	For All Territo	bry Served
	OF KENTUCKY EFFECTIVE	P.S.C. No	9
HENDERSON UNION ELECTRIC	FED 2 2 1995	Revised	Sheet No. 23
COOPERATIVE CORPORATION	PURSUANT TO 807 KAR 5:011,	Canceling P.S.C.	KY No. <u>8</u>
	SECTION 9 (1) EY AND REGULATIONS	Original	_Sheet No23

(The above Fuel Adjustment Charge is subject to the provisions of and may be amended from time to time to conform to 807 KAR 5:056 and to any adjustment if the fuel clause or other increments which may be made in Big Rivers Electric Corporation's fuel charges under 807 KAR 5:056 by the Public Service Commission.)

## 49. ENVIRONMENTAL SURCHARGE

(N)

## (1) Billings to Customers Served From Non-Dedicated Delivery Points

Billings computed pursuant to rate schedules to which this environmental surcharge is applicable shall be increased or decreased during each month by the following environmental surcharge factor applied to each kilowatt hour sold:

Environmental Surcharge Factor = EN(m)P(m)

Where EN is the aggregate monthly charge or credit from the seller's wholesale power supplier for all non-dedicated delivery points for environmental surcharge (plus any over/under recovery from the prior month) and P is the total purchased, less line losses equal to a twelve-month moving average not to exceed ten percent (10%). (M) period shall be the first month preceding the month in which the environmental surcharge is billed.

(2) Billings to Customers served from Dedicated Delivery Points (No Line Losses to Seller)

Billings computed pursuant to rate schedules or special contracts to which this environmental surcharge is applicable shall be increased or decreased during each month equal to the amount billed to the seller for the customer's dedicated delivery point by the wholesale power supplier for environmental surcharge.

(3) Rate schedule (1) above shall apply to Henderson Union Electric Cooperative rate schedules "A". "B", "B-1", "B-2", "B-2" - Grain Bin, "LP-3 Nondedicated Dedicated Delivery", "LP-3 Off Peak Non-dedicated Rate Rider", SL", "D", and rate schedule (2) above shall apply to Henderson Union Electric Cooperative rate schedules "LP-3 Dedicated Delivery Point", and "LP-4" and ALCAN SMELTER RATE.

## 50. BUDGET BILLING

Henderson Union has a budget payment plan available for its residential members whereby a member may elect to pay a monthly amount for the budget year in lieu of monthly billings for actual usage. The monthly budget payment will be determined by Henderson Union based, under normal circumstances, on a minimum of one-twelfth of the estimated annual usage, subject to review and adjustment during the budget year. The normal budget year will be October through September with the settlement month in September.

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DATE OF ISSUE February 2, 1996	JUN DATE EFFECT	
ISSUED BY <u>John West</u>	President & CEO	Month Day Year P. O. Box 18, Henderson, KY 42420
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		DIRECTOR PRATES & RESEARCH DIV RULES AND REGULATIONS	Original	Sheet No	_24								
	The following are the condit	ions of the Budget Billing contract:											
÷		y be adjusted through a series of leveliz the account will not be current upon pa											
		/ budget payment contract agrees to all ring service from Henderson Union.	l rules, regulations and	d policies									
	by the due date or if the acc	dget payment monthly, the member ag count is estimated for three consecutive er which will result in a \$10.00 meter re	months, Henderson l	Jnion									
		as rendered under the budget payment the plan, restore the member to regula											
		e budget payment plan by giving a 30-c n of the contract, the final billing will be											
	Failure to receive a bill in no CONDITIONS.	way exempts member from the provision	ion of these TERMS A	ND									
		/-) are in addition to the minimum. <i>r</i> ithin 10 days from date of bill.											
51	ENERGY EMERGENCY CO	ONTROL PROGRAM											
	Electric Cooperative Corpor	for reducing the consumption of electrication (Company) system in the event of ral strike in the coal mines, or from a re her sources of information.	f a severe coal shortag	ge, such									
	For the purpose of this prog	ram, the following priority levels have b	een established:										
	II. Residential III. Commercia IV. Industrial U	ealth and Safety Uses-as defined in Ap Uses-as defined in Appendix B al Uses-as defined in Appendix B ses-as defined in Appendix B al Uses-as defined in Appendix C CANCELLED	ppendix A										
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ISSUED BY John West	President & CEO	P. O. Box 18, Henderson, KY 4242	n
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coa not juris tran elec	l strike the prohibited l diction. Th smission u ctric service	the event of following ste by contractua te "days' ope tility which su to generation and trans To be initia to 50 days'	al c eration ation smis	s w co atic plio n niss	wi cor tion blie n le iss	wi or lie le ssi	vil on ec le si w									b r t e n	n rtl e n			e it e ne ls u n	ir fe s \ iti	m er W Wi ilit	ip ip ig				f le							I. or or or or or or or or or or or or or			h r il r to c		s f b o t f o t f o c		s h th e ra			os eg nis co w n'	s N gu sh on /, " ( ati	wi ila ne re of	ill at ad ad ad ad ad ad ad ad ad ad ad ad ad	to ar du re	by ic ic if	c a tl ec ia	a he A in	rri th e ft le in		d riti en el ci opi	ol ie ie in oa lie	ut ra ch al es	t to hatio h o the ir		th vir url ca er	e ng ar ta alo nt de			er ati	nt io	t or	n e		of d	F									
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John West		Title	Add	iress	

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		PUBLIC SERVICE COMMUNICATION OF KENTUCKY	For <u>All Territory Served</u>	
		EFFECTIVE	P.S.C. No	9
		FED 8 8 1999	Revised Sheet No.	26
HENDERSON UNION ELE COOPERATIVE CORPORA		PURSUANT TO 807 KAR 5:011, SECTION 9 (1)	Canceling P.S.C. KY No	8
		RULES AND REGULATIONS	Original Sheet No.	26
		(c) Priority Level II and Priority Level III-	15%	
	2)	Utilize voltage reduction as a means of redu is deemed a feasible and viable measure in		
	3)	The Company shall advise all members of t specified in Section IV below.	he mandatory program	
IV.	to 0 da the cur	nitiated when Big Rivers Electric Corporation's ys' operation of coal-fired generation (at the d tailments implemented in Section III, above) a a coal stock is anticipated.	aily burn rate resulting from	
	1)	<ul> <li>Implement mandatory curtailment of electric indicated below:</li> <li>(a) Priority Level V-100%</li> <li>(b) Priority Level IV-50% (an additional base period use" as defined in Apper (c) Priority Level II and Priority Level III and Priority Le</li></ul>	5% of the original "monthly endix D).	
	2)	The company shall advise all members of the specified in Section V below.	ne mandatory program	
V.	fuels su	nitiated when the Big Rivers Electric Corporat upplies are decreased to a level which will res ing for Priority Levels I, II, and III, after the follo rented.	ult in 60 days' operation	
	1)	Implement mandatory curtailment of electric (including Priority Level I) at a minimum serv than that required for protection of human lif physical plant facilities, and employees' secu	ice level which is not greater e and safety, protection of	
	2)	The Company shall advise all members of the specified in Section VI below.	he mandatory program	
VI.	fuel su burn ra	nitiated as a measure of last resort when Big oplies are decreased to 15 days' operation of te resulting from the curtailments implemente ed downward trend in coal stocks is anticipate	coal fired generation (at daily d in Section V, above) and	
		CANCELLED		
DATE OF ISSUE <u>Febr</u> Month	uary 2, 19 Day	JUN	February 22, 1996 Month Day Year	
ISSUED BY John C	Vest	President & CEO P.	O. Box 18, Henderson, KY 42	420
John West		Title	Address	

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	PUBLIC SERVICE COMMISsion OF KENTUCKY	For <u>All Territory Served</u>
	EFFECTIVE	P.S.C. No9
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HENDERSON UNION ELECTRIC COOPERATIVE CORPORATION	PURSUANT TO 807 KAR 5:011, SECTION 0 (1)	Canceling P.S.C. KY No8_
	DIFFCTOR RATES & RESEARCH DOM	Original Sheet No. 27
	RULES AND REGULATIONS	

 Implement procedures for interruption of selected distribution circuits on rotational basis, while minimizing—to the extent practicable—interruption to Priority Level I.

With regard to mandatory curtailment identified in Sections III, IV, V, and VI above, the Company proposes to monitor compliance after the fact, to the extent feasible, as approved by the Commission. A member exceeding his electric energy allotment would be warned to curtail his usage or face, upon continuing noncompliance and upon one day's written notice, disconnection of electric service for the duration of the energy emergency.

Termination of Energy Emergency—The Energy Emergency Control Program shall be terminated upon notice of the Commission, when

- (a) the remaining days of operation of coal-fired generation is at least 0 days
- (b) coal deliveries have been resumed
- (c) there is reasonable assurance that the coal stocks are being restored to adequate levels.

## APPENDIX "A" ESSENTIAL HEALTH AND SAFETY USES

Essential health and safety uses given special consideration in these procedures shall, insofar as the situation permits, include the following types of use and such other uses which the Commission may subsequently identify:

- (a) "Hospitals", which shall be limited to institutions providing medical care to patients.
- (b) "Life Support Equipment", which shall be limited to kidney machines, respirators, and similar equipment used to sustain the life of a person.
- (c) "Police Stations and Government Detention Institutions", which shall be limited to essential uses required for police activities and the operation of facilities used for the detention of person. These uses shall include essential street, highway and signal-lighting services.
- (d) "Fire Station", which shall be limited to facilities housing mobile fire=fighting apparatus.
- (e) "Communication Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (f) "Water and Sewage Services", which shall be limited to essential uses required for telephone, telegraph, television, radio and newspaper operations.
- (g) "Transportation and Defense-related Services", which shall be limited to essential uses required for the operation, guidance control and navigation of air, rail and mass transit systems, including those uses essential to the national defense and operation of state and local emergency services.

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- (h) "Other Energy Source Services", which shall be limited to essential uses required for the production, transportation, transmission and distribution—for fuel--of natural or manufactured gas, coal, oil or gasoline.
- (I) "Perishable Food or Medicine", which shall be limited to refrigeration for the storage and preservation of perishable food or medicine, when that use is substantially all of the member's load.

Although these types of uses will be given special consideration when implementing the manual load-shedding provision of this procedure, these members are encouraged to install emergency generation equipment if continuity of service is essential. In case of members supplied from two utility sources, only one source will be given special consideration. Also, any other member who, in their opinion, have critical equipment should install emergency generation equipment.

## APPENDIX "B" RESIDENTIAL USES, COMMERCIAL USES, AND INDUSTRIAL USES

"Residential Uses", "Commercial Uses", and "Industrial Uses", shall be defined for purposes of this Energy Emergency Control Program to be the same as the "Residential", "Commercial" and "Industrial" classifications, respectively, in the rate schedules in the Electric Distribution Utility Company's tariff, with the exclusion of those uses defined as "Essential Health and Safety Uses: in Appendix A and those defined as "Nonessential Uses" in Appendix C.

## APPENDIX "C" NONESSENTIAL USES

The following and similar types of uses of electric energy and others which the Commission may subsequently identify shall be considered nonessential for <u>all</u> members:

- (a) Outdoor flood and advertising lighting, except for the minimum level to protect life and property, and a single illuminated sign identifying commercial facilities when operating after dark.
- (b) General interior lighting levels greater than minimum functional levels.
- (c) Show-window and display lighting.
- (d) Parking-lot lighting above minimum functional levels
- (e) Energy use greater than that necessary to maintain a temperature of not less than 78 degrees during operations of cooling equipment and not more than 65 degrees during operation of heating equipment.
- (f) Elevator and escalator use in excess of the minimum necessary for non-peak hours of use.
- (g) Energy use greater than that which is the minimum required for lighting, heating or cooling of commercial or industrial facilities for maintenance cleaning or business-related-activities during non-business hours.

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## APPENDIX "D" MONTHLY BASE PERIOD

"Monthly Base Period Use" is defined as the member's usage during the corresponding monthly billing cycle of the twelve monthly billing periods immediately prior to December 31 of the year immediately preceding the current year, adjusted to reflect any increases or decreases of load in the most recent three-month period due to the installation or removal of equipment or a change in operating rate as computed in the formula.

Upon application by the member and agreement by the Company, a one-time adjustment of the monthly energy use of the twelve-month billing period ending December 31 of the year immediately preceding the current year, or an adjustment of the prior three-month usage (PQKWH), will be made to correct any abnormalities of energy use resulting from such events as strikes and breakdowns of major equipment that may have occurred during the period in question. For members connected after December 31 of the year preceding the current year, base period energy use will be negotiated between the member and the Company

WHERE:

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AMBP = Adjusted Monthly Base Period (KW or KWH)

- CM = Corresponding month during the year immediately preceding the current year
- PQ = Average use (KW or KWH) for the second, third and fourth monthly billing periods immediately prior to the date of the curtailment order. Should a curtailment be extended so that one of the three monthly billing periods reflects usage under a curtailment period, the actual billing for that month is replaced with the AMBP previously calculated or that month.

BPQ = Average of corresponding three monthly billings prior to CM.

Example: (Curtailment ordered during month of May 1981)

1st Curtailment Month

Since the April 1981 billing may not always be available, then for uniformity to all members-from the time curtailment is ordered until the May meter reading date

	Jan., Feb., March) '81 x May (Jan., Feb., March) '80 CANCELLED	7 '80
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**2nd Curtailment Month** 

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June '81 = <u>(Feb., March, April)</u> '81 x June '80 (Feb., March, April) '80

## **3rd Curtailment Month**

Since May '81 will reflect electric use under a curtailment, May '81 will be replaced with May '81 as calculated in the 1st curtailment Month:

July '81 = (<u>March, April, May</u>) '81 x July '80 (March, April, May)

NOTE: The nomenclature for any one billing period is determined by the last reading date in the period, i.e., a bill from April to May is considered the May billing period.



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